## **Record Index**

as of 3/29/2023

Page 1 of 2

## **Administrative Proceeding File No:** 3-18378

## Respondents:

Affirmative Insurance Holdings, Inc.; Armada Oil, Inc.; China Energy Technology Corp., Ltd.; and Chuma Holdings, Inc.

| Filed Date       | Event Description  | Release No |
|------------------|--|------------|
| 2/22/2018 16:13  | Order Instituting Administrative Proceedings and Notice of Hearing Pursuant to Section 12(j) of the Securities Exchange Act of 1934                          | 34-82762   |
| 2/22/2018 16:23  | Letters dated 2/23/2018 to each Respondent from Division Regarding the Availability of Documents for Inspection and Copying (mailed with OIP)                |            |
| 2/23/2018 14:48  | Order Scheduling Hearing and Designating Presiding Judge   | AP-5627    |
| 2/27/2018 16:01  | Declaration of Neil J. Welch, Jr. to Assist Secretary with Record of Service   |            |
| 3/13/2018 11:49  | Order Postponing Hearing, Finding Service, and Directing Respondents to Show Cause   | AP-5645    |
| 3/16/2018 16:06  | Order Regarding Hague Convention Service   | AP-5651    |
| 3/16/2018 18:14  | Declaration of Neil J. Welch, Jr. to Assistant Secretary with Record of Service, with Exhibits 4 and 5   |            |
| 4/3/2018 12:45   | Initial Decision of Default as to Three Respondents (Affirmative Insurance Holdings, Inc.; Armada Oil, Inc.; and Chuma Holdings, Inc.)                       | ID-1247    |
| 4/11/2018 11:01  | Order Canceling Prehearing Conference  | AP-5679    |
| 6/21/2018 12:59  | Order  | 33-10510   |
| 6/25/2018 16:45  | Notice of Stay   | AP-5856    |
| 7/20/2018 10:51  | Order  | 33-10522   |
| 7/20/2018 15:47  | Notice of Extension of Stay  | AP-5893    |
| 8/22/2018 13:14  | Order  | 33-10536   |
| 8/23/2018 16:47  | Notice from the Chief Administrative Law Judge   | AP-5954    |
| 9/12/2018 17:21  | Chief Administrative Law Judge's Order Assigning Proceedings Post Lucia v. SEC   | AP-5955    |
| 9/27/2018 15:26  | Order  | AP-6111    |
| 10/17/2018 16:13 | Order to Show Cause  | AP-6208    |
| 10/26/2018 12:37 | Division's Report on Status of Service (as to China Energy Technology Corp.)   |            |
| 10/26/2018 13:54 | Initial Decision Making Findings and Revoking Registration by Default as to Affirmative Insurance Holdings, Inc., Armada Oil, Inc., and Chuma Holdings, Inc. | ID-1271    |
| 1/15/2019 19:01  | Order  | 33-10602   |
| 1/30/2019 19:01  | Order  | 33-10603   |
| 2/7/2019 17:46   | Notice that Initial Decision Has Become Final (as to as to Affirmative Insurance Holdings, Inc., Armada Oil, Inc., and Chuma Holdings, Inc.)                 | 34-85076   |
| 3/19/2019 16:07  | Declaration of David S. Frye Concerning Service of Process on China Energy Technology Corp., Ltd.  |            |

## Record Index

|                 | as of 3/29/2023   | Page 2 of 2 |
|-----------------|---|-------------|
| 5/7/2020 11:27  | Divisions Waiver of Paper Service   |             |
| 4/26/2021 15:23 | Notice of Appearance, Christopher Bruckmann and Sandhya C. Harris, as Co-Counsel, on behalf of the Division |             |