

Record Index

as of 3/29/2023

Page 1 of 9

Administrative Proceeding File No: 3-18292**Respondents:**

Anton & Chia, LLP; Wahl, Gregory A., CPA; Deutchman, Michael, CPA; Chung, Georgia, CPA; and Shek, Tommy, CPA

Filed Date	Event Description	Release No
12/4/2017 10:36	Order Instituting Public Administrative and Cease-and-Desist Proceedings Pursuant to Sections 4C and 21C of the Securities Exchange Act of 1934 and Rule 102(e) of the Commission's Rules of Practice and Notice of Hearing, with party letters	34-82206
12/11/2017 16:03	Order Scheduling Hearing and Designating Presiding Judge	AP-5348
12/11/2017 16:40	Notice of Appearance, J. Birkenheier, A. Qualls, S. Seeger, A. Guardi, L. Kazon, D. Van Havermaat, and J. Calabrese, Esqs., on behalf of the Division (fax copy; hard copy rcvd 12/14/2017)	
12/26/2017 16:13	Joint Motion to Convert the January 11, 2018 Hearing into a Telephonic Prehearing Conference on or after January 22, 2018, at 11:30 am	
12/26/2017 17:17	Order Postponing Hearing and Scheduling Prehearing Conference	AP-5423
1/9/2018 11:57	Notice of Appearance, John Armstrong, Esq. of Horwitz & Armstrong, on behalf of Respondent Michael Deutchman, CPA, dated January 5, 2018	
1/9/2018 12:10	Answer of Respondent Michael Deutchman, CPA to Order Instituting Public Administrative and Cease-and-Desist Proceedings, dated January 5, 2018	
1/9/2018 13:53	Letter dated 12/26/17 from Jonathan Schwartz, Esq. to ALJ notifying her that he does not represent Tommy Shek	
1/9/2018 13:57	Notice of Appearance, Edward Gartenberg, Esq. and Milena Dolukhanyan, Esq. of Gartenberg Gelfand Hayton LLP, on behalf of Respondents Anton & Chia LLP, Gregory Wahl, CPA and Georgia Chung, CPA	
1/9/2018 13:59	Answer of Respondents Anton & Chia LLP, Gregory Wahl, CPA, and Georgia Chung, CPA	
1/24/2018 12:44	Scheduling and General Prehearing Order	AP-5521
1/26/2018 12:18	Notice of Appearance, Andrew B. Holmes, Esq. and Patrick V. Chesney, Esq. of Holmes, Taylor, Scott, & Jones LLP, on behalf of Tommy Shek, CPA, dated January 19, 2018	
1/26/2018 12:20	Answer of Respondent Tommy Shek, CPA to Order Instituting Public Administrative and Cease-and-Desist Proceedings, dated January 19, 2018	
2/7/2018 12:26	Transcript of 1/22/18 Pre-Hearing Conference, pp. 1-36, Chicago, IL	
2/23/2018 13:59	Notice Concerning Withdrawal	AP-5626
2/26/2018 12:29	Notice of Motion and Motion to Be Relieved as Counsel (Edward Gartenberg), dated February 15, 2018	
2/26/2018 12:37	Proposed Order Granting Attorney's Motion to be Relieved as Counsel (Edward Gartenberg), dated February 15, 2018	

Record Index

as of 3/29/2023

Page 2 of 9

2/26/2018 12:38	Declaration of Edward Gartenberg in Support of His Motion to be Relieved as Counsel, dated February 15, 2018	
2/26/2018 15:15	Motion to be Relieved as Counsel, Declaration of Edward Gartenberg, and Proposed Order (withdrawing representation of Respondents Anton & Chia, Gregory A. Wahl, and Georgia Chung, CPA) (rcvd from ALJ)	
2/26/2018 15:39	Division's Response to Motion to be Relieved as Counsel (rcvd from ALJ)	
2/28/2018 15:34	Order Denying Motion for a Ruling on the Pleadings	AP-5634
3/14/2018 9:34	Notice of Appearance, Michael R. MacPhail, David W Porteous, and Megan M Farooqui, Esqs., of Faegre Baker Daniels LLP, on behalf of Respondents Anton & Chia LLP, Gregory Wahl, CPA and Georgia Chung, CPA	
4/4/2018 6:40	Joint Motion to Stay Proceeding as to Respondent Tommy Shek, CPA Pursuant to Rule of Practice 161(c)(2) and Brief in Support (fax copy; hard copy rcvd 4/5/18)	
4/9/2018 16:12	Stay Order as to Tommy Shek	AP-5677
6/21/2018 9:50	Order (staying case for 30 days or pending further order of the Commission)	33-10510
6/25/2018 17:22	Notice of Stay	AP-5855
7/12/2018 17:57	Order Making Findings and Imposing Remedial Sanctions as to Respondent Tommy Shek, CPA, with party letter	34-83622
7/12/2018 17:59	Offer of Settlement, Tommy Shek, CPA, dated April 19, 2018	
7/20/2018 10:53	Order (staying case until August 22, 2018 or until further order of the Commission)	33-10522
7/20/2018 16:31	Notice of Extension of Stay	AP-5894
7/23/2018 16:32	Notice of Withdrawal, Micahel R. MacPhail, David W. Porteous, and Megan M. Farooqui of Faegre Baker Daniels LLP on behalf of Anton & Chia, LLP, Gregory A. Wahl, CPA, and Georgia Chung, CPA (rcvd from ALJ)	
8/22/2018 13:13	Order (lifting stay, remanding matters on Exhibit A, directing reassignment, and other procedures)	33-10536
8/23/2018 16:46	Notice from the Chief Administrative Law Judge	AP-5954
8/30/2018 14:59	Notice of Appearance, Daniel J. Hayes, Esq., on behalf of the Division	
9/12/2018 17:11	Chief Administrative Law Judge's Order Assigning Proceedings Post Lucia v. SEC	AP-5955
9/12/2018 17:12	Division's Motion for Assignment of ALJ	
9/12/2018 17:13	Division's Motion for Protective Order, with Exhibits 1-2 (UNDER SEAL REQUESTED)	
9/14/2018 11:38	Order	AP-5972
9/19/2018 16:28	Notice of Non-Representation (Law Offices of Jonathan Schwartz re Tommy Shek)	
9/24/2018 12:07	Respondent G. Wahl's Appeal of the Division's Motion for Protective Order (rcvd from ALJ)	
10/1/2018 13:39	Letter from Division to Judge Foelak with In Camera Review Material on a Disc, dated September 28, 2018	
10/2/2018 11:56	Order	AP-6129
10/2/2018 16:39	Division's Reply Brief in Support of its Motion for a Protective Order, with Exhibit	

Record Index

as of 3/29/2023

Page 3 of 9

11/26/2018 12:36	Order	AP-6361
12/11/2018 12:47	Division's Proposed Scheduling Order, dated December 10, 2018	
12/11/2018 17:59	Notice of Appearance, Donald Searles, Esq., on behalf of the Division (fax copy; hard copy rcvd 12/12/18)	
1/16/2019 8:06	Order (staying all AP cases until further order of the Commission)	33-10602
1/30/2019 8:08	Order (lifting stay and extending due dates by 14 days for filings due from 12/27/2018 and 1/30/2019)	33-10603
2/11/2019 16:41	Order	AP-6448
2/21/2019 12:52	Michael Deutchman's Affirmative Statement with Exhibit A, dated February 19, 2019	
2/25/2019 15:51	Georgia Chung and Gregory A. Wahl's CPA Affirmative Statement, with Exhibit A	
3/12/2019 12:07	Joint Proposed Scheduling Order	
3/15/2019 14:23	Order	AP-6499
3/28/2019 13:21	Division's Response to Respondents Chung and Wahl's Motion to Dismiss OIP	
4/1/2019 13:57	Respondent's Chung and Wahl's Motion to Dismiss the Commission's Order Instituting Administrative Proceedings and Memorandum in Support, dated March 15, 2019	
4/19/2019 16:14	Order	AP-6546
5/7/2019 15:23	Division's request for Issuance of Subpoenas	
5/14/2019 13:20	Order	AP-6572
5/17/2019 11:20	Stipulation and Proposed Order to Modify Prehearing Schedule (fax copy; hard copy rcvd 5/20/19)	
5/17/2019 16:25	Order	AP-6580
6/5/2019 14:49	Declaration of Ariella O. Guardi	
6/11/2019 14:03	Stipulation and Proposed Order to Modify Prehearing Schedule, dated June 10, 2019	
6/11/2019 15:54	Stipulation and Proposed Order to Modify Prehearing Schedule	
6/14/2019 10:36	Order	AP-6605
6/29/2019 14:53	Division's Investigative Testimony Transcript Hearing Exhibit 862 and Portions Designated and Admitted in SEC Hearing Exhibit 839.7	
7/16/2019 14:44	Division's Response to Respondents' Request for an Extension of the Date for the Exchange of Expert Reports w/ Exhibits	
7/17/2019 12:30	Order	AP-6631
7/17/2019 13:01	Motion to Request Prehearing Conference	
7/19/2019 10:23	Order	AP-6633
7/23/2019 17:21	Prehearing Order	AP-6640
8/1/2019 13:02	Transcript of 7/22/19 Telephonic Prehearing Conference, pp. 1-38	
8/27/2019 16:12	Order	AP-6663

Record Index

as of 3/29/2023

Page 4 of 9

8/29/2019 17:52	Order	AP-6667
8/30/2019 11:45	Division's Request for Issuance of Hearing Subpoenas (fax copy; hard copy with copies of 31 subpoenas rcvd 9/3/19)	
8/30/2019 14:08	Expert Report of Harris L. Devor, CPA, dated July 25, 2019; Division Exhibits List; Division's Witness List, dated August 16, 2019; and Rebuttal Report of Harris L. Devor, CPA in Response to Expert of John M. Misuraca dated August 15, 2019	
9/13/2019 13:56	Notice of Withdrawal, Steven C. Seeger, Esq., on behalf of the Division	
9/18/2019 14:10	Division's Amended Witness List	
9/18/2019 14:11	Division's Prehearing Brief	
9/18/2019 14:14	Division's Motion in Limine to Bar Respondents from Calling William W. Holder as an Expert Witness or From Introducing Evidence of his Opinions at the Hearing	
9/18/2019 14:29	Division's Deposition Designations	
9/18/2019 14:30	Division's Motion in Limine to Exclude Certain Expert Reports and Limit the Testimony of John Misuraca, with Declaration of Donald Searles and Ex. 1-7	
9/18/2019 14:36	Division's Motion in Limine to Exclude Respondent Gregory A. Wahl's Unsworn Factual Narratives, with Ex. A-D	
9/19/2019 14:28	Division's Pre-Hearing Brief, dated September 16, 2019	
9/20/2019 8:08	Order Making Findings and Imposing Remedial Sanctions and a Cease-and-Desist Order against Anton & Chia, with party letter	34-87033
9/20/2019 9:29	Offer of Settlement, Anton & Chia, LLP, dated 7/15/2019	
9/20/2019 12:37	Division's Motion to Strike Proposed Stipulated Facts	
9/27/2019 12:22	Order Redesignating Presiding Judge	AP-6690
10/1/2019 14:19	Division's Motion to Request Prehearing Conference	
10/1/2019 16:48	Order Scheduling Prehearing Conference	AP-6692
10/4/2019 12:10	Division's Request for Issuance of Additional Subpoenas, with Proposed Subpoenas	
10/4/2019 12:14	Division's Amended Witness List	
10/7/2019 10:44	Order Following Final Prehearing Conference	AP-6694
10/11/2019 11:33	Transcript of 10/3/19 telephonic prehearing conference, pp. 28-70	
10/17/2019 8:36	Respondents Georgia Chung and Gregory A. Wahl's Prehearing Brief (rcvd from ALJ)	
10/21/2019 12:49	Respondents Georgia Chung and Gregory A. Wahl's Notice of Termination of Counsel (Barry E. Cohen, Esq., withdrawing on behalf of Chung and Wahl) (rcvd from ALJ)	
10/23/2019 16:23	Division's Motion to Introduce Prior Sworn Statement of Kevin Donavan	
10/29/2019 9:52	Order Regarding Witnesses, Inability to Pay, and Hearing Transcripts	AP-6701
11/6/2019 15:47	Transcript of 11/4/19 hearing, pp. 1275-1505 (Vol. 5), Los Angeles, CA	
11/8/2019 10:50	Transcript of 11/5/19 hearing, pp. 1506-1848 (Vol. 6), Los Angeles, CA	

Record Index

as of 3/29/2023

Page 5 of 9

11/13/2019 9:11	Transcript of 11/6/19 Hearing, pp. 1849-2201 (Vol. 7), Los Angeles, CA	
11/13/2019 9:12	Transcript of 11/7/19 hearing, pp. 2202-2520 (Vol. 8), Los Angeles, CA	
11/14/2019 16:23	Transcript of 11/8/19 Hearing, pp. 2521-2785 (Vol. 9), Los Angeles, CA	
11/15/2019 10:34	Division's Opposition to Introduction of William Holder's Unsworn Statement from 2015 (fax copy without exhibits; hard copy rcvd 11/15/19)	
11/19/2019 13:36	Transcript of 11/8/19 Hearing, pp. 2786-2956 (Vol. 10), Los Angeles, CA	
11/20/2019 9:34	Transcript of 11/18/19 Hearing, pp. 2786-2956 (Vol. 10), Los Angeles, CA	
11/20/2019 13:37	Transcript of 11/19/19 Hearing, pp. 2957-3080 (Vol. 11), Los Angeles, CA	
11/21/2019 12:21	Transcript of 11/19/19 Hearing, pp. 2957-3080 (Vol. 11), Los Angeles, CA	
11/25/2019 12:52	Transcript of 10/15/19 Hearing, pp. 1-299 (Vol. 1), Los Angeles, CA (rcvd from ALJ)	
11/25/2019 12:54	Transcript of 10/16/19 Hearing, pp. 300-617 (Vol. 2), Los Angeles, CA (rcvd from ALJ)	
11/25/2019 12:54	Transcript of 10/15/19 Hearing, pp. 618- (Vol. 3), Los Angeles, CA (rcvd from ALJ)	
11/25/2019 12:55	Transcript of 10/17/19 Hearing, pp. (Vol. 4), Los Angeles, CA (rcvd from ALJ)	
11/26/2019 8:27	Transcript of 11/20/19 Hearing, pp. 3081-3278 (Vol. 12), Los Angeles, CA	
11/26/2019 8:30	Transcript of 11/21/19 Hearing, pp. 3279-3385 (Vol. 13), Los Angeles, CA	
11/26/2019 8:30	Transcript of 11/22/19 Hearing, pp. 3386-3638 (Vol. 14), Los Angeles, CA	
12/3/2019 9:59	Notice of Limited Scope Entry of Appearance (Direct Examinations) (Lahdan Rahmati, on behalf of Respondent Georgia Chung) (fax copy)	
12/9/2019 12:40	Transcript of 12/2/19 Hearing, pp. 3639-3816 (Vol. 15), Los Angeles, CA (except pp. 3686-3696 and 3778-3791 which are under seal)	
12/9/2019 12:47	(UNDER SEAL) Transcript of 12/2/19 Hearing, pp. 3686-3696 and 3778-3791 (Vol. 15), Los Angeles, CA	
12/9/2019 12:56	Transcript of 12/3/19 Hearing, pp. 3817-3953 (Vol. 16), Los Angeles, CA	
12/9/2019 15:48	Transcript of 12/4/19 Hearing, pp. 3954-4239 (Vol. 17), Los Angeles, CA	
12/9/2019 15:50	Transcript of 12/5/19 Hearing, pp. 4240-4412 (Vol. 18), Los Angeles, CA	
12/12/2019 10:43	Transcript of 12/6/19 Hearing, pp. 4413-4673 (Vol. 19), Los Angeles, CA	
12/19/2019 13:39	Transcript of 12/18/19 Hearing, pp. 5239-5518 (Vol. 22), Los Angeles, CA	
12/19/2019 15:36	Transcript of 12/16/19 Hearing, pp. 4674-4969 (Vol. 20), Los Angeles, CA	
12/19/2019 15:38	Transcript of 12/17/19 Hearing, pp. 4970-5238 (Vol. 21), Los Angeles, CA (except pp. 5042-5078, 5149, and 5189-5195, which are under seal)	
12/19/2019 15:41	(UNDER SEAL) Transcript of 12/17/19 Hearing, pp. 5042-5078, 5149, and 5189-5195 (Vol. 21), Los Angeles, CA	
12/19/2019 16:05	Order to Show Cause as to Randall Letcavage (Non-Party)	AP-6720
12/23/2019 9:49	Transcript of 12/18/19 Hearing, pp. 5239-5405; 5412-5518 (Vol. 22), Los Angeles, CA (except pp. 5406-5411 which are under seal)	

Record Index

as of 3/29/2023

Page 6 of 9

12/23/2019 9:53	(UNDER SEAL) Transcript of 12/18/19 Hearing, pp. 5406-5411 (Vol. 22), Los Angeles, CA	
12/31/2019 11:59	Notice of Hearing Location	AP-6721
1/9/2020 13:25	Notice Regarding Closing Argument	AP-6722
1/9/2020 15:34	Transcript of 1/6/20 Hearing, pp. 5519-5799 (Vol. 23), Los Angeles, CA	
1/13/2020 15:31	Transcript of 1/7/20 Hearing, pp. 5800-5851 (Vol. 24), Los Angeles, CA	
1/14/2020 13:26	Transcript of 1/8/20 Hearing, pp. 5852-6109 (Vol. 25), Los Angeles, CA	
1/17/2020 10:46	Post-Hearing Order	AP-6725
1/17/2020 14:03	Transcript of 1/15/20 Hearing, pp. 6110-6231 (Vol. 26), Chicago, IL	
1/24/2020 14:40	Division's Motion to Admit Exhibit 772 (fax copy; hard copy rcvd 1/27/20)	
1/24/2020 15:00	Division's Exhibits 1-3; 16-17, 37, 44.1, 46, 50, 54-55, 61, 76, 78-84, 88, 88.1, 89, 100-156, 160, 165, 166, 171, 174-175, 176, 178, 184-186, 188-191, 194, 197-211, 214, 217-218, 220-223, 225-241, 243, 246-253, 255, 257-261, 263-264, 271-272, 274, 277, 280, 282-290, 292-294, 296-297, 301-304, 307-311, 400-414, 416-424, 428-429.1, 432-433, 436-437, 439-455, 459-461, 465-466, 469, 472-474, 476-478, 480-482, 495-495.2, 700-722, 726-729, 731, 736, 740, 743, 745, 747, 749-754, 757-758, 761, 763-764, 769, 771-772, 775-779, 781-789, 791-794, 797-798, 800-802, 808-810, 823-827, 829-843, 845-845.1, 847-852, 856-887, 889-894	
1/24/2020 15:10	Respondent's Exhibits 1000-1027; 1029-1030; 1034-1036; 1100-1102; 1105-1108; 1110-1113; 1116; 1118-1122; 1125; 1200-1201; 1203-1207; 1210-1213; 1215-1228; 1234, 1236-1237; 1239, 1241-1245; 1247-1250; 1257, 1259, and 1263 (rcvd from Division)	
1/28/2020 13:53	Order Admitting Exhibit 772	AP-6726
1/28/2020 15:20	Respondents' Objection to Motion to Admit Exhibit 772 (rcvd from ALJ)	
1/28/2020 15:22	Respondents' Motion to Admit Exhibits 432 and Exhibit 1119 (rcvd from ALJ)	
1/28/2020 16:03	Email from Division to ALJ consenting to admission of Respondents' Exhibits 432 and Exhibit 1119 (rcvd from ALJ)	
1/29/2020 12:19	Order Admitting Exhibits 432 and 1119	AP-6727
1/29/2020 14:57	(UNDER SEAL) Division Exhibits 1264 and 1265	
3/4/2020 12:44	Addendum to Division's Deposition Designations; Division Exhibit 839.8	
3/4/2020 12:48	Division's Proposed Findings of Facts (also rcvd from ALJ by email 3/10)	
3/4/2020 12:53	Division's Post Hearing Brief (also rcvd by email from ALJ on 3/10)	
3/9/2020 16:24	Order Admitting Exhibit 839.8 (ALJ emailed courtesy copy to parties)	AP-6741
3/26/2020 10:08	Respondents Wahl, Deutchman, and Chung's Request for Extension of Time to File Briefs (rcvd from ALJ)	
3/26/2020 13:59	Joint Waiver of Service (rcvd from AP Filings)	
3/27/2020 14:57	Extension Order	AP-6748
5/7/2020 11:28	Divisions Waiver of Paper Service	

Record Index

as of 3/29/2023

Page 7 of 9

5/7/2020 14:51	Stipulation Regarding Electronic Exhibits	
6/1/2020 14:10	Respondents Wahl and Chung's Proposed Findings of Fact and Conclusions of Law (rcvd from APFilings)	
6/1/2020 14:51	Respondents Wahl and Chung's Post Hearing Brief (rcvd from APFilings)	
6/1/2020 15:02	Respondent Deutchman's Proposed Findings of Fact and Conclusions of Law (rcvd from APFilings)	
6/1/2020 15:19	Respondents Wahl, Chung and Deutchman's Motion to Admit Evidence and Exhibit List RX 1-29	
6/5/2020 16:08	Division's Response to Respondent's Motion to Admit Additional Evidence and Declaration of Ariella Guardi in Support (rcvd from APFilings)	
6/8/2020 9:15	Respondents Wahl, Chung, and Deutchman's Objection to Division's Response to Motion to Admit Additional Evidence (rcvd from APFilings)	
6/8/2020 16:20	Email dated 6/8/2020 from Division to ALJ in Response to Respondent's Objection to Division's Response to Motion to Admit Additional Evidence (rcvd from APFilings)	
6/10/2020 14:42	Order Denying in Part Motion to Admit New Exhibits and Specifying Further Procedures	AP-6766
6/10/2020 18:06	Division's Motion for Extension of Time to File Its Response to Respondent's Proposed Findings of Facts and Reply Brief (rcvd from APFilings)	
6/16/2020 13:22	Order Providing New Briefing Schedule	AP-6769
7/8/2020 8:21	Respondents' Wahl, Chung and Deutchman's Motion to Object to Division's Proposed Findings of Fact, with Exhibit	
7/9/2020 18:07	Email from Division to ALJ objecting to Respondents' Motion to Object to Division's Proposed Facts	
7/10/2020 10:08	Email from Division to ALJ objecting to Respondents' Motion to Object to Division's Proposed Facts Due to Containing Protected Information	
7/10/2020 10:11	Email from ALJ to Respondent Wahl Directing Him to Redact Protected Information and Resubmit Filing	
7/13/2020 10:16	Respondents' Motion to Object to Divisions Proposed Findings of Fact, with Exhibit (REDACTED)	
7/13/2020 16:25	Proposed Findings of Fact and Conclusions of Law for Pro Se Respondents Gregory A. Wahl and Georgia C. Chung	
7/14/2020 14:57	Post Hearing Brief for Respondent's Gregory Wahl and Georgia Chung, dated May 28, 2020	
7/24/2020 15:58	Email from Division to Wahl Requesting Copy of Proposed Findings of Fact, filed on July 14, 2020 in Microsoft Word (rcvd from APFilings)	
7/25/2020 9:07	Email from Wahl to Division Providing Copy of Proposed Findings of Fact, filed on July 14, 2020 in Microsoft Word (rcvd from APFilings)	
7/25/2020 9:10	Email from Division to Wahl Acknowledging Receipt of Copy of Proposed Findings of Fact, filed on July 14, 2020 in Microsoft Word (rcvd from APFilings)	

Record Index

as of 3/29/2023

Page 8 of 9

8/4/2020 16:14	Order Granting Application for Reinstatement to Appear and Practice Before the Commission as an Accountant Responsible for the Preparation or Review of Financial Statements Required to be Filed with the Commission Other than as a Member of an Audit Committee	34-89475
8/11/2020 14:55	Division's Response to Respondents Gregory Wahl and Georgia Chung's Proposed Findings of Fact	
8/11/2020 14:56	Division's Response to Respondent Michael Deutchman's Proposed Findings of Fact and Conclusions of Law	
8/11/2020 14:57	Division's Post-Hearing Reply Brief	
11/17/2020 13:25	Record Index Prepared by the Office of the Secretary	
11/25/2020 12:01	Letter from Respondents Gregory A. Wahl and Georgia C. Chung Regarding Reconciliation of Records with Documents Submitted and the SECs Website, Reconciliation of the Record Index prepared by the Office of the Secretary, and Missing Records from LexisNexis	
11/30/2020 11:49	Order on Motion to Correct the Record Index (as to Wahl, Gregory A., CPA; Deutchman, Michael, CPA; and Chung, Georgia, CPA)	AP-6799
11/30/2020 15:56	Order Extending Deadline for Issuance of Initial Decision (as to Wahl, Gregory A., CPA; Deutchman, Michael, CPA; and Chung, Georgia, CPA)	AP-6800
12/3/2020 17:03	Order on Revisions to Record Index and Exhibit List	AP-6801
12/11/2020 13:09	Corrected Record Index Prepared by the Office of the Secretary	
2/8/2021 14:19	Initial Decision as to Gregory A. Wahl, CPA, Michael Deutchman, CPA, and Georgia Chung, CPA	ID-1407
3/1/2021 15:37	Respondents Wahl, Chung, and Deutchman Petition for Review of ALJ's Initial Decision (hard copy rcvd 3/23/2021)	
3/1/2021 15:43	Response for Order Granting Plaintiffs' (Premier Holding and Randall Letcavage) Motion of Summary Judgement for Pro Se Respondents Gregory Anton Wahl and Georgia C. Chung (hard copy rcvd 3/23/2021)	
3/22/2021 11:30	Order Granting Petition for Review and Scheduling Briefs	34-91381
4/13/2021 12:44	Notice of Appearance, John E. Birkenstock, Alyssa Qualls, Daniel J. Hayes, Ariella Guardi, Leslie Kazan, Donald Searles, and Jennifer Calabrese, on behalf of the Division	
5/21/2021 9:28	Division's Notice of Filing Paginated Copy of Respondent's Opening Brief (dated 4/21/2021; submitted to Division)	
5/21/2021 16:36	Division's Opposition to Respondent's Opening Brief	
6/4/2021 17:03	Reply Brief of Respondents Gregory A. Wahl, Georgia Chung, and Michael Deutchman in Support of their Petition for Review	
11/9/2021 14:51	Order Granting Application for Reinstatement to Appear and Practice Before the Commission as an Independent Accountant (as to Respondent Tommy Shek, CPA)	34-93544
1/26/2022 17:29	Respondent Letter to SEC Stating Pro Se Status and Withdrawal of Counsel, John Armstrong, Esq.	

Record Index

as of 3/29/2023

Page 9 of 9

2/4/2022 15:44	Order Extending Time to Issue Decision	34-94160
5/5/2022 10:07	Order Extending Time to Issue Decision	34-94854
8/3/2022 12:30	Order Extending Time to Issue Decision	34-95413
11/1/2022 10:56	Order Extending Time to Issue Decision	34-96196
1/30/2023 11:15	Order Extending Time to Issue Decision	34-96771