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Administrative Proceeding File No: 3-17352**Respondents:**

Saving2Retire, LLC; and Young, Marian P.

Filed Date	Event Description	Release No
7/19/2016 11:50	Order Instituting Administrative and Cease and Desist Proceedings, with party letters	IA-4457
7/22/2016 12:16	Order Scheduling Hearing and Designating Presiding Judge	AP-4012
7/28/2016 16:06	Order Postponing Hearing and Scheduling Prehearing Conference	AP-4028
8/9/2016 12:17	Notice of Appearance, Jennifer D. Brandt, Esq. on behalf of the Division (fax copy; hard copy rcvd 8/11/16)	
8/12/2016 9:58	Division's Status Report, with Ex. A-B (fax copy; hard copy rcvd 8/12/16)	
8/15/2016 16:24	Order Postponing Prehearing Conference	AP-4064
8/17/2016 9:00	Declaration of Jennifer D. Brandt to Assist Secretary with Record of Service, with Ex. A-D (fax copy/ hard copy 8/17/16)	
8/17/2016 11:21	Email String between ALJ Attorney-Advisor and Respondent Young dated 8/8/16; 8/9/16; and 8/16/16 (rcvd from ALJ)	
8/17/2016 16:30	Order Scheduling Prehearing Conference	AP-4074
8/24/2016 15:18	Respondents' Motion for Extension of Time (rcvd by email from ALJ 8/24/16; fax copy rcvd 8/24/16; hard copy rcvd 8/25/16)	
8/24/2016 16:53	Order	AP-4092
8/24/2016 17:23	Division's Response to Motion for Extension of Time (fax copy)	
8/26/2016 14:15	Letter dated 8/26/16 from Respondent (fax copy; hard copy rcvd 9/1/16)	
8/26/2016 16:55	Scheduling Order	AP-4098
9/21/2016 15:53	Transcript of 8/25/16 Telephonic Prehearing Conference; pp. 1-20	
9/26/2016 12:21	Respondent Marian P. Young's Answer to the Commission's Order Instituting Proceedings	
9/26/2016 12:22	Respondent Saving2Retire, LLC's Answer to the Commission's Order Instituting Proceedings	
9/26/2016 12:25	Respondents' Subpoena to SEC Fort Worth	
10/3/2016 11:04	Division's Objections to Respondents' Request for Issuance of Subpoena to Secretary of the SEC Brent Fields (fax copy; hard copy rcvd 10/4/16)	
10/4/2016 13:16	Division's Request for the Issuance of Subpoena to Respondent Young (fax copy; hard copy rcvd 10/5/16)	
10/11/2016 16:17	Respondents' Opposition to Division's Objections to Respondents' Request for Issuance of a Subpoena to Brent J. Fields	

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10/11/2016 16:18	Respondents' Objections to Division's Request for Issuance of Subpoena to Respondent Young	
10/13/2016 12:02	Order on Subpoena Request (denied in part)	AP-4252
10/14/2016 15:57	Order Denying Marian P. Young's Request to Quash Subpoena	
10/20/2016 17:15	Order Rescheduling Hearing	AP-4289
10/25/2016 16:57	Declaration of Jennifer D. Brandt	
10/25/2016 17:02	Division's Submission Regarding Certain Documents Requested by the Proposed Subpoena to Brent J. Fields	
12/9/2016 8:55	Division's Motion for Leave to File Motion for Summary Disposition and Brief in Support, with Exhibit A (Motion for Summary Disposition), and Appendix pp. 1-212 (fax copy; hard copy rcvd 12/12/16)	
12/9/2016 10:58	Respondents' Motion for Summary Disposition, with Exhibits A-D (exhibits received on 1/12/17)	
12/30/2016 11:38	Division's Response in Opposition to Respondents Motion for Summary Disposition and Brief in Support (fax copy; hard copy rcvd 1/3/17)	
1/11/2017 9:06	Division's Exhibit List (fax copy; hard copy rcvd 1/12/17)	
1/11/2017 9:07	Division's Witness List (fax copy; hard copy rcvd 1/12/17)	
1/12/2017 14:15	Respondents Saving2Retire, LLC and Marian P. Young's Exhibit List	
1/13/2017 16:26	Notice of Hearing Location	AP-4523
1/30/2017 14:52	Order on Motions for Summary Disposition	AP-4565
1/30/2017 15:14	Division's Prehearing Brief (fax copy; hard copy rcvd 1/31/17)	
2/16/2017 15:01	Order Scheduling Prehearing Conference	AP-4608
3/1/2017 15:46	Order Rescheduling Prehearing Conference	AP-4644
3/13/2017 13:41	Transcript of 3/2/17 telephonic Prehearing Conference, pp. 1-22	
4/4/2017 16:10	Notice of Hearing Location	AP-4732
5/3/2017 12:11	Order Scheduling Prehearing Conference	AP-4784
5/17/2017 10:13	Post Hearing Order	AP-4806
5/22/2017 14:02	RX-1-3, 5-12, 14-18	
5/22/2017 14:55	Division's Designations of the Deposition of Marian P. Young (fax copy; hard copy rcvd 5/23/17)	
5/24/2017 15:52	Division's Admitted Exhibit List	
5/24/2017 15:58	DX 2-18, 20-45 (DX-2, 4, 7-9, 11-14, 16-18, and 20-45 UNDER SEAL per AP-5068)	
5/30/2017 14:50	Transcript of 5/9/17 telephonic prehearing conference, pp. 23-35	
5/31/2017 13:43	Notice of Appearance, Finis Cowan, Esq., on behalf of the Respondents	
6/15/2017 15:39	Order Denying Deposition Corrections	AP-4871
6/15/2017 16:44	ALJ's Exhibit List Pursuant to Rule 351	

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6/22/2017 15:50	Agreed Motion for Extension of Time for Parties to File Opening Post-Hearing Briefs (fax copy; hard copy rcvd 6/23/17)	
6/23/2017 9:24	Order Granting Extension	AP-4886
7/3/2017 16:35	Division's Post-Hearing Brief (fax copy; hard copy rcvd 7/5/17)	
7/3/2017 16:36	Division's Proposed Findings of Fact and Conclusions of Law (fax copy; hard copy rcvd 7/5/17)	
7/10/2017 15:27	Respondent's Initial Brief and Supplemental Answer	
7/17/2017 11:04	Division's Response in Opposition to Respondents' Post-Hearing Brief (fax copy; hard copy rcvd 7/19/17)	
8/8/2017 15:11	Respondent's Request to ALJ for Subpoena to Secretary Brent Fields to Produce Documents (rcvd from ALJ)	
8/8/2017 16:05	Respondents' Designations and Objections to Division's Designations (rcvd from ALJ)	
8/8/2017 16:06	Transcript of 5/16/17 Hearing, pp. 1-120 Houston, TX (rcvd from ALJ)	
8/17/2017 8:47	Record Index Prepared by the Office of the Secretary	
9/5/2017 14:59	Order Regarding Personally Identifiable Information	AP-5017
9/13/2017 15:56	Declaration of Jennifer D. Brandt w/Redacted Exhibit List (fax copy; hard copy rcvd 9/14/17)	
9/14/2017 16:42	Division's Redacted Exhibits DX-R2, R4, R7, R8, R9, R11, R12, R13, R14, R16, R17, R18, R20-R45 (redacted per AP-5017) (with one CD)	
9/20/2017 15:37	Sealing Order	AP-5068
9/21/2017 12:50	Revised Record Index Prepared by the Office of the Secretary	
10/19/2017 16:14	Initial Decision	ID-1195
11/9/2017 8:12	Petition for Review and Motion for Stay (rcvd from ALJ; fax copy rcvd 11/9/17; hard copy rcvd 11/15/17)	
11/30/2017 11:05	Order	33-10440
12/4/2017 14:08	Order Ratifying Administrative Law Judges' Assignments	AP-5247
12/5/2017 13:53	Notice to the Parties and Order Following Remand	AP-5257
1/5/2018 14:57	Division's Letter Regarding Ratification of all Prior Actions, with proposed Order (fax copy; hard copy rcvd 1/8/18)	
1/25/2018 15:13	Order Ratifying Prior Actions	AP-5530
4/17/2018 13:44	Order Granting Petition for Review and Scheduling Briefs	IA-4887
5/4/2018 14:10	Respondent's Brief In Support Of Petition For Review And Renewal Of Their Motion For Stay (fax copy)	
6/20/2018 9:56	Division's Response in Opposition to Respondent's Brief in Support of Their Petition for Review	
6/21/2018 12:53	Order	33-10510
7/20/2018 10:05	Order	33-10522

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8/22/2018 13:08	Order	33-10536
8/23/2018 17:11	Notice from the Chief Administrative Law Judge	AP-5954
9/12/2018 7:35	Chief Administrative Law Judge's Order Assigning Proceedings Post Lucia v. SEC	AP-5955
9/14/2018 9:30	Notice of Withdrawal of Counsel, Finis Cowan, Esq., of the Law Office of Finis Cowan, on behalf of Respondents Saving2Retire, LLC and Marian P. Young	
9/20/2018 16:21	Order Following Reassignment	AP-6046
10/10/2018 10:43	Respondents' Proposal Per Order Following Reassignment Per Chief Administrative Law Judge	
10/12/2018 13:45	Division's Proposal for the Conduct of Further Proceedings	
10/16/2018 16:09	Division's Response to Respondents' Proposal Per Order Following Reassignment Per Chief Administrative Law Judge, with Ex. A	
10/17/2018 15:15	Order Scheduling Prehearing Conference	AP-6204
10/26/2018 15:50	Notice of Change of Prehearing Conference Date	AP-6263
11/7/2018 17:12	Order Following Prehearing Conference	AP-6309
11/15/2018 11:14	Order Following Respondent's Inquiry	AP-6327
11/19/2018 9:55	Order for New Procedural Schedule	AP-6341
12/13/2018 12:24	Order Regarding Subpoena to Produce Documents	AP-6400
1/16/2019 7:56	Order	33-10602
1/28/2019 11:50	Letter dated 12/12/18, from Bill Faulcoer Concerning Inquiry Regarding Marian Young	
1/30/2019 7:57	Order	33-10603
1/31/2019 16:28	Order Scheduling Prehearing Conference	AP-6425
2/8/2019 9:51	Order Rescheduling Prehearing Conference	AP-6446
2/25/2019 13:51	Transcript of Pre-Hearing Conference, pp. 1-40, 11/7/18, Fort Worth, TX (rcv'd via email from ALJ)	
2/26/2019 15:53	Division's Responses and Objections to Respondents' Interrogatories and Requests for Production	
3/4/2019 14:25	Order Following Prehearing Conference	AP-6479
3/6/2019 8:56	Division's Response to Discovery Order and Declaration of Jennifer D. Reece	
3/7/2019 15:16	Order Requiring in Camera Submission	AP-6488
3/11/2019 14:24	Transcript of 2/25/19 Pre-Hearing Conference, pp. 41-62, Ft. Worth, TX	
3/22/2019 15:17	Email dated 3/21/19 from Division to ALJ Requesting an Agreed Upon Extension of the Briefing Deadlines (rcvd from ALJ)	
3/22/2019 15:42	Order Modifying Procedural Schedule	AP-6515
3/26/2019 14:40	Order Following in Camera Submission	AP-6518
4/12/2019 11:57	Emails dated 4/10/2019 between Respondent, Division and ALJ	
4/12/2019 12:12	Order Addressing Respondents' Query	AP-6539

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4/17/2019 13:24	Division's Post-Hearing Brief and Division's Proposed Findings of Fact and Conclusion of Law	
5/2/2019 9:41	Email dated 4/30/2019 Marian P. Young Requesting a 60-day Extension from ALJ office (rcvd from ALJ)	
5/2/2019 15:29	Order Granting Respondents' Extension Request in Part	AP-6560
5/29/2019 13:57	Division's Reply in Support of its Post Hearing Brief (fax copy; hard copy rcv'd 5/31/19)	
5/31/2019 14:01	Respondents Saving2Retire, LLC and Marian P. Young's Answer Complaint of OIP 7-19-2016 and Opposing Brief	
5/31/2019 15:26	Order Concerning Potential Inability to Pay	AP-6591
6/7/2019 15:11	Order Concerning the Registration Status of Saving2Retire, LLC	AP-6598
6/18/2019 16:05	Notice to Court Regarding Registration Status of Saving2Retire, LLC (fax; hard copy rec'd 6/18/2019)	
7/9/2019 10:36	Judge's Exhibit List Pursuant to Rule 351	
8/5/2019 14:02	Record Index Prepared by the Office of the Secretary	
8/23/2019 11:30	Order on Respondents' Proposed Record Index Corrections	AP-6659
8/23/2019 14:14	RX-20	
8/23/2019 16:39	Email Chain dated 8/21/19 between ALJ, Respondent, and Division Regarding Brief and Audio Recording (rcvd from ALJ)	
8/26/2019 10:39	Initial Decision	ID-1384
9/20/2019 11:59	Petition for Review of Initial Decision (rcvd from ALJ; hard copy rcvd from applicant 10/8/19)	
10/10/2019 15:16	Order Granting Petition for Review and Scheduling Briefs	IA-5402
10/15/2019 15:51	Division's Brief in Opposition to the Application for Review of Initial Decision	
11/12/2019 10:16	Respondents Saving2Retire, LLC and Marian P. Young's Support Petition for Review of Initial Decision	
12/16/2019 9:34	Division's Response in Opposition to Respondents' Brief in Support of their Petition for Review	
1/29/2020 16:56	Respondent Marian Young's Notice of Temporary Address Change (1/29/20 to 3/4/20) (fax copy)	
10/16/2020 10:06	Order Extending Time to Issue Decision	IA-5615
1/14/2021 9:43	Order Extending Time to Issue Decision	IA-5668
4/14/2021 15:46	Order Extending Time to Issue Decision	IA-5718
4/15/2021 16:49	Notice of Appearance. Jennifer D. Reece, Esq. on behalf of the Division	
4/19/2021 12:13	Amended Notice of Appearance, Jennifer D. Reece, Esq. on behalf of the Division	
7/9/2021 15:58	Order Extending Time to Issue Decision	IA-5769
10/12/2021 12:36	Order Extending Time to Issue Decision	IA-5887

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1/10/2022 15:19	Order Extending Time to Issue Decision	IA-5942
4/11/2022 14:28	Order Extending Time to Issue Decision	IA-5996
7/11/2022 15:59	Order Extending Time to Issue Decision	IA-6067
10/11/2022 9:56	Order Extending Time to Issue Decision	IA-6166
12/9/2022 16:50	Division Motion to Stay Proceeding	
12/21/2022 15:17	Respondent Response in Opposition to the Division Motion to Stay Proceedings	
1/9/2023 14:54	Order Extending Time to Issue Decision	IA-6214