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Administrative Proceeding File No: 3-17184**Respondents:**

Gibson, Christopher M.

Filed Date	Event Description	Release No
3/29/2016 8:50	Order Instituting Admin Cease and Desist Proceedings, with party letters	34-77466
3/31/2016 16:52	Order Scheduling Hearing and Designating Presiding	AP-3755
4/1/2016 15:55	Notice of Appearance, Michael Selmer, on behalf the Division	
4/4/2016 11:32	Notice of Appearance, George Bagnall, on behalf of the Division	
4/4/2016 11:33	Notice of Appearance, Gregory Bockin, the Division of Enforcement	
4/4/2016 11:33	Notice of Appearance of Paul Bohr for the Division of Enforcement	
4/8/2016 12:38	Notice of Appearance of Ashley L. Baynham for Respondent (fax copy/ hard copy 4/11/16)	
4/8/2016 16:57	Notice of Appearance of Thomas Ferrigno for Respondent (fax copy/ hard copy 4/11/16)	
4/12/2016 12:30	Division's Brief in Support of Joint Motion for Postponement of Hearing and Scheduling of Prehearing Conference	
4/12/2016 12:51	Joint Motion for Postponement of Hearing and Scheduling of Prehearing Conference	
4/14/2016 15:04	Order Postponing Hearing and Scheduling Prehearing Conference	AP-3783
4/15/2016 15:04	Joint Motion for Entry of Protective Order, with Attachment A	
4/18/2016 17:14	Order Granting Joint Motion for Protective Order	AP-3788
4/20/2016 17:43	Respondent's Answer and Affirmative Defenses to the OIP (fax copy/ hard copy 4/21/16)	
5/2/2016 16:49	Joint Motion for Entry of Proposed Prehearing Schedule, with proposed schedule attached	
5/3/2016 17:04	Order on Motion	AP-3821
5/10/2016 15:58	Order Following Prehearing Conference	AP-3834
5/13/2016 9:25	Transcript of 5/9/16Telephonic Prehearing Conference; pp. 1-11.	
5/23/2016 13:33	Joint Motion for Entry of Revised Prehearing Schedule.	
5/25/2016 16:58	Order Granting Joint Motion for Prehearing Schedule	AP-3866
7/22/2016 8:34	Notice of Appearance, Paul F. Enzinna of Law Office of Paul F. Enzinna, LLC, on behalf of Respondent (fax copy/ hard copy 7/25/16)	
7/25/2016 14:53	Respondent's Motion for Protective Order, with attached [Proposed] Order Granting Protective Order	
7/29/2016 14:54	Division's Opposition to Respondent's Motion for Protective Order Regarding Unidentified Financial Information.	

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7/29/2016 15:20	Division's Motion for Issuance of Subpoenas Requiring Attendance & Testimony at Hearing, with Attachments A-C.	
8/3/2016 8:57	Joint Motion to Modify Stipulation Regarding Protective Order (fax copy; hard copy rcvd 8/4/16)	
8/4/2016 13:47	Respondent's Reply to Division's Opposition to Respondent's Motion for Protective Order.	
8/8/2016 13:49	Division's Motion in Limine Requesting that Respondent be Prohibited from Arguing Reliance on Counsel or Offering Evidence of Communications with Counsel, with Ex. A-D	
8/10/2016 14:14	Order Granting Joint Motion for Modification of Protective Order	AP-4054
8/10/2016 16:44	Respondent's Objections to the Division's Exhibits.	
8/12/2016 14:04	Division's Objections to Respondent's Hearing Exhibits.	
8/15/2016 16:15	Order Scheduling Prehearing Conference	AP-4063
8/17/2016 15:11	Respondent's Opposition to Division's Motion in Limine Requesting that Respondent Be Prohibited From Arguing Reliance on Counsel or Offering Evidence of Communications With Counsel.	
8/22/2016 14:43	Order on Motion in Limine	AP-4079
8/24/2016 16:18	Order Following Second Prehearing Conference	AP-4089
8/26/2016 15:57	Division's Prehearing Brief	
8/29/2016 12:54	Respondent's Prehearing Brief	
8/31/2016 12:08	Transcript of 8/23/16 telephonic prehearing conference; pp. 12-28.	
8/31/2016 12:49	Division's Motion in Limine Requesting Exclusion of Testimony of Lawyers Thomas Harman and Myron Steele	
9/2/2016 11:30	Division's Amended Objections to Respondent's Hearing Exhibits	
9/6/2016 13:52	Division's Motion in Limine Requesting That Portions of Respondent's Prior Testimony Be Admitted into Evidence, with Attachments A through C	
9/7/2016 11:38	Respondent's Opposition to Division's Motion in Limine Requesting Exclusion of Testimony of Thomas S. Harman and Myron T. Steele	
9/8/2016 15:05	Notice of Appearance, Paul F. Enzinna, of Paul F. Enzinna, LLC, on behalf of Respondent (duplicate copy)	
9/8/2016 16:00	Respondent's Motion for Production of Witness Statements	
9/9/2016 9:20	Respondent's Amended Objections to the Division's Hearing Exhibits	
9/9/2016 11:21	Order Denying Motion to Exclude Testimony	AP-4141
9/9/2016 14:52	Division's Response To Respondent's Motion For Production Of Witness Statements.	
9/9/2016 16:34	Respondent's Opposition to Division's Motion in Limine Requesting that Portions of Respondent's Prior Testimony be Admitted into Evidence, with Attachments A-C.	
9/9/2016 17:12	Notice of Appearance, Andrew C. Crawford, of Brown Rudnick LLP, on behalf of Respondent	

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9/14/2016 13:48	Division Exhibit 192 (Stipulation of the Parties) Submitted to Judge at 9/13/16 Hearing. (Received from ALJ)	
9/16/2016 16:34	Post-Hearing Order	AP-4165
9/19/2016 16:58	Transcript of 9/12/16 Hearing; Washington, DC; pp. 1-290.	
9/20/2016 14:30	Transcript of 9/13/16 Hearing; Washington, DC; pp. 291-600.	
9/22/2016 13:15	Transcript of 9/14/16 Hearing; Washington, DC; pp. 601-907.	
9/22/2016 13:17	Transcript of 9/15/16 Hearing; Washington, DC; pp. 908-1146.	
9/22/2016 13:18	Transcript of 9/16/16 Hearing; Washington, DC; pp. 1147-1184.	
10/5/2016 12:20	Division's Motion for Amendment of Post-Hearing Briefing Deadlines.	
10/11/2016 11:06	Order Extending Briefing Deadlines	AP-4238
10/13/2016 15:34	Division Admitted Exhibit (DX 4, 10-43, 45-60, 62-64, 66-135, 139-156, 160, 166-170, 179-183, 183A, 184-185, 187-192)	
10/13/2016 15:52	(UNDER SEAL) Division Admitted Exhibits (DX 44, 61, 65, 136, 137, 138)	
10/17/2016 11:02	Respondent's Admitted Exhibits (RX 1-32, 34, 36-37, 40-42, 44-119, 121-140, 144-145, 147-150, 152-158, 160-161, 175-176)	
10/17/2016 11:03	(UNDER SEAL) Respondent's Admitted Exhibits RX: 141, 142, 143)	
10/17/2016 13:10	Respondent's Motion to Correct Transcripts.	
10/20/2016 11:33	(UNDER SEAL) Respondent's Notice of Filing Form D-A	
10/24/2016 13:07	Notice of Change of Address as to Respondent's Counsel Paul F. Enzinna. Respondent's Counsel Enzinna Is No Longer Affiliated With The Law Office of Paul F. Enzinna, LLC.	
10/24/2016 16:28	Division's Proposed Findings of Fact and Conclusions of Law.	
10/24/2016 16:36	Division's Post-Hearing Brief.	
10/25/2016 16:38	Division's Motion for Additional Time to Respond to Motion To Correct Transcripts.	
10/26/2016 8:23	Order Extending Date for Response to Motion to Correct Transcripts	AP-4302
10/27/2016 15:31	Respondent's Opposition to Division's Motion for Additional Time to Respond to Motion to Correct Transcript.	
10/28/2016 15:45	Division's Response To Respondent's Motion To Correct Transcripts, with Exhibits.	
11/2/2016 16:30	Letter dated 11/1 to Respondent's Counsel from Division Regarding Agreed Discussion on 10/31, with Attached Revised List of Proposed Changes	
11/7/2016 12:58	Respondent's Reply to Division's Response to Respondent's Notice to Correct Transcripts, with Attached Exhibit A.	
11/10/2016 12:23	Order on Proposed Corrections to Hearing Transcript and Exhibits	AP-4339
11/13/2016 12:18	Transcript of 5/9/16 Telephonic Prehearing Conference; pp. 1-11.	
11/22/2016 12:18	Amended Transcript of 9/12/16 Hearing; Washington, DC; pp. 1-288.	
11/22/2016 12:20	Amended Transcript of 9/13/16 Hearing; Washington, DC; pp. 291-598.	
11/22/2016 12:21	Amended Transcript of 9/14/16 Hearing; Washington, DC; pp. 601-905.	

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11/22/2016 12:22	Amended Transcript of 9/15/16 Hearing; Washington, DC; pp. 908-1144.	
11/22/2016 12:23	Amended Transcript of 9/16/16 Hearing; Washington, DC; pp. 1147-1181.	
11/28/2016 13:59	Respondent's Motion for Additional Time to File Post-Hearing Brief, Proposed Findings of Fact, and Proposed Conclusions of Law (rcvd from ALJ; hard copy 11/29/16)	
11/28/2016 16:57	Order Extending Briefing Deadlines	AP-4389
11/30/2016 13:21	Respondent's Post-Hearing Brief.	
11/30/2016 13:37	Respondent's Proposed Findings of Fact and Conclusions of Law.	
12/6/2016 8:55	Division's Admitted Exhibits 116 (Spreadsheet) and 183 (Audio) CDs.	
12/6/2016 12:38	ALJ's Exhibit List Pursuant to Rule 351.	
12/20/2016 15:31	Division's Responses to Respondent's Proposed Findings and Conclusions.	
12/20/2016 15:35	Division's Post-Hearing Reply Brief.	
12/27/2016 11:24	Record Index Prepared by the Office of the Secretary.	
1/25/2017 10:29	Initial Decision	ID-1106
1/25/2017 11:44	Correction Order	AP-4546
2/14/2017 16:15	Respondent's Petition for Review of Initial Decision. (fax copy; hard copy rcvd 2/15/17)	
3/6/2017 15:54	Order Granting Petition for Review and Scheduling Briefs	34-80163
3/13/2017 12:40	Respondent's Motion to Stay Proceeding.	
3/15/2017 17:16	Division's Opposition to Motion to Stay Proceeding.	
3/20/2017 12:53	Respondent's Reply to Division's Opposition to his Motion to Stay Proceeding.	
4/5/2017 16:40	Respondent's Opening Brief (fax copy; hard copy rcvd 4/6/17)	
4/5/2017 16:57	Respondent's Motion for Oral Argument (fax copy; hard copy received 4/6/2017).	
4/5/2017 16:59	(UNDER SEAL) Respondent's Notice of Filing of Form D-A, with Form D-A and Attachments (fax copy; hard copy rcvd 4/6/17)	
4/7/2017 15:42	Division's Motion to Strike Respondent's Opening Brief.	
4/13/2017 7:51	Respondent's Opposition to Division's Motion to Strike Respondent's Opening Brief. (rcvd from ALJ; hard copy rcvd 4/17/17)	
4/17/2017 15:16	Division's Reply in Support of Motion to Strike Respondent's Opening Brief.	
4/21/2017 15:56	Order Granting Extension of Time	34-80508
4/21/2017 16:02	Order Denying Motion to Stay Administrative Proceeding	34-8059
5/11/2017 15:51	Order Granting Motion to Strike and Modifying Briefing Schedule	34-80663
5/18/2017 11:01	Opening Brief of Respondent Christopher M. Gibson (fax copy; hard copy rcv'd 5/19/17)	
5/24/2017 17:15	Respondent's Notice of Filing of Amended Form D-A. (rcvd from ALJ; hard copy rcvd 5/25/17)	

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6/19/2017 9:24	Division's Opposition Brief	
7/3/2017 16:15	Respondent's Reply Brief (fax copy; hard copy rcvd 7/6/17)	
7/13/2017 12:37	Respondent's Motion for Leave to File Corrected Brief, with Reply Brief Respondent Christopher M. Gibson. (Corrected Brief)	
7/14/2017 16:08	Division's Reply to Respondent's Motion for Leave to File Corrected Reply Brief.	
7/17/2017 15:29	Notice of Appearance, David E. Hudson, of Hull Barrett, PC, on behalf of the Respondent	
10/10/2017 8:20	Notice of Change of Firm Affiliation.	
11/30/2017 15:35	Order	33-10440
12/4/2017 14:15	Order Ratifying Administrative Law Judges' Assignments	AP-5247
12/12/2017 15:00	Order Regarding the Securities and Exchange Commission's Order on Pending Administrative Proceedings	AP-5371
12/21/2017 13:49	Respondent's Motion for Extension of Time	
12/26/2017 14:13	Division's Opposition to Respondent's Motion for a Three-Month Extension of Time	
12/28/2017 15:57	Resp's Reply to Div's Opposition to Respondent's Motion for a Three-Month Extension of Time	
1/2/2018 13:04	Order on Motion to Extend Time	AP-5429
2/14/2018 13:58	Letter dated 2/14/18 from the Division to CALJ Murray regarding Commission's ratification of the prior appointment of its administrative law judges with proposed draft order	
2/15/2018 16:27	Letter dated 2/14/18 from counsel with Respondent's Brief regarding New Evidence and Challenged Rulings, Findings and Conclusions.	
2/15/2018 16:59	Withdrawal of Appearance as Counsel for the Division of Enforcement	
3/1/2018 16:37	Division's Response to Respondent's Brief Regarding New Evidence and Challenged Rulings, Findings, and Conclusions	
3/1/2018 16:56	Letter dated 3/1/18 with Respondent's Opposition to Division of Enforcement letter dated 2/14/18	
3/14/2018 12:35	Order Extending Date for Ratification Ruling	AP-5648
5/11/2018 14:05	Order Ratifying Prior Actions	AP-5724
5/29/2018 15:24	Respondent's Supplemental Petition for Review of Initial Decision	
6/21/2018 13:21	Order	33-10510
7/20/2018 10:01	Order	33-10522
8/22/2018 16:06	Order	33-10536
8/23/2018 18:26	Notice from the Chief Administrative Law Judge	AP-5954
9/12/2018 7:32	Chief Administrative Law Judge's Order Assigning Proceedings Post Lucia v. SEC	AP-5955
9/21/2018 15:21	Order Following Reassignment	AP-6061
10/11/2018 18:15	Notice of Appearance, Nicolas C. Margida, on behalf of the Division	

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10/11/2018 18:20	Division of Enforcement's Status Update and Motion for Entry of Proposed Prehearing Schedule.	
10/12/2018 9:16	Respondent's Proposal Regarding Hearing	
10/12/2018 14:39	Order Scheduling Prehearing Conference	AP-6178
10/18/2018 16:12	Order Setting Prehearing Schedule and General Prehearing Order	AP-6224
10/29/2018 15:56	Transcript of 10/17/18 telephonic Pre-Hearing Conference, pp. 1-13.	
10/29/2018 16:50	Respondent's Answer and Affirmative Defenses to the OIP (Fax copy; hard copy rcv'd 10/30/18)	
11/19/2018 14:17	Joint Motion for Entry of Protective Order	
11/26/2018 14:14	Order Granting Joint Motion for Protective Order	AP-6362
11/30/2018 15:55	Joint Status Report Regarding Previously-Admitted Exhibits	
1/16/2019 7:52	Order	33-10602
1/30/2019 7:55	Order	33-10603
2/13/2019 11:34	Division's Motion to Extend Prehearing Deadlines and Reschedule Hearing	
2/13/2019 16:42	Order Extending Prehearing Schedule and Rescheduling Hearing	AP-6455
3/18/2019 10:52	Order Redesignating Presiding Judge	AP-6507
3/25/2019 18:01	Respondent's Motion to Stay or Alternatively, to Extend Prehearing Deadlines and Hearing Date.	
3/28/2019 17:10	Respondent's Motion to Withdraw Motion to Stay or Alternatively, to Extend Prehearing Deadlines and Hearing Date and Motion to Extend Prehearing Deadlines and Hearing Date.	
4/1/2019 14:27	Order Extending Prehearing Schedule and Rescheduling Hearing	AP-6530
4/26/2019 17:45	Division's List of Potential Witnesses.	
4/26/2019 17:46	Division's List of Hearing Exhibits.	
5/3/2019 15:10	Division of Enforcement's Exper Reports of Dr. Gary Gibbons and Carmen Taveras, Ph.D.	
5/10/2019 18:29	Respondent's List of Potential Witnesses (fax copy; hard copy rcvd 5/13/2019)	
5/10/2019 18:29	Respondent's List of Hearing Exhibits (fax copy; hard copy rcvd 5/13/2019)	
5/17/2019 11:37	Respondent's Objections to the Division's Exhibits (fax copy; hard copy rcvd 5/20/19)	
5/17/2019 12:36	Division's Request for Issuance of Subpoena to Appear and Testify at a Deposition (to James M. Hull)	
5/24/2019 13:47	Division's Motion to Preclude Testimony of Current and Former Division Counsel.	
5/24/2019 14:24	Respondent's Notice of Filings (Expert Report of Jeffrey Smith (Respondent's Exhibits No. 227) and the Expert Report of Daniel Bystrom (Respondent's Exhibit No. 228). (fax copy; hard copy rc'vd 5/28/19)	
5/28/2019 14:29	Division's Request for Issuance of Subpoena for Documents.	

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5/31/2019 16:50	Respondent's Motion For Extension of Deadline for Completion of Depositions (fax copy; hard copy rcv'd 5/31/19)	
5/31/2019 17:41	Division's Objections to Respondent's Hearing Exhibits.	
6/3/2019 12:43	Order Granting Motion for Extension of Deposition Deadline	AP- 6593
6/3/2019 18:48	Opposition of Respondent Gibson to Division of Enforcement's Motion to Preclude Testimony of Current and Former Division Counsel. (fax copy; hard copy 6/4/19)	
6/4/2019 14:27	Order Directing Motions in Limine and Oral Argument	AP-6594
6/6/2019 15:20	Divisions of Enforcement's Reply in Support of Its Motion to Preclude Testimony of Current and Former Division Counsel	
6/7/2019 17:24	Division of Enforcement's Notice of Filings of Rebuttal Expert Report	
6/8/2019 18:56	Division of Enforcement's Reply in Support of its Motion in Limine to Exclude Respondent Exhibits.	
6/11/2019 16:55	Respondent's Application to Quash Subpoena to Produce Documents (fax copy; hard copy rcv'd 6/11/2019)	
6/12/2019 13:57	Order on Reissuance of Subpoena	AP-6599
6/13/2019 15:47	Order Setting Oral Argument Date	AP-6600
6/14/2019 10:22	Respondent's Notice of Change of Firm Affiliation (fax copy; hard copy rcv'd 6/17/19).	
6/14/2019 10:27	Respondent's Motion in Limine and Memorandum of Points and Authorities in Support. (fax copy; hard copy rcv'd 6/17/19).	
6/14/2019 14:08	Division of Enforcement's Motion in Limine to Exclude Respondent's Exhibits	
6/17/2019 16:47	Division of Enforcement's Opposition to Respondent's Application to Quash Subpoena to Produce Documents.	
6/21/2019 14:56	Respondent's Response to Division's Motion in Limine to Exclude Respondent's Exhibits (fax copy)	
6/21/2019 20:15	Division's Joint Stipulation of the Parties (submitted by Division)	
6/21/2019 20:16	Division of Enforcement's Motion in Limine to Admit Respondent's Prior Investigative Testimony into Evidence.	
6/21/2019 20:23	Respondent's Reply to the Division of Enforcement's Opposition to Application to Quash Subpoena to Produce Documents (fax copy; hard copy rcv'd 6/21/19).	
6/21/2019 20:24	Division of Enforcement's Opposition to Respondent's Motion in Limine to Exclude certain Exhibits	
6/24/2019 16:22	Respondent's Response to Division of Enforcement's Opposition to Respondent's Motion in Limine to Exclude certain Exhibits	
6/28/2019 11:11	Order Granting Motion in Limine to Preclude Testimony by Current and Former Counsel for the Division of Enforcement	AP-6615
6/28/2019 14:19	Order Granting Extension	AP-6616

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6/28/2019 18:45	Reply of Respondent Gibson to Division of Enforcement Opposition to Respondent's Motion in Limine to Exclude Certain Exhibits. (fax copy; hard copy rcv'd 7/1/19)	
6/28/2019 18:49	Respondent's Opposition of Respondent Gibson to Division of Enforcement's Motion in Limine Requesting to Admit Respondent's Prior Investigative Testimony into Evidence. (fax copy; hard copy rcv'd 7/1/19)	
6/28/2019 18:51	Respondent's Motion for Additional Time to File Pre-hearing Brief (fax copy; hard copy rcv'd 6/28/219)	
7/1/2019 14:54	Order Denying Respondent's Motion to Quash	AP-6618
7/1/2019 18:18	Respondent's Prehearing Brief (fax copy; hard copy rcv'd 7/2/19).	
7/1/2019 18:19	Division of Enforcement's Prehearing Brief	
7/2/2019 18:16	Division's Reply to Respondent's Opposition to Division's Motion in Limne to Admit Respondent's Prior Testimony Into Evidence.	
7/2/2019 18:53	Division of Enforcement's Motion in Limine to Exclude Jeffery Smith and Strike His Expert Report	
7/8/2019 14:59	Notice of Appearance, Elizabeth L. Davis, of Murphy & McGonigle PC, on behalf of Respondent (fax copy; hard copy 7/8/2019)	
7/8/2019 15:05	Respondent's Brief In Response To The Division Enforcement Objection And Motion To Exclude The Testimony Of Expert Jeffrey M. Smith (fax copy; hard copy rcv'd 7/8/2019)	
7/11/2019 16:23	Order Following Oral Argument	AP-6624
7/12/2019 19:12	Division of Enforcement's Motion for Issuance of Subpoenas Requiring Attendance and testimony at Hearing	
7/15/2019 16:45	Order Addressing Examination of Experts	AP-6629
7/15/2019 18:26	Respondent's Motion for Issuance of Subpoenas Requiring Attendance and Testimony at Hearing (fax copy; hard copy rcv'd 7/15/2019)	
7/15/2019 18:31	Transcript of 7/9/2019 of Motions Hearing, pp. 1-81, Washington, DC.	
7/17/2019 18:17	Notice of Appearance, Stephen J. Crimmins, Murphy & McGonigle PC, on behalf of Respondent.	
7/22/2019 14:49	Joint Stipulations of Parties	
7/23/2019 18:08	Order Following Final Prehearing Conference	AP-6638
7/30/2019 13:13	Transcript of 7/23/2019 of Pre-Hearing Conference, pp. 1-31, Washington, DC.	
8/1/2019 16:13	Transcript of 7/29/2019 of Hearing Vol. 1, pp. 1-423, Washington, DC.	
8/5/2019 10:11	Post-Hearing Order	AP-6648
8/5/2019 14:54	Transcript of 7/30/2019 of Hearing Vol. 4, pp. 424-857, Washington, DC.	
8/5/2019 14:55	Transcript of 7/31/2019 of Hearing Vol. 3, pp. 858-1266, Washington, DC.	
8/6/2019 16:28	Order on Transcript Corrections	AP-6651
8/8/2019 18:35	Transcript of 8/1/2019 of Hearing Vol. 4, pp. 1267-1545, Washington, DC.	

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8/19/2019 15:00	Transcript of 7/30/2019 of Hearing Vol.2, pp. 424-857, Washington, DC	
8/19/2019 15:01	Transcript of 7/31/2019 of Hearing Vol.3, pp. 858-1266, Washington, DC	
8/19/2019 15:02	Transcript of 8/1/2019 of Hearing Vol.4, pp. 1267-1545, Washington, DC	
8/19/2019 15:03	Transcript of 8/2/2019 of Hearing Vol.5, pp. 1546-1690, Washington, DC	
8/19/2019 15:05	Amended Transcript of 7/29/2019 of Hearing Vol.1, pp. 1-423, Washington, DC	
8/21/2019 11:44	Respondent's Exhibits Vol. 1 (1-32; 34; 36-37; 40-42; 44-119; 121; 141-143; 147)	
8/21/2019 11:45	Respondent's Exhibits Vol. 2 (152-158; 160-161; 177-178; 180-181; 183-240; Joint Exhibit 1)	
8/22/2019 11:45	Division Offered/Admitted Exhibits Vol.1 (1-7; 9-60)	
8/22/2019 11:46	Division Offered/Admitted Exhibits Vol.2 (61-137)	
8/22/2019 11:47	Division Offered/Admitted Exhibits Vol.3 (138-156; 160; 165-170; 173-174; 177-189; 198)	
8/22/2019 11:47	Division Offered/Admitted Exhibits Vol.4 (203-205; 208-219; 226-227; Joint Exhibit 1)	
8/27/2019 18:38	Joint Stipulation of the Parties	
8/29/2019 16:03	Order Addressing Joint Stipulation on Constitutional Defenses	AP-6668
9/13/2019 19:42	Division's Post Hearing Brief	
9/13/2019 19:43	Division's Proposed Findings and Fact and Conclusions of Law	
9/16/2019 12:20	Respondent's Proposed Post- Hearing Brief (fax copy; hard copy rcv'd 9/16/2019)	
9/16/2019 12:24	Respondent's Proposed Findings of Fact and Conclusions of Law (fax copy; hard copy rcv'd 9/16/2019)	
9/16/2019 12:55	Respondent's Request for Protective Order (fax copy; hard copy rcv'd 9/16/2019)	
9/16/2019 15:10	Division's Unopposed Motion For Extension Of Post-Hearing Briefing Deadlines	
9/16/2019 18:52	(UNDER SEAL) Respondent's Form D-A	
9/17/2019 10:25	Order Granting Extension	AP-6676
9/18/2019 16:27	Protective Order for Respondent's Financial Records	AP-6679
9/26/2019 18:36	Respondent's Motion pursuant to Rule 152(F) For an Order Striking Scandalous and Impertinent Matter (fax copy; hard copy rcv'd 9/30/2019)	
10/2/2019 16:39	Division's Opposition to Respondent's Motion to Strike (Respondent's Motion)	
10/4/2019 17:01	Division of Enforcement's Responses to Respondent's Proposed Findings of Fact and Law Conclusions of Law	
10/4/2019 17:03	Division of Enforcement's Post-Hearing Reply Brief	
10/4/2019 17:38	Respondent's Post Hearing Reply Brief (fax copy; hard copy 10/7/2019)	
10/8/2019 11:49	Respondent's Reply to Division's Opposition to Respondent's Motion to Strike (fax copy; hard copy rcvd 10/8/19)	
10/8/2019 14:58	Respondent's Motion to Strike Division's Responses (fax copy; hard copy 10/09/2019)	

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10/9/2019 16:38	Order on Respondent's Motion to Strike and the Division of Enforcement's Letter	AP-6695
10/15/2019 12:49	Order on Motions to Strike	AP-6696
10/25/2019 15:15	Order on Respondent's Exhibit 235	AP-6699
10/29/2019 13:04	Respondent's Withdrawal of Respondent's Exhibit 235	
10/29/2019 13:07	Respondent Christopher M. Gibson's Response to Division's Proposed Findings of Fact and Conclusions of Law.	
11/18/2019 11:15	ALJ's Exhibit List Pursuant to Rule 351	
1/1/2020 18:21	Letter dated 3/8/2019 to Judge w/ attachments re Declaratory and Injunctive Relief in Court (rcv'd from ALJ's office; rcv'd in ALJ's office on 3/11/2019).	
1/1/2020 18:23	Division's Request for Issuance of Subpoena for Documents; Christopher Gibson (rcv'd from ALJ's office; rcv'd in ALJ's office on 06/03/2019).	
1/1/2020 18:25	Division's Response to Respondent's Motion to Strike (rcv'd from ALJ's office; rcv'd in ALJ's office on 10/10/2019).	
1/10/2020 11:18	Record Index Prepared by the Office of the Secretary	
2/18/2020 12:09	Order Extending Deadline for Issuance of Initial Decision	AP-6733
3/2/2020 13:18	Letter dated 7/28/19 from counsel for Respondent to ALJ setting forth Constitutional challenges to the case (rcvd from ALJ on 3/2/20)	
3/2/2020 14:13	Letter dated 7/28/19 from counsel for Respondent to ALJ objecting to the admission of Division's exhibits 183 and 183A (rcvd from ALJ on 3/2/20)	
3/20/2020 15:25	Waiver of Service from Attorney Crimmins on behalf of Respondent (rcvd from ALJ)	
3/20/2020 15:28	Waiver of Service from Attorney Bockin on behalf of the Division of Enforcement (rcvd from ALJ)	
3/24/2020 14:26	Initial Decision	ID-1398
4/10/2020 15:42	Respondent's Petition for Review of Initial Decision (rcvd from APFilings)	
5/1/2020 15:15	Order Granting Petition for Review and Scheduling Briefs	34-88799
6/1/2020 11:18	Respondent's Brief in Support of Petition for Review (rcvd from APFilings)	
7/1/2020 9:07	Division of Enforcement's Opposition Brief	
7/15/2020 14:58	Respondent's Reply Brief in Support of Petition for Review	
4/22/2021 12:08	Notice of Appearance, Nicholas C. Margida and Gregory R. Bockin, Co-Counsel, on behalf of the Division	
5/17/2021 14:49	Order Extending Time to Issue Decision	34-91913
8/16/2021 12:03	Order Extending Time to Issue Decision	34-92679
11/15/2021 10:51	Order Extending Time to Issue Decision	34-93572
2/14/2022 15:11	Order Extending Time to Issue Decision	34-94241
4/1/2022 14:54	Notice of Withdrawal, Gregory R. Bockin and Michael Semler, on behalf of the Division	
5/16/2022 15:08	Order Extending Time to Issue Decision	34-94919

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8/15/2022 17:25	Order Extending Time to Issue Decision	34-95500
11/14/2022 11:05	Order Extending Time to Issue Decision	34-96302
2/13/2023 10:25	Order Extending Time to Issue Decision	34-96891
2/16/2023 15:08	Respondent's Notice of Change of Email, Address, and Affiliation	
