

Record Index

as of 3/24/2023

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Administrative Proceeding File No: 3-16517**Respondents:**

Kokesh, Charles R.

Filed Date	Event Description	Release No
4/28/2015 15:49	Order Instituting Administrative Proceedings Pursuant to Section 203(f) of the Investment Advisers Act of 1940 and Notice of Hearing, with party letters	IA-4070
4/29/2015 13:58	Order Scheduling Hearing and Designating Presiding Judge	AP-2609
5/13/2015 11:50	Notice of Appearance, Timothy S. McCole, on behalf of the Division (fax copy; hard copy 5/13/15)	
5/14/2015 11:13	Joint Motion to Postpone Hearing and to Set Telephonic Prehearing Conference (fax copy; hard copy 5/15/15)	
5/15/2015 15:16	Order Postponing Hearing and Order Parties to Conduct a Pre-Hearing Conference	AP-2679
6/8/2015 9:41	Joint Prehearing Conference Statement (fax copy; hard copy rcvd 6/9/15)	
6/8/2015 16:50	Scheduling Order	AP-2782
7/1/2015 14:56	Order to Show Cause	AP-2888
7/31/2015 9:22	Division of Enforcement Motion for Default Against Respondent, with Attachments (fax copy/hard copy 8/3/15)	
9/9/2015 16:48	Initial Decision of Default	ID-876
5/26/2021 18:17	Order Requesting Briefing	IA-5739