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Administrative Proceeding File No: 3-15755**Respondents:**

Feathers, Mark

| Filed Date | Event Description | Release No |
|-----------------|---|------------|
| 2/18/2014 8:49 | Order Instituting Administrative Proceedings and Notice of Hearing | 34-71565 |
| 2/25/2014 14:39 | Order Scheduling Hearing And Designating Presiding Judge | AP-1261 |
| 2/28/2014 16:57 | Letter dated 2/28/14 from Respondent re OIP's References to Any "Questions or Concerns of OIP" (as to J. Bulgozdy; and Request for 30-Day or Longer Extension to Time Allowed to Submit Answers to the Commission's Allegations) (fax copy; hard copy rcvd 3/10/14) | |
| 3/5/2014 17:15 | Letter dated 3/5/14 to ALJ Foelak from John B. Bulgozdy,, Division, Addressing 3 Issues as to Postponement of 3/24/14 Hearing Date, Respondent Request for Extension of Time to File Answer 30 Days Longer, and Scheduling Pre-Hearing Conference (fax; hard copy 3/6/14) | |
| 3/5/2014 17:21 | Letter re Misrepresentations Made by SEC Enforcement Trial Counsel in a Letter Addressed to the Attention of ALJ Foelak (fax copy) | |
| 3/10/2014 10:40 | Postponement Order | AP-1292 |
| 3/12/2014 8:50 | Respondent's Request for More Definitive Statements (fax copy; hard copy 3/13/14) | |
| 3/12/2014 15:04 | Respondent's Answer and Defenses to OIP (fax copy) | |
| 3/18/2014 16:42 | Letter dated 3/18/14 from Respondent to ALJ Foelak Seeking to Defer 3/24/14 Scheduled Hearing for at Least 6 Months and Defer Pre-Hearing Conference for at Least 5 Months (fax copy; hard copy 3/18/14) | |
| 3/18/2014 17:55 | Order | AP-1313 |
| 3/25/2014 13:53 | Prehearing Order | AP- 1333 |
| 3/31/2014 12:54 | Respondent Mark Feathers' Request for Post-Prehearing Conference Confirmation of Status (Rcvd from ALJ) | |
| 4/1/2014 15:55 | Respondent Request for Reconsideration of ALJ Motion Approving Summary Disposition Hearing Request by Division (rcvd from ALJ) (fax copy) | |
| 4/1/2014 16:31 | Order | AP-1353 |
| 4/7/2014 9:33 | Division's Motion for Summary Disposition Against Respondent, Declaration of John B. Bulgozdy, and Exhibits (fax copy; hard copy rcvd 4/8/14) | |
| 4/7/2014 14:44 | Transcript of 3/24/14 telephonic prehearing conference; pp. 1-14 | |
| 5/6/2014 9:44 | Respondent's Response to Division's Request for Summary Disposition | |
| 5/12/2014 16:35 | Division's Reply Memorandum in Support of Motion for Summary of Disposition Against Respondent Pursuant to Commission Rule of Practice 250 (fax copy; hard copy rcvd 5/13/14) | |

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| 5/12/2014 16:50 | Declaration of John B. Bulgozdy in Support of Division's Reply Memorandum in Support of Motion for Summary Disposition Against Resp Feathers (fax copy; hard copy rcvd 5/13/14) | |
| 5/30/2014 15:00 | Initial Decision | ID-605 |
| 6/9/2014 8:26 | Motion to Correct Manifest Error of Fact in Initial Decision (rcvd from ALJ 6/6/14; fax copy 6/10; hard copy rcvd 6/16/14) | |
| 6/12/2014 17:01 | Division's Opposition to Motion of Respondent to Correct Manifest Error of Fact in Initial Decision (fax copy; hard copy 6/13/14) | |
| 6/13/2014 16:28 | Order | AP-1520 |
| 6/24/2014 8:48 | Respondent's Petition for Review of Initial Decision (rcvd from ALJ) | |
| 7/10/2014 16:12 | Order Granting Petition for Review and Scheduling Briefs | 34-72592 |
| 7/14/2014 16:11 | Division's Motion for Summary Affirmance of Initial Decision (fax copy; hard copy rcvd 7/15/14) | |
| 7/29/2014 15:10 | Respondent's Request for Additional Time Granted to Answer Division's Motion for Summary Affirmance (fax copy) | |
| 7/30/2014 9:15 | Respondent's Opposition Response to Division's Motion for Summary Affirmance of Initial Decision (fax copy; hard copy rcvd 8/13/14) | |
| 7/30/2014 15:53 | Division's Response to Respondent's Request for Additional Time to File Opposition to Motion for Summary Affirmance of Initial Decision. (fax copy; hard copy 7/31/14) | |
| 7/30/2014 16:22 | Order Granting Extension | 34-72724 |
| 8/5/2014 15:01 | Respondent's Opening Brief to Petition for Review of Initial Decision (fax copy; hard copy rcvd 8/13/14) | |
| 9/11/2014 8:31 | Division's Brief in Opposition to Respondent's Petition for Review (fax copy; hard copy rcvd 9/12/14) | |
| 9/12/2014 12:23 | Respondent's Reply to Division's Opposition to Resp's Petition for Review (fax copy) | |
| 9/26/2014 19:19 | Division's Notice of Change of Address (fax copy; hard copy rcvd 9/29/14) | |
| 9/30/2014 16:47 | Letter dated 9/30/14 to Secretary from Respondent Requesting Corrective Actions re Division's Failure to Turn Over Division Files (fax copy) | |
| 10/24/2014 8:22 | Email from Respondent Requesting Items from Division Files (rcvd from ALJ) | |
| 10/28/2014 8:54 | Email from Respondent Discussing Thomas A. Seaman's Reply Brief in Opposition to the 9th Circuit Court of Appeals (rcvd from ALJ) | |
| 11/18/2014 17:17 | Order Summarily Affirming Initial Decision in Part and Imposing Remedial Sanctions | 34-73634 |
| 1/2/2015 19:23 | Respondent Mark Feathers' Petition for Commission's Final Review of Order as Required in Order for Respondent to File Request for Federal Appellate Court Review of Final Order of Commission | |
| 10/4/2019 12:13 | Order | 34-87226 |

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| 11/1/2019 14:28 | Division's Statement Regarding Alternative Procedures (fax copy; hard copy 11/4/2019) | |
| 11/4/2019 13:12 | Order Designating Presiding Judge | AP-6703 |
| 11/7/2019 13:05 | Letter dated 11/7/2019 from Respondent regarding Answer (rcv'd in ADJ's office via email 11/7/2019) | |
| 11/13/2019 15:12 | Order Regarding the Conduct of Further Proceedings | AP-6705 |
| 11/19/2019 17:29 | Email dated 11/18/2019 from Respondent Regarding Alternative Procedures (rcv'd in ALJ's office via email) | |
| 11/20/2019 17:13 | Order Scheduling Prehearing Conference | AP-6709 |
| 12/13/2019 9:33 | Order Following Prehearing Conference | AP-6718 |
| 12/17/2019 16:50 | Division's Statement Regarding Administrative Hearing Dates (fax copy; hard copy rcvd 12/18/19) | |
| 12/30/2019 18:04 | Transcript of 12/10/2019 Pre-Hearing Conference, pp. 1-31, Los Angeles, CA | |
| 12/30/2019 18:12 | Respondent's Statement Regarding Administrative Hearing Dates | |
| 1/15/2020 15:51 | Scheduling Order | AP-6724 |
| 1/27/2020 15:04 | Answer and Defenses to OIP | |
| 2/7/2020 8:04 | Respondent's Notice of Address Change | |
| 2/11/2020 10:20 | Division's Motion to Quash Respondent's Request for Subpoena Duces Tecum (fax copy) | |
| 2/14/2020 13:30 | Order Regarding Subpoena and Scheduling Prehearing Conference | AP-6732 |
| 2/21/2020 16:48 | Order Following Prehearing Conference | AP-6734 |
| 2/25/2020 9:25 | Notice of Withdrawal (John B. Bulgozdy, on behalf of the Division) (fax copy; hard copy rcvd 2/26/20) | |
| 2/27/2020 16:16 | Order on Motion for Reconsideration | AP-6737 |
| 2/28/2020 15:59 | Notice of Appearance (Lynn Dean, on behalf of the Division) (fax copy; hard copy rcvd 3/4/20) | |
| 3/4/2020 8:35 | Division's Response to February 21, 2020 Order Regarding Respondent's Proposed Subpoena to the Division and Declaration of Lynn Dean in Support (fax copy; hard copy rcvd 3/4/20) | |
| 3/4/2020 13:11 | Transcript of 2/21/2020 Administrative Proceedings Prehearing Conference, pp. 1 - 42, Los Angeles, CA | |
| 3/4/2020 14:15 | Order Permitting a Reply | AP-6739 |
| 3/11/2020 17:16 | Order Regarding Respondent's Subpoena | AP-6742 |
| 3/13/2020 15:53 | Division's Request for Extension of Time to Comply with Court's March 11, 2020 Order (fax copy) | |
| 3/16/2020 14:42 | Extension Order | AP-6743 |
| 3/16/2020 14:51 | Respondent's New Motion Request (regarding subpoena) (rcvd from ALJ) | |
| 3/17/2020 12:54 | Division's Notice of Inability to Comply (rcvd from ALJ) | |

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| 3/17/2020 12:56 | Respondent's Response to Division's Notice of Noncompliance (rcvd from ALJ) | |
| 3/17/2020 12:57 | Respondent's New Motion Request (dated 3/17/20) (rcvd from ALJ) | |
| 3/17/2020 15:35 | Order on Respondent's March 16, 2020 Motion | AP-6744 |
| 3/18/2020 14:08 | Respondent's Subpoena to Small Business Administration (rcvd from ALJ) | |
| 3/18/2020 17:42 | Order Regarding Division's Inability to Comply with Prior Orders (AP-6745) (ALJ emailed courtesy copy to parties) | |
| 3/19/2020 14:48 | Order Setting New Deadline for Document Subpoenas (AP-6746) (ALJ emailed courtesy copy to parties) | |
| 3/21/2020 16:28 | Letter dated 3/12/20 from Respondent to OS attaching copies of emails to ALJ dated 2/4/20, 2/13/20, 2/24/20, 2/28/20, and 3/4/20 | |
| 3/30/2020 14:39 | Respondent's Request for Subpoenas (to FDIC, SBA, and Cal. Dept. of Business Oversight) (rcvd from ALJ-->APFilings) | |
| 4/2/2020 9:00 | Division's Response to March 18, 2020 Order (rcvd from APFilings) | |
| 4/6/2020 14:28 | Order Redesignating Presiding Judge (AP-6749) | |
| 4/7/2020 10:08 | Order Scheduling Prehearing Conference (AP-6750) | |
| 4/7/2020 12:05 | Respondent's Motion for an OIG Investigation and to Stay Case Pending the Outcome (rcvd from APFilings) | |
| 4/15/2020 11:21 | Joint Waiver of Paper Service (rcvd from APFilings) | |
| 4/17/2020 12:49 | Order Following Prehearing Conference | AP-6752 |
| 4/20/2020 8:10 | Respondent's Request for Stay of Proceedings (rcvd from Apfilings) | |
| 4/23/2020 8:16 | Respondent's Answer to Court's 4/17/20 Order and Request to Modify Subpoena, with attached subpoena (rcvd from Apfilings) | |
| 4/23/2020 12:43 | Order for Corrections to Prehearing Conference Transcript | AP-6754 |
| 4/27/2020 10:04 | Notice of Appearance (John B. Bulgozdy, on behalf of the Division) (rcvd from APFilings) | |
| 4/27/2020 10:18 | Division's Opposition Motion for Stay (rcvd from APFilings) | |
| 4/27/2020 10:41 | Respondent's Response to Division's Opposition to Respondent's Request to Stay Proceedings (rcvd in APFilings) | |
| 4/28/2020 15:40 | Order Denying Motion for a Stay | AP-6755 |
| 5/1/2020 11:34 | Respondent's Request to Stay While Pursuing Subpoenas, with attachments (letters from SBA and FDIC declining to respond to subpoenas) | |
| 5/7/2020 11:21 | Divisions Waiver of Paper Service | |
| 5/7/2020 14:46 | Respondent's Response to Division's Request for Subpoena Per April 17, 2020 Order Following Prehearing | |
| 5/7/2020 15:03 | Division's Response to Respondent's Request for Subpoena Per 4/17/2020 Order Following Prehearing Conference (rcvd from Apfilings) | |
| 5/11/2020 9:35 | Division's Opposition to Respondent's Second Request to Stay "While Pursuing Subpoenas" (rcvd from Apfilings) | |

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| 5/11/2020 9:40 | Respondent's Response to Division's Opposition to Respondent's Second Request to Stay "While Pursuing Subpoenas" (rcvd from Apfilings) | |
| 5/12/2020 17:17 | Order Quashing Subpoena | AP-6760 |
| 5/13/2020 13:00 | Respondent's Response to ALJ Order of 5/12/2020 and Motion to Stay for Constitutional Challenge (rcvd from Apfilings) | |
| 5/15/2020 17:30 | Respondent's Motion to Stay Further Proceedings | |
| 5/15/2020 17:40 | Limited Notice of Appearance (Arlene M. Embrey on behalf of the U.S. Small Business Administration) | |
| 5/15/2020 17:41 | Motion by United States Small Business Administration to Quash Subpoena (rcvd from Apfilings) | |
| 5/20/2020 17:44 | Division's Consolidated Opposition to Respondent's Two Requests to Stay Proceedings for Constitutional Challenges (rcvd from APfilings) | |
| 5/21/2020 11:22 | Respondent's Reply to Division's Opposition to Respondent's Motions to Stay (rcvd from Apfilings) | |
| 5/22/2020 12:01 | Division's Response to Motion of Small Business Administration to Quash Subpoena (rcvd from Apfilings) | |
| 5/29/2020 16:45 | Order Certifying Subpoena to the Commission for Enforcement, Denying Motion for Stay to Challenge Constitutionality of Proceeding, and Setting Prehearing Schedule | AP-6762 |
| 6/2/2020 17:09 | Order for Additional Briefing Regarding the SBA's Motion to Quash | AP-6763 |
| 6/8/2020 8:55 | Respondent's Request for 14-Day Extension on Certain Filing Requirements (rcvd from Apfilings) | |
| 6/8/2020 14:56 | Respondent's Request for 180-Day Stay for Constitutional Challenge to SEC Administrative Proceeding (rcvd from Apfilings) | |
| 6/9/2020 9:47 | Order Denying Motion for Stay | AP-6765 |
| 6/10/2020 7:52 | Resp's Stay Request to Summary Disposition While Waiting for an Eligibility Determination of the Stalker Forensic Accounting Report of Resp's Investment Funds (Rcvd from Apfilings) | |
| 6/10/2020 8:48 | Resp's Stay Request to Summary Disposition While Waiting for an Eligibility Determination of the Stalker Forensic Accounting Report of Resp's Investment Funds (Rcvd from Apfilings) (Modified) | |
| 6/12/2020 14:43 | Order Granting Motion for Extension of Deadlines | AP-6767 |
| 6/12/2020 15:37 | Order Regarding Stalker Report | AP-6768 |
| 6/12/2020 16:02 | Notice of Filing of Declaration of John A. Miller Asserting the Deliberative Process Privilege for Certain SBA Documents | |
| 6/12/2020 16:03 | Declaration of John A. Miller Asserting the Deliberative Process Privilege for Certain SBA Documents | |
| 6/18/2020 13:38 | Order Directing the Filing of Briefs | 34-89095 |
| 6/25/2020 11:53 | Respondent's Request for Court's Reconsideration of 6-12-20 Order re: the "Stalker Report", with Exhibits | |

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| 6/26/2020 16:29 | Respondent's Response to Order Directing the Filing of Briefs | |
| 6/30/2020 10:47 | Division's Brief in Response to Commission's June 8, 2020 Order | |
| 6/30/2020 10:48 | Respondent's Response to Division's Brief in Response to Commission's June 8, 2020 Order | |
| 7/1/2020 10:10 | Order Denying Motion to Reconsider Administrative Proceedings Rulings No. 6768 | AP-6771 |
| 7/1/2020 11:54 | Respondent's Notification to Court of Intention to File Injunction | |
| 7/7/2020 16:57 | Order Quashing Subpoena Directed to the SBA | |
| 7/9/2020 9:15 | Division's Reply Brief in Response to Commission's June 18, 2020 Order (rcvd from APFiling) | |
| 7/9/2020 9:35 | Letter from Respondent Regarding Order Directing the Filing of Briefs on 06/18/2020 Not Received (rcvd from APFiling) | |
| 7/10/2020 13:34 | Extension Order | 34-89288 |
| 7/13/2020 12:46 | Respondent's Request for Stay pending outcome of motion for injunction filed 7-10-2020 in the 9th Circuit | |
| 7/13/2020 12:48 | Respondent's Request for Stay due to other filing requirements | |
| 7/14/2020 12:39 | Order Denying Motions to Stay and Extending Summary Disposition Opposition and Reply Deadlines | AP-6774 |
| 7/14/2020 17:28 | Division's Motion for Summary Disposition and Declaration of John B. Bulgozdy in Support and Request for Judicial Notice, with Ex. 1-2 | |
| 7/15/2020 8:54 | Respondent's Response to Division's Motion for Summary Disposition | |
| 7/15/2020 11:22 | Respondent's Request for Termination of Administrative Proceedings | |
| 7/15/2020 17:14 | Instructions Regarding Summary Disposition | AP-6776 |
| 7/17/2020 9:01 | Respondent's Request for Extension of Time to Respond to Motion for Summary Disposition, with exhibits | |
| 7/17/2020 12:36 | Order Denying Motion to Terminate Proceeding and Directing the Refiling of Summary Disposition Motion | AP-6777 |
| 7/20/2020 8:36 | Respondent's Brief in Response to June 18, 2020 Order Directing the Filing of Briefs | |
| 7/20/2020 11:01 | Respondent's Motion for Court to Reconsider its Order of 7-17-20 | |
| 7/20/2020 15:07 | Order Denying Motion for Reconsideration | AP-6779 |
| 7/27/2020 14:55 | Respondent's Motion for Stay | |
| 7/27/2020 17:03 | Division's Brief in Response to Commission's July10, 2020 Order | |
| 7/28/2020 9:04 | Respondent's Brief in Response to Commission's July 10, 2020 Order | |
| 7/28/2020 11:18 | Respondent's Request for the Court to Modify Prior Order | |
| 7/28/2020 11:37 | Order Denying Motion for Stay | AP-6780 |
| 7/29/2020 8:55 | Respondent's Request for Additional Time to File Response on Pending Motion for Summary Disposition | |

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| 7/29/2020 12:58 | Respondent's Request to Terminate Hearings Due to Unconstitutional Double Jeopardy | |
| 7/30/2020 12:45 | Respondent's Request to Terminate Hearings Due to Government Abuse of Power and Violations of the APA | |
| 7/30/2020 13:25 | Respondent's Request for a 180 Day Stay | |
| 7/31/2020 17:24 | Division's Renewed Motion for Summary Disposition, Statement of Material Facts, and Declaration of John B. Bulgozdy in Support, and Notice to Pro Se Respondent | |
| 8/3/2020 8:04 | Respondent's Motion for Summary Disposition and Statement of Facts | |
| 8/3/2020 8:18 | Respondent's Motion for Court to Direct SEC to Post Prior Motions | |
| 8/3/2020 8:24 | Respondent's Motion for Stay Until District Court Determines Relevant Materials | |
| 8/5/2020 8:12 | Division's Consolidated Response to Five of Respondent's Motions Filed Between July 29 and August 2, 2020 | |
| 8/6/2020 13:38 | Respondent's Motion Request to Sanction Enforcement for Non-Compliance | |
| 8/7/2020 14:04 | Order Denying Motion for Sanctions | AP-6782 |
| 8/7/2020 17:26 | Respondent's Motion to Terminate Proceedings Due to Enforcement's Destruction of Evidence | |
| 8/10/2020 14:43 | Respondent's Follow-On Motion for Adjusted Reply Date to Enforcement's Motion for Summary Disposition | |
| 8/10/2020 14:54 | Respondent's Motion Request for OIG Referral and Court Recusal | |
| 8/10/2020 15:02 | Addenda to Respondent's Motion Request for OIG Referral and Court Recusal | |
| 8/10/2020 15:05 | Respondent's Motion for Clarification on Rules of Practice | |
| 8/10/2020 15:08 | Addenda to Respondent's Motion for Clarification on Rules of Practice | |
| 8/11/2020 11:08 | Order Clarifying Rules of Practice and Extending Deadline for Opposition to Motion for Summary Disposition | AP-6783 |
| 8/12/2020 14:50 | Respondent's Reply to Enforcement's "Feathers' Flurry of Five" Response | |
| 8/13/2020 8:44 | Respondent's Motion to Terminate Proceedings Due to Commission FOIA Interference | |
| 8/14/2020 16:00 | Division's Opposition to Respondent's Motion for Summary Disposition | |
| 8/14/2020 16:01 | Division's Opposition to Respondent's Motion to Terminate Proceedings due to Enforcement's Destruction of Evidence | |
| 8/14/2020 16:01 | Division's Opposition to Respondent's Motion Request for OIG Referral and Court Recusal | |
| 8/17/2020 11:50 | Respondent's Reply to Division's Opposition to Motion to Terminate Proceedings Due to Enforcement's Destruction of Evidence | |
| 8/17/2020 11:53 | Respondent's Reply to Division's Opposition to Motion for OIG Referral and Court Recusal | |
| 8/17/2020 11:55 | Respondent's Reply to Division's Opposition to Motion for Summary Disposition, with Exhibits | |

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| 8/17/2020 12:48 | Respondent's Motion for Stay in Summary Disposition Proceedings, with Exhibits | |
| 8/17/2020 12:49 | Respondent's Corrected Motion for Stay in Summary Disposition Proceedings | |
| 8/17/2020 13:13 | Respondent's Response to Division's Motion for Summary Disposition, or, in the alternative, Motion to Stay Enforcement's Motion due to District Court Litigation, with Exhibits | |
| 8/17/2020 17:38 | Order Addressing Five of Respondent's Motions | AP-6784 |
| 8/18/2020 16:52 | Respondent's Request for Redesignation to 120-Day Proceeding and for Commission Reconsideration of Impediments of Inexpensive Proceedings | |
| 8/19/2020 8:57 | Respondent's Request for Termination of Proceedings and for Judicial Notice of Exhibit | |
| 8/19/2020 8:59 | Respondent's Addenda to Request for Termination of Proceedings and for Judicial Notice of Exhibit | |
| 8/19/2020 9:00 | Respondent's Request for Sanctions Against Enforcement Senior Trial Prosecutor and for Judicial Notice of District Court Orders in Mark Feathers v. Roger Boudreau | |
| 8/19/2020 9:54 | Division's Opposition to Respondent's Motion to Terminate Proceedings Due to Commission Interference with Due Process | |
| 8/20/2020 12:35 | Order Denying Motion to Seal | AP-6785 |
| 8/21/2020 17:17 | Respondent's Reply to Division's Opposition to Respondent's Motion to Terminate Proceedings Due to Commission Interference with Due Process | |
| 8/25/2020 15:22 | Division's Opposition to Respondent's Request for Sanctions Against Enforcement Senior Trial Prosecutor and for Judicial Notice of District Court Orders in Mark Feathers v. Roger Boudreau | |
| 8/25/2020 15:23 | Division's Opposition to Respondent's Request for Redesignation to 120-Day Proceeding and for Commission Reconsideration of Impediments of Inexpensive Proceedings | |
| 8/26/2020 15:33 | Respondent's Reply to Division's Opposition to Respondent's Request for Sanctions Against Enforcement Senior Trial Prosecutor | |
| 8/26/2020 15:36 | Respondent's Reply to Division's Opposition to Respondent's Request for Redesignation to 120-Day Proceeding and for Commission Reconsideration | |
| 8/28/2020 14:55 | Respondent's Request to Stay Proceedings Due to Filing of Related Civil Action | |
| 8/31/2020 9:31 | Respondent's Request to Stay Proceedings While United States Ninth Circuit Court of Appeals Considers Respondent's Claims of Constitutional Harm | |
| 9/1/2020 9:44 | Division's Reply Memorandum in Support of Renewed Motion for Summary Disposition | |
| 9/1/2020 10:19 | Respondent's Request for Judicial Notice of Further Evidence | |
| 9/1/2020 16:07 | Respondent's Request for Clarification on OIP Matters Approved for Publishing by Commission | |
| 9/14/2020 9:59 | Addenda to Respondent's Motion to Sanction Counsel | |
| 9/25/2020 14:37 | Initial Decision | ID-1403 |

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| 9/28/2020 6:59 | Petition for Review of Initial Decision | |
| 9/28/2020 12:49 | Amended Petition for Review of Initial Decision | |
| 10/28/2020 9:42 | Respondents Request for Update on Status of Petition for Review of Initial Decision | |
| 11/3/2020 9:15 | Respondent's Follow-On Request for Status of Petition for Review of Initial Decision | |
| 11/9/2020 13:11 | Order Granting Petition for Review and Scheduling Briefs | 34-90380 |
| 12/1/2020 9:32 | Respondent's Opening Brief for Petition for Review with Exhibits | |
| 12/4/2020 12:45 | Order Declining to Seek Judicial Enforcement of Subpoena | 34-90572 |
| 1/11/2021 9:09 | Division's Brief in Opposition to Respondent Mark Feathers' Petition for Review | |
| 1/11/2021 9:36 | Respondent's Reply to Enforcement Brief | |
| 1/11/2021 9:40 | Boudreau Declaration ISO Division Opposition to Respondent Motion | |
| 4/22/2021 17:04 | Notice of Appearance, Lynn M. Dean, Esq. on behalf of Division | |
| 4/22/2021 17:08 | Notice of Appearance, John B. Bulgozdy, Esq. on behalf of Division | |
| 5/11/2021 10:34 | Email dated 5/11/2021 from Respondent Providing a Change of Address and a Request for Dismissal of OIP | |
| 6/23/2021 13:31 | Email dated 6/23/2021 from Respondent Requesting Cases Status Update | |
| 9/13/2021 16:00 | Order Extending Time to Issue Decision | 34-92955 |
| 12/13/2021 12:50 | Order Extending Time to Issue a Decision | 34-93761 |
| 3/14/2022 12:04 | Order Extending Time to Issue a Decision | 34-94409 |
| 3/16/2022 16:14 | Respondent's Copy of Filing to the U.S. Ninth Circuit Court of Appeals, "Respondent Request for Permanent Injunction by the 9th Circuit Court of Appeals of SEC Administrative Law Court Proceedings Against Petitioner" | |
| 6/13/2022 15:07 | Order Extending Time | 34-95095 |
| 9/13/2022 13:08 | Order Extending Time to Issue Decision | 34-95755 |
| 12/12/2022 10:53 | Order Extending Time to Issue Decision | 34-96478 |
| 12/13/2022 9:56 | Order Extending Time to Issue Decision | 34-96484 |
| 3/13/2023 12:19 | Order Extending Time to Issue Decision | 34-97118 |