

UNITED STATES OF AMERICA

Before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 105171 / April 8, 2026

WHISTLEBLOWER AWARD PROCEEDING

File No. 2026-14

In the Matter of the Claim for Award

in connection with

Notice of Covered Action ^{Redacted}
Redacted
Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award equal to ^{***} percent (^{***} %) of the amount collected, or to be collected, in the above-referenced Covered Action (“Covered Action”). The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this original information led to the successful enforcement of the Covered Action.¹

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¹ See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1).

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Claimant's timely submission of original information significantly contributed to the existing investigation and to the success of the Covered Action. Claimant provided significant ongoing assistance to the staff, including several follow-up calls and providing new important information and supporting documentation that saved significant staff time and resources. Claimant's information and assistance helped the Commission bring an enforcement action involving fraudulent conduct, material misrepresentations and omissions to investors about their investments, breaches of fiduciary duties, and misappropriation of investor funds by a Redacted manager.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of *** percent (***%) of the monetary sanctions collected, or to be collected, in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary

3 Redacted

4 ***