

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 105168 / April 8, 2026

WHISTLEBLOWER AWARD PROCEEDING  
File No. 2026-11

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In the Matter of the Claim for an Award

in connection with

Redacted

Notice of Covered Action Redacted

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that Redacted (“Claimant”) receive a whistleblower award of \*\*\* percent ( \*\* %) of the monetary sanctions collected or to be collected in the above-referenced Covered Action (the “Covered Action”), which would result in a current payment of more than \$500,000. Claimant did not submit a response contesting the Preliminary Determination.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.<sup>1</sup>

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<sup>1</sup> See Securities Exchange Act of 1934 (“Exchange Act”) Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

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Claimant

provided significant and detailed information prompting the opening of the investigation, participated in multiple interviews with Commission staff, gave documentary evidence, identified witnesses, and provided a roadmap for the investigatory staff.

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Accordingly, it is hereby ORDERED that Claimant shall receive an award of <sup>\*\*\*</sup> percent ( <sup>\*\*\*</sup> %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman

Secretary