



Compliance Outreach for Municipal Advisors and Municipal Dealers

Byron Rogers Federal Building

1961 Stout St
Denver, CO 80294

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Speakers

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Chief Executive Officer
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Co-founder, CEO
American Veterans Group,
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Mark Borrelli
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Jason Burt
Regional Director, Denver
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Tiffany A. Buxton
Senior Director, Fixed
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Bill Downey
Specialist Manager,
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Specialist Program
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Mark Elion
Office of Municipal
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Meghan Ferguson
Director, Enforcement
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Ed Fierro
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Emilio Flores
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Susan Gaffney
Executive Director
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Phil Gutman Jr.
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Frank Mazzarelli
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Dan McManus
Deputy General Counsel
and Head of Municipal
Securities Compliance
Stifel Financial Corp

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Emily Metzler
Chief Operating Officer,
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Abha Mohla
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Blake Morris
Managing Director, Head
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Finance
Hilltop Securities, Inc.

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Victoria Nilsson
Attorney-Adviser with the
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Michael Nouri
Lead Principal Analyst,
Fixed Income Regulation
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