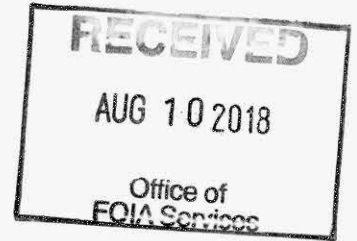


18-03550-T

foiapa

From: Mark M. <mark@checkfundmanager.com>
Sent: Friday, August 10, 2018 11:06 AM
To: foiapa
Subject: FOIA request for non-public information



CheckFundManager LLC
Spectrum Office & Technology Park
365 Willard Ave Suite 2C
Newington, CT 06111
(860)666-9595 voice
(860)666-2666 fax

08/10/2018

United States Securities and Exchange Commission
Station Place, 100 F Street, NE
Washington, DC 20549-2465

Dear Sir or Madam:

Under the Freedom of Information Act (FOIA), please send me any records of investigations, preliminary inquiries, consumer complaints, civil complaints (civil injunction proceedings), administrative proceedings, and disciplinary actions for all available dates against the below company or individual. I authorize one half-hour of research, at the rate of \$61 per hour.

DE Shaw & Co
NY, NY

Sincerely,

Mark Mierzejewski
Associate Fraud Examiner
CheckFundManager.com <http://www.CheckFundManager.com>
860.666.9595 ext. 106
mark@checkfundmanager.com



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 17, 2018

Mark Mierzejewski
Check Fund Manager, LLC
365 Willard Avenue, Suite 2C
Newington CT 06111

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. **18-03550-T**

Dear Mr. Mierzejewski:

This letter responds to your request dated and received in this office on August 10, 2018 for any record of investigations, preliminary inquiries, consumer complaints, civil injunctions, civil complaints (civil injunction proceedings), administrative proceedings, and/or disciplinary actions concerning DE Shaw & Co.

Based on the information you provided in your letter, we searched the SEC's consumer complaint database and did not identify any records responsive to your request.

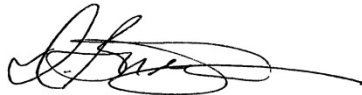
Additionally, research in our database index indicates that the subject of your request was listed in the search results in the matters of *United States Steel Corp.* which opened February 2010 and closed January 2012; and *D.E. Shaw & Co., L.P.* which opened July 2013 and closed February 2014. This information should not be interpreted to indicate that the subject of your request was the subject of a Commission matter. Should you require the actual records in this matter, please submit a request indicating the name of the matter and a description of the records requested.

Mr. Mark Mierzejewski
August 17, 2018
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If you have any questions, please contact me at burrowsi@sec.gov or (202) 551-5105. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from a FOIA Public Liaison, Ray J. McInerney at (202) 551-7900.

Sincerely,

A handwritten signature in black ink, appearing to read 'Indria Burrows', with a long horizontal flourish extending to the right.

Indria Burrows
FOIA Research Specialist