



18-03151-T



June 11, 2018

RESEARCH SUBJECT: Cramer Rosenthal McGlynn LLC  
CIK # 0000820743  
New York, NY

Dear Sir or Madam:

In accordance with the Freedom of Information Act (FOIA), by this correspondence I request notice of any non-public correspondence for consumer complaints, investigations and/or disciplinary actions that may be on file for **all available dates (to the present)** for the subject listed above.

I understand that my firm is responsible for the costs associated with processing this request and ask that you contact me in advance if the estimated costs will exceed \$100.

My regards.

Sally Marcus  
Sr. Risk Administrator



BNY MELLON



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 02, 2018

Sally Marcus  
EACM Advisors, LLC  
200 Connecticut Ave., 6th Floor  
Norwalk CT 06854

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **18-03151-T**

Dear Ms. Marcus:

This letter is in response to your request, dated and received in this office on July 11, 2018, for any non-public correspondence for consumer complaints, investigations and/or disciplinary actions that may be on file for all available dates (to the present) regarding Cramer Rosenthal McGlynn LLC.

Investigations

Research in our database index indicates that the subject of your request was listed in the search results in the matter of Daisytek International, Inc., which opened in March 2003, and closed in March 2011. This information should not be interpreted to indicate that the subject of your request was the subject of a Commission matter. Should you require the actual records in this matter, please submit a request indicating the name of the matter and a description of the records requested.

Consumer Complaints

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

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Please note that final actions taken based on SEC investigations, including administrative proceedings, disciplinary actions and civil complaints are publicly available at <https://www.sec.gov/litigation.shtml>.

You have the right to appeal this response to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, please contact me at [johnsonee@sec.gov](mailto:johnsonee@sec.gov) or (202) 551-8350. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from a FOIA Public Liaison, Aaron Taylor at (202) 551-7900.

Sincerely,

For 

Everene Johnson  
FOIA Research Specialist