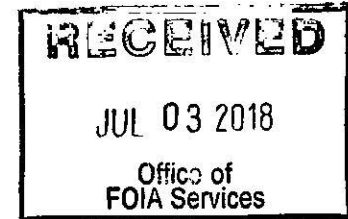


**From:** Alex Kirk <akirk@verdisinvestment.com>  
**Sent:** Tuesday, July 03, 2018 12:21 PM  
**To:** foiapa  
**Subject:** FOIA Request

**Follow Up Flag:** Follow up  
**Flag Status:** Completed



Hello,

Please provide below information under FOIA for Amplify.LA about:

Customer complaints  
Litigations  
SEC Investigations  
Government actions regarding firm and principals

We are willing to pay any fees associated with this search up to \$61.00. Please let me know of any costs exceeding this. The time frame of this search is for all available dates.

Thank you,  
Alex Kirk

**Alex Kirk**  
**Analyst**  
**Verdis Investment Management**  
100 Front Street, Suite 1150 | West Conshohocken, PA 19428  
610.397.1600 | [akirk@verdisinvestment.com](mailto:akirk@verdisinvestment.com)

.....  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 11, 2018

Mr. Alex Kirk  
Verdis Investment Management  
100 Front Street, Suite 1150  
West Conshohocken, PA 19428

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. 18-03055-T

Dear Mr. Kirk:

This letter is in response to your request, dated and received in this office on July 03, 2018, for all customer complaints, litigations, SEC investigations and Government actions regarding Amplify.LA.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE,

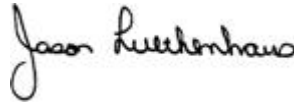
Alex Kirk  
July 11, 2018  
Page 2

18-03055-T

Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at [luetkenhausj@sec.gov](mailto:luetkenhausj@sec.gov) or (202) 551-8352. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from Aaron Taylor at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

A handwritten signature in black ink that reads "Jason Luetkenhaus". The signature is written in a cursive style with a large, stylized "J" and "L".

Jason Luetkenhaus  
FOIA Lead Research Specialist