

18-02819-T

foiapa

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**From:** Andrew Pratt <pratt@homrichberg.com>  
**Sent:** Thursday, June 14, 2018 12:06 PM  
**To:** foiapa  
**Subject:** FOIA Request - Jon Levinson (Kayne Anderson Capital Advisors, L.P.)

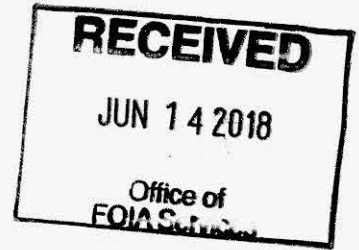
**FOIA Request – Jon Levinson** (Kayne Anderson Capital Advisors, L.P.)

U.S. Securities & Exchange Commission

100 F Street, NE

Mail Code 5100

Washington, DC 20549



Dear SEC,

Under the Freedom of Information Act (FOIA), please send me the records of consumer or any other complaints against **Jon Levinson**. This request also covers any information you have related to Jon Levinson including SEC Examinations, or any other regulatory inquiries concerning the SEC. I will pay up to \$100.00 for search and review fees. My daytime telephone number is 404-264-1400.

If you have any question, you may reach me at 404-264-1400 during normal business hours.

Best regards,  
Andrew Pratt

Andrew Pratt, *Investment Analyst*  
P. 404.264.1400 | F. 404.237.5114 | [pratt@homrichberg.com](mailto:pratt@homrichberg.com)  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 5, 2018

Mr. Andrew Pratt  
Homrich Berg  
3060 Peachtree Rd. NW  
Suite 830  
Atlanta, GA 30305

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. 18-02819-T

Dear Mr. Pratt:

This letter is in response to your request, dated and received in this office on June 14, 2018, for records of consumer or any other complaints, SEC Examinations, or any other regulatory inquiries concerning Jon Levinson.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE,

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Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at [burrowsi@sec.gov](mailto:burrowsi@sec.gov) or (202) 551-5105. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from Ray J. McInerney at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

A handwritten signature in black ink, appearing to read 'Indria Burrows', with a long horizontal flourish extending to the right.

Indria Burrows  
FOIA Research Specialist