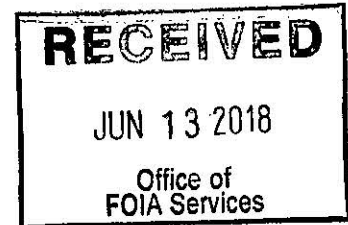


foiapa

**From:** Kelly R. <kelly@checkfundmanager.com>  
**Sent:** Wednesday, June 13, 2018 11:52 AM  
**To:** foiapa  
**Subject:** FIOA request for non-public information for Wellington Management Investment, Inc.

Cotal Systems, Inc.  
365 Willard Avenue #2C  
Newington, CT 06111  
Phone: 860-666-9595  
Fax: 860-666-2666



June 13, 2018

U.S. Securities & Exchange Commission  
FOIA Office, Stop O-5  
6432 General Green Way  
Alexandria, VA 22312-2413

Dear Sir/Madam:

Under the Freedom of Information Act (FOIA), please send me the SEC national non-public records of consumer complaints, civil complaints, investigations, or preliminary inquiries against the following company that the SEC has received.

Wellington Management Investment, Inc.  
280 Congress Street  
Boston, Massachusetts 02210  
SEC CIK: N/A

I authorize 1 hour of paid research, which we will pay up to \$61 for.

Thank you for your time,

CheckFundManager LLC  
Spectrum Office & Technology Park  
365 Willard Ave Suite 2C  
Newington, CT 06111  
(860)666-9595 ext. 109 voice  
(860)666-2666 fax



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

June 19, 2018

Ms. Kelly Reinhardt  
Check Fund Manager, LLC  
365 Willard Avenue, Suite 2C  
Newington, CT 06111

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. 18-02811-T

Dear Ms. Reinhardt:

This letter is in response to your request, dated and received in this office on June 13, 2018, for any consumer complaints, civil complaints, investigations, or preliminary inquiries where Wellington Management Investment, Inc. is listed.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE,

Ms. Kelly Reinhardt  
June 19, 2018  
Page 2

18-02811-T

Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at [Gbenoua@sec.gov](mailto:Gbenoua@sec.gov) or (202) 551-5327. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

*Amy Gbenou*

Amy Gbenou  
FOIA Research Specialist