

18-02669-FOIA

June 28, 2018



U.S. Securities and Exchange Commission  
Office of FOIA/PA Operations  
100 F. Street, N.E.  
Washington, DC 20549-2736

Re: Freedom of Information Act Request

Dear U.S. Securities and Exchange Commission:

This is a request under the Freedom of Information Act. I request that a copy of the following document be provided to me:

Subject/Company Name: Jack N. Alpern Co., L.P.A.

Date Publically Available: Apr. 23, 1986

Type of Document: No Action Letter

I am willing to pay fees for the search and reproduction cost for paper or electronic document production.

Thank you for your consideration of this request.

Sincerely,

A handwritten signature in black ink, appearing to read "Andrew V. Jablon". The signature is fluid and cursive.

Andrew V. Jablon  
Resch Polster & Berger LLP  
1840 Century Park East, 17<sup>th</sup> FL  
Los Angeles, CA 90067  
Direct Dial: 310-788-7524  
E-mail: [ajablon@rpblaw.com](mailto:ajablon@rpblaw.com)

AVJ:ml



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 13, 2018

Mr. Andrew Jablon  
Resch Polster & Berger LLP  
1840 Century Park East 17th FL  
Los Angeles, CA 90067

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **18-02669-FOIA**

Dear Mr. Jablon:

This letter is in response to your request, dated June 28, 2018 and received in this office on June 29, 2018, for access to a No Action Letter regarding Jack N. Alpern Co., L.P.A. dated April 23, 1986.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

Andrew Jablon  
August 13, 2018  
Page 2

18-02669-FOIA

If you have any questions, please contact me at [reidk@sec.gov](mailto:reidk@sec.gov) or (202) 551-3504. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900.

You also have the right to seek assistance from Lizzette Katilius at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

Sincerely,

*Kay Reid*

Kay Reid  
FOIA Lead Research Specialist