

foiapa

18-02407-FOIA

From: Request@ip-10-170-20-151.ec2.internal
Sent: Friday, July 06, 2018 10:00 AM
To: foiapa
Subject: Request for Document from Gillette, Jacquelyn
Attachments: FOIA Request_SEC_06july18.doc

Dr. Jacquelyn R Gillette
100 Main Street
E62-669
Cambridge, Massachusetts 02142
United States



MIT

Fee Waiver Requested

Request:
COMP_NAME: EMMA / MSRB
DOC_DATE: 2009-present
TYPE: Other (fully describe)
ATTACHMENT: FOIA Request_SEC_06july18.doc
FEE_AUTHORIZED: Willing to Pay \$61
FEE_WAIVER_REQUESTED: Yes

✓ FEE_WAIVER_COMMENT: The data is for academic research regarding the opacity of municipal borrowers. One of the SEC's agenda's is to increase municipal disclosure and the transparency of the municipal market. This paper examines who uses municipal financial statements and why municipalities fail to file financial documents.

EXPEDITED_SERVICE_REQUESTED: No

Massachusetts Institute of Technology
Sloan School of Management

JACQUELYN R. GILLETTE
Class of 1942 Career Development Chair
Assistant Professor of Accounting



E62-669, 100 Main Street
Cambridge, MA 02142
Phone: [REDACTED]
Email: [REDACTED]

July 6, 2018

To Whom It May Concern:

Thank you for the opportunity to submit a request under the Freedom of Information Act. I am requesting the following records for the purpose of academic research.

Specifically, I am requesting download information regarding municipal disclosures. Municipalities issuing municipal bonds submit their financial information to EMMA (the electronic depository for municipal SEC filings. See, for example, <https://emma.msrb.org/>). I am requesting to know the IP address, time, and date that each file was downloaded from the server beginning from the first available date in 2009 to present day. I am willing to pay any fee associated with the data. I am also flexible as to the output of the data. A text file, excel file, or other file type is fine.

Thank you again for your assistance. Please feel free to contact me with any further questions.

Sincerely,

A handwritten signature in cursive script that reads "Jacquelyn R. Gillette".

Jacquelyn R. Gillette



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 07, 2018

Dr. Jacquelyn Gillette
Massachusetts Institute of Technology
100 Main Street E62-669
Cambridge, MA 02142

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. **18-02407-FOIA**

Dear Dr. Gillette:

This letter responds to your request, dated and received in this office on July 06, 2018, for download information regarding municipal disclosures on the EMMA website (the electronic depository for municipal SEC filings). Specifically, the IP address, time, and date that each file was downloaded from the server beginning from the first available date in 2009 to the present date.

Please be advised that the EMMA web site is owned and managed by Municipal Securities Rulemaking Board (MSRB), a self-regulatory organization. The SEC has no control over the website, nor does it possess the information that you seek. Consequently, we did not locate and/or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You may wish to contact the MSRB at 1300 I Street NW, Suite 1000, Washington, DC 20005, telephone number (202) 838-1500 and/or obtain additional information from their website at www.msrb.org.

Dr. Jacquelyn Gillette
August 7, 2018
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You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, please contact me at hallr@sec.gov or (202) 551-8353. You may also contact me at foiapa@sec.gov or (202) 551-7900.

You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov. Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

Sincerely,



Ronnys L. Hall
FOIA Research Specialist