foiapa

From:

Request@ip-10-170-20-45.ec2.internal

Sent:

Thursday, June 07, 2018 3:18 PM

To:

foiapa

Subject:

Request for Document from Alcala, Bret

Mr. Bret Alcala 177. Bovet Road Suite 600 San Mateo, California 94402 United States JUN 07 2018
Office of FOIA Services

6503434426

brett@alcala-law.com

Alcala Law Firm

Request:

COMP_NAME: Jane Ruth Everingham DOC_DATE: January 1, 2014 - Present

TYPE: ADV (Investment Adviser Applications and Amendments)

COMMENTS: Rquesting copies of Form ADV BROCHURES for Jane Everingham (CRD# 1727956)

FEE_AUTHORIZED: Willing to Pay \$61

FEE_WAIVER_REQUESTED: No

EXPEDITED_SERVICE_REQUESTED: No



UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

June 20, 2018

Mr. Bret Alcala Alcala Law Firm 177 Bovet Road, Suite 600 San Mateo, CA 94402

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552

Request No. 18-02165-FOIA

Dear Mr. Alcala:

This letter is in response to your request, dated and received in this office on June 07, 2018, for copies of Form ADV Brochures for Jane Ruth Everingham (CRD# 1727956) for the time period January 1, 2014 to the present.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120

Mr. Bret Alcala June 20, 2018 Page 2

at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at hallr@sec.gov or (202) 551-8353. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,

Ronnye L. Hall

Ronnye L. Hall

FOIA Research Specialist