

18-02145-T



**Creative Services, Inc.**

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◆ 64 Pratt Street, Mansfield, MA 02048 ◆ Ph: (508) 339-5451 ◆ Fax: (508) 339-2352 ◆ [www.creativeservices.com](http://www.creativeservices.com)

April 13, 2018

To Whom It May Concern:

Under the Freedom of Information Act, please conduct a search on the following individual regarding any consumer complaints, civil actions, investigations and/or any disciplinary actions. We authorize the initial fee of \$61.00. Please limit your research to the last seven (7) years. Also, please limit your response to only the initial information obtained. If necessary, we will request additional information at a later date.

Name: **Amanda Lee Stewart** (middle name Lee) and AKA **Amanda Norris**  
Previous Employer(s) (including period of employment):

**Lahey Behavioral Health**  
Beverly, MA  
01/2016 to present

**Nurture and Nature Children Center**  
Newfields, NH  
2016



Should you have any questions regarding our request, please contact **Lindsey Sullivan, Carly Brousseau or Alex Tannock**.

Thank you in advance for your assistance.

Sincerely,  
Alex Tannock

Lindsey Sullivan, Ops. Manager  
Creative Services, Inc.  
(508) 339-5451 x. 212  
[lsullivan@creativeservices.com](mailto:lsullivan@creativeservices.com)

Carly Brousseau, Ops. Supv.  
Creative Services, Inc.  
(508) 339-5451 x. 227  
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Alex Tannock, Ops. Supv.  
Creative Services, Inc.  
(508) 339-5451 x. 201

[atannock@creativeservices.com](mailto:atannock@creativeservices.com)

## foiapa

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**From:** Alexandra Tannock <atannock@creativeservices.com>  
**Sent:** Friday, April 13, 2018 1:39 PM  
**To:** foiapa  
**Cc:** SEC Searches  
**Subject:** SEC Search Request - Stewart, Amanda and AKA Norris, Amanda  
**Attachments:** SEC search request.pdf

Good afternoon,

Please conduct an SEC Search on **Amanda Stewarta** and AKA **Amanda Norris**. See attached.

Thank you!


Alex Tannock  
Corporate Investigation Supervisor  
Creative Services, Inc.  
p 508-339-5451 x201  
f 508-452-1302  
[www.creativeservices.com](http://www.creativeservices.com)



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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

April 18, 2018

Ms. Alexandra Tannock  
Creative Services, Inc.  
64 Pratt Street  
Mansfield, MA 02048

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. 18-02145-T

Dear Ms. Tannock:

This letter responds to your request, dated and received in this office on April 13, 2018, for access to any consumer complaints, civil actions, investigations and/or any disciplinary actions regarding Amanda Lee Stewart, also known as Amanda Norris for the last seven years.

#### Consumer Complaints

Based on the information you provided in your letter, we conducted a search of the SEC's consumer complaints systems of records, but did not locate any records responsive to your request. If you still have reason to believe that the SEC maintains the records you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude we have no responsive records to this portion of your request.

#### Investigations

We can neither confirm nor deny the existence of any records responsive to your request. If such records were to exist, they would be exempt from disclosure pursuant to FOIA Exemptions 6 and/or (7)(C), 5 U.S.C. § 552(b)(6) and (7)(C), 17 CFR § 200.80(b)(6) and (7)(iii). Under Exemption 6 the release of this type of information would constitute a clearly unwarranted invasion of personal privacy. Under Exemption 7(C) release of such information could reasonably be expected to constitute an unwarranted invasion of personal privacy. By outlining the provisions of these exemptions, we do not mean to imply in any way that records responsive to your request exist.

Please note that final actions taken based on SEC investigations, including administrative proceedings, disciplinary actions and civil complaints are publicly available at <https://www.sec.gov/litigation.shtml>.

You have the right to appeal this response to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at [neilsonc@sec.gov](mailto:neilsonc@sec.gov) or (202) 551-3149. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from Dave Henshall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

A handwritten signature in black ink, appearing to read 'C. Neilson', with a stylized flourish at the end.

Curtis Neilson  
FOIA Research Specialist