

18-02142-T

foiapa

From: Stephanie G. <stephanie@checkfundmanager.com>
Sent: Thursday, April 12, 2018 3:33 PM
To: foiapa
Subject: RE: FOIA request for non-public information for DeepCurrents Investment Group LLC

Cotal Systems, Inc.
365 Willard Avenue #2C
Newington, CT 06111
Phone: 860-666-9595
Fax: 860-666-2666



April 12, 2018

U.S. Securities & Exchange Commission
FOIA Office, Stop O-5
6432 General Green Way
Alexandria, VA 22312-2413

Dear Sir/Madam:

Under the Freedom of Information Act (FOIA), please send me the SEC national non-public records of consumer complaints, civil complaints, investigations, or preliminary inquiries against the following company that the SEC has received.

DeepCurrents Investment Group LLC
417 FIFTH AVENUE
6TH FLOOR
NEW YORK NY 10016

CIK: N/A

In addition to the 1/2 hour of free research, I authorize 1 hour of paid research, which we will pay up to \$61 for.

Kind Regards,

Stephanie Phinney
CheckFundManager LLC
Spectrum Office & Technology Park
365 Willard Ave Suite 2C
Newington, CT 06111
(860)666-9595 ext. 109 voice
(860)666-2666 fax



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

May 1, 2018

Ms. Stephanie Phinney
Check Fund Manager LLC
365 Willard Ave., Suite 2C
Newington, CT 06111

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-02142-T

Dear Ms. Phinney:

This letter is in response to your request, dated and received in this Office on April 12, 2018, for SEC National non-public records of consumer complaints, civil complaints, investigations, or preliminary inquiries concerning DeepCurrents Investment Group LLC.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 C.F.R. § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and

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Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

Please note that final actions taken based on SEC investigations, including administrative proceedings, disciplinary actions and civil complaints are publicly available at <https://www.sec.gov/litigation.shtml>.

If you have any questions, please contact me directly at andersonc@sec.gov or (202) 551-8315. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Ray J. McInerney at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,

A handwritten signature in cursive script that reads "Clarissa Anderson".

Clarissa Anderson
FOIA Research Specialist