

foiapa

From: Request@ip-10-170-25-85.ec2.internal
Sent: Sunday, May 27, 2018 1:12 PM
To: foiapa
Subject: Request for Document from :Palma, Michael-Francis

Michael-Francis :Palma
[REDACTED]
[REDACTED]
United States
[REDACTED]
[REDACTED]



Request:
COMP_NAME: Internal Revenue Service
DOC_DATE: 2009 - 2017
TYPE: Other (fully describe)
COMMENTS: I am attempting to find out if the IRS filed the serial numbers as securities of the United States, USA, Internal Revenue Service or IRS. Thank you

Date	Serial number
3/11/2009	523799209
8/20/2009	574920609
3/3/2014	987542014

10/20/2016 234895116
FEE_AUTHORIZED: Willing to Pay \$61
FEE_WAIVER_REQUESTED: No
EXPEDITED_SERVICE_REQUESTED: No



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

June 4, 2018

Mr. Michael-Francis Palma



Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-02075-FOIA

Dear Mr. Palma:

This letter is in response to your request, dated May 27, 2018 and received in this office on May 29, 2018, for information concerning serial numbers 523799209 (3/11/2009), 574920609 (8/20/2009), 987542014 (3/3/2014), and 234895116 (10/20/2016).

By email dated May 30, 2018, you confirmed the numbers listed in your request are not social security numbers and are believe to be CUSIP numbers.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and

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Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

Please note, CUSIP numbers are issued by CUSIP, which is overseen by the CUSIP Global Services (CGS). In order to obtain the information that may be responsive to your request, we recommend that you contact CGS directly at the address below.

- CUSIP Global Services
55 Water Street, 45th Floor
New York, NY 10041
Phone number: (212) 438-6500
Cusip_custservice@cusip.com

If you have any questions, please contact me at burrowsi@sec.gov or (202) 551-5105. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Ray J. McInerney at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,



Indria Burrows
FOIA Research Specialist