

foiapa

18-01972-FOIA

**From:** Request@ip-10-170-24-243.ec2.internal  
**Sent:** Monday, May 14, 2018 9:30 AM  
**To:** foiapa  
**Subject:** Request for Document from Devorah, Carrie



Carrie Devorah  
[REDACTED]  
United States  
[REDACTED]

**Expedited Treatment  
Requested**

THE CENTER FOR COPYRIGHT INTEGRITY

**Fee Waiver Requested**

**Request:**  
**COMP\_NAME:** SEC COMMISSIONERS TALKING TO MILITARY PERSONNEL/SITES  
**DOC\_DATE:** 2017-2018  
**TYPE:** Other (fully describe)  
**COMMENTS:** I want a list of all dates, all military connected sites SEC Commissioners spoke at

I want the name of the SEC person who is the liason between the SEC/FINRA, SEC and DoD on all things military

**FEE\_AUTHORIZED:** Other Amount \$: \$0

**FEE\_WAIVER\_REQUESTED:** Yes

**FEE\_WAIVER\_COMMENT:** records sought will likely contribute significantly to public understanding of the operation or activities of the Government. The SEC will release records responsive to a request without charge or at a reduced rate if the SEC determines, based on all available information, that you have demonstrated that disclosing the information is:

**EXPEDITED\_SERVICE\_REQUESTED:** Yes

**EXPEDITE\_COMMENT:** failure to obtain the records quickly "could reasonably be expected to pose an imminent threat to the life or physical safety of an individual"; or, if you are a "person primarily engaged in disseminating information," by demonstrating that an "urgency to inform the public concerning actual or alleged Federal Government activity" exists.



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 08, 2018

Ms. Carrie Devorah

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **18-01972-FOIA**

Dear Ms. Devorah:

This letter is in response to your request, dated and received in this office on May 14, 2018, for the following between 2017 and 2018:

*"I want a list of all dates, all military connected sites SEC Commissioners spoke at", "I want the name of the SEC person who is the liaison between the SEC/FINRA, SEC and DoD on all things military"*

Reference is also made to our letter dated May 17, 2018, in which we addressed your expedited processing and fee waiver request.

Please be advised that Commissioner Jackson spoke at the Judge Advocate General's Legal Center and School in Charlottesville, Virginia on May 14, 2018.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any additional information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no additional responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no additional responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR §

Carrie Devorah  
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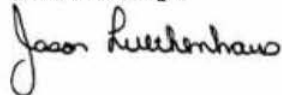
200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, please contact me at [luetkenhausj@sec.gov](mailto:luetkenhausj@sec.gov) or (202) 551-8352. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900.

You also have the right to seek assistance from Aaron Taylor at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

Sincerely,



Jason Luetkenhaus  
FOIA Lead Research Specialist