

18-01857-T

foiapa

From: Brittney <brittney@checkfundmanager.com>
Sent: Monday, March 19, 2018 11:54 AM
To: foiapa
Subject: FOIA - Basswood Capital Management, LLC

CheckFundManager LLC
Spectrum Office & Technology Park
365 Willard Ave Suite 2C
Newington, CT 06111
(860)666-9595 voice
(860)666-2666 fax



3/19/2018

United States Securities and Exchange Commission
Station Place, 100 F Street, NE
Washington, DC 20549-2465

Dear Sir or Madam:

Under the Freedom of Information Act (FOIA), please send me any records of preliminary inquiries, consumer complaints, civil complaints (civil injunction proceedings), administrative proceedings, and disciplinary actions for all available dates against **Basswood Capital Management, LLC**.

I authorize 1 hour of paid research per request.

Basswood Capital Management, LLC
645 Madison Ave., Suite 10
New York, NY 10022

Sincerely,

Brittney Longest
Check Fund Manager, LLC
5726 Fayetteville Rd., Unit 203
Durham, NC 27713
919-251-8503 (phone)
919-237-1403 (fax)
brittney@checkfundmanager.com



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

March 26, 2018

Ms. Brittney Longest
Check Fund Manager LLC
5726 Fayetteville Rd., Unit 203
Durham, NC 27713

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-01857-T

Dear Ms. Longest:

This letter is in response to your request, dated and received in this office on March 19, 2018, for records of preliminary inquiries, consumer complaints, civil complaints (civil injunction proceedings), administrative proceedings, and disciplinary actions against Basswood Capital Management, LLC.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

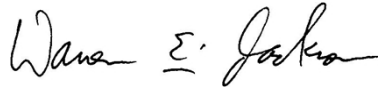
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You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at jacksonw@sec.gov or (202) 551-8312. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,



Warren E. Jackson
FOIA Research Specialist