

18-01493-FOIA

foiapa

From: Request@ip-10-170-21-192.ec2.internal
Sent: Wednesday, March 28, 2018 10:22 AM
To: foiapa
Subject: Request for Document from Freedberg, Sydney



Ms. Sydney Freedberg Freedberg



United States



@icij.org

Ms.

Request:

COMP_NAME: Medtronic

DOC_DATE: 2007-2013

CIK_NUM: Closed investigative informati

TYPE: Investigations

COMMENTS: Please provide any close out memos, letters and other documents relating to the SEC's investigation relating to possible FCPA violations by Medtronic in Greece, Poland, Germany Italy, Malaysia and Turkey.

FEE_AUTHORIZED: Other Amount \$: \$100

FEE_WAIVER_REQUESTED: Yes

FEE_WAIVER_COMMENT: We are a global news operation working on a story about medical devices, a story of profound public interest to the world.

EXPEDITED_SERVICE_REQUESTED: Yes

EXPEDITE_COMMENT: We are a global news operation that tries to report important news in a timely manner. This is a closed investigation and the documents in no way jeopardize any agency action.

Expedited Treatment Requested

Fee Waiver Requested



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 25, 2018

Ms. Sydney Freedberg
International Consortium of Investigative Journalists



Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-01493-FOIA

Dear Ms. Freedberg:

This letter is in response to your request, dated March 28, 2018 and received in this office on March 28, 2018, for access to any close out memos, letters and other documents relating to the SEC's investigation relating to possible FCPA violations by Medtronic in Greece, Poland, Germany, Italy, Malaysia and Turkey. This also refers to our FIFO estimate response of May 16, 2018 and e-mail communications of May 16, 2018, May 30, 2018, June 21, 2018 and July 10, 2018.

By e-mail message dated May 16, 2018, you narrowed the scope of your request to avoid being placed in our FIFO queue by requesting any closeout memos or investigation summaries.

Based on the information you provided in your May 16, 2016 message, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify

Sydney Freedberg
July 25, 2018
Page 2

18-01493-FOIA

the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at mandicf@sec.gov. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Dave Henshall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,



Frank Mandic
FOIA Research Specialist