

18-01477-T

foiapa

From: Matthew Stojak <matt@checkfundmanager.com>
Sent: Thursday, February 08, 2018 6:00 PM
To: foiapa
Subject: FOIA request for non-public information for Capula Investment Management LLP

February 8, 2018

U.S. Securities & Exchange Commission
FOIA Office, Stop O-5
6432 General Green Way
Alexandria, VA 22312-2413



Dear Sir/Madam:

Under the Freedom of Information Act (FOIA), please send me the SEC national non-public records of consumer complaints, civil complaints, investigations, or preliminary inquiries against the following company that the SEC has received.

Capula Investment Management LLP
8 LANCELOT PLACE
LONDON X0 SW7 1DR
SEC CIK: 0001351450

I authorize 1 hour of paid research, which we will pay up to \$61 for.

Thank you for your time,

CheckFundManager LLC
Spectrum Office & Technology Park
365 Willard Ave Suite 2C
Newington, CT 06111
(860)666-9595 ext. 110 voice
(860)666-2666 fax



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

March 7, 2018

Mr. Matthew Stojak
Check Fund Manager LLC
Spectrum Office & Technology Park
365 Willard Ave Suite 2C
Newington, CT 06111

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-01477-T

Dear Mr. Stojak:

This letter responds to your request, dated and received in this office on February 9, 2018, for non-public records of consumer complaints, civil complaints, investigations or preliminary inquiries concerning Capula Investment Management, LLP that the SEC has ever received.

Consumer Complaints

Based on the information you provided in your letter, we conducted a search of the SEC's consumer complaints systems of records, but did not locate any records responsive to your request. If you still have reason to believe that the SEC maintains the records you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude we have no responsive records to this portion of your request.

You have the right to appeal this response to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

Mr. Matthew Stojak
March 7, 2018
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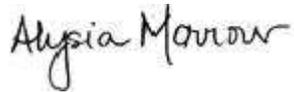
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Investigations

Research in our database index indicates that the subject of your request was listed in the search results in the matter of Barclays Bank Bond Indexes, which opened on March 17, 2015 and closed on June 1, 2017. This information should not be interpreted to indicate that the subject of your request was the subject of a Commission matter. Should you require the actual records in this matter, please submit a request indicating the name of the matter and a description of the records requested.

If you have any questions, please contact me at morrowa@sec.gov or (202) 551-8376. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from a FOIA Public Liaison, Jeffery Ovall at (202) 551-7900.

Sincerely,

A handwritten signature in black ink that reads "Alysia Morrow". The signature is written in a cursive style with a clear, legible font.

Alysia Morrow
FOIA Research Specialist