foiapa

From:

Request@ip-10-170-20-9.ec2.internal

Sent:

Tuesday, November 28, 2017 5:10 PM

To:

foiapa

Subject:

Request for Document from Horwitz, Jeffrey

HECEIVED

NOV 29 017

Office of FOIA Services

Jeffrey E Horwitz 1100 13th St NW 5th Floor

Washington, District of Columbia 20005

United States

2026419570

jhorwitz@ap.org

AΡ

Waiver Requested

Request:

COMP_NAME: Gregory Boden DOC_DATE: 2011-present

TYPE: Investigations

COMMENTS: Records relating to the existence of an investigation into Gregory Boden and his investment firm, Bountiful

Capital._

FEE AUTHORIZED: Willing to Pay \$61

FEE WAIVER REQUESTED: Yes

FEE_WAIVER_COMMENT: reporter for the AP. Information believed to be in public interest.

EXPEDITED_SERVICE_REQUESTED: No



UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

December 19, 2017

Mr. Jeffrey Horwitz The Associated Press 1100 13th St NW 5th Floor Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552

Request No. 18-00492-FOIA

Dear Mr. Horwitz:

This letter is in response to your request, dated November 28, 2017, and received in this office on November 29, 2017, for records relating to the existence of an investigation into Gregory Boden [18-00491-FOIA] and his investment firm, Bountiful Capital¹ [18-00492-FOIA] from 2011 to the present.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

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 $^{^{1}}$ Since your request includes two categories of requested records, we have assigned a separate FOIA control number to each. This request responds to the FOIA request assigned as FOIA No. 18-00492-FOIA.

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You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at moodyd@sec.gov or (202) 551-8355. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Aaron Taylor at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,

Denise R. Moody

FOIA Research Specialist