

**Madison, Wilton**

18-00203-FOIA

**From:** Request@ip-10-170-24-133.ec2.internal  
**Sent:** Monday, October 23, 2017 1:21 PM  
**To:** foiapa  
**Subject:** Request for Document from Delevingne, Lawrence

Mr. Lawrence Delevingne  
3 Times Square  
19th Floor  
New York, New York 10036  
United States

646-223-5362  
[lawrence.delevingne@tr.com](mailto:lawrence.delevingne@tr.com)  
Thomson Reuters

Request:  
COMP\_NAME: Callidus Capital Corporation  
DOC\_DATE: 01-01-2017 to present  
TYPE: Investigations  
COMMENTS: I am requesting all information concerning current or past investigations,



administrative proceedings and complaints relating to Callidus

Capital Corporation between January 1, 2010 and the present day.

I am requesting a fee waiver as I am a journalist with Reuters and the information is the the public interest.

FEE\_AUTHORIZED: Willing to Pay \$61

\* FEE\_WAIVER\_REQUESTED: Yes

EXPEDITED\_SERVICE\_REQUESTED: No

**Fee Waiver Requested**



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

November 3, 2017

Mr. Lawrence Delevingne  
Thomson Reuters  
3 Times Square 1  
9th Floor  
New York, NY 10036

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. 18-00203-FOIA

Dear Mr. Delevingne:

This letter is in response to your request, dated and received in this office on October 23, 2017, for all information concerning current or past investigations, administrative proceedings and complaints related to Callidus Capital Corporation between January 1, 2010, and the present day. Reference is also made to our letter dated October 25, 2017, in which we addressed your request for a fee waiver.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

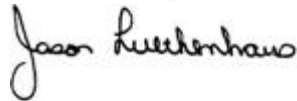
If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at [luetkenhausj@sec.gov](mailto:luetkenhausj@sec.gov) or (202) 551-8352. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You also have the right to seek assistance from Aaron Taylor at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,



Jason Luetkenhaus  
FOIA Lead Research Specialist