

foiapa

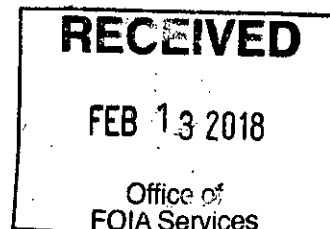
18-00020-CFO1

From: Request@ip-10-170-25-172.ec2.internal
Sent: Tuesday, February 13, 2018 3:02 PM
To: foiapa
Subject: Request for Document from Glawe, Justin

Justin M Glawe Glawe

United States

.com



Request:
COMP_NAME: Committee communications
DOC_DATE: January 1, 2017 to present
TYPE: Other (fully describe)
COMMENTS: To whom it concerns,

This is a request under the Freedom of Information Act.

I request any and all records of communications, including but not limited to official requests for information, emails, text messages, recordings of phone calls and/or meeting minutes sent by any and all members of the House Judiciary Committee and/or the Senate Judiciary Committee and the Securities and Exchange Commission from January 1, 2018 to present.

If possible, please provide the records on a rolling basis and do not wait until the entirety of the records is amassed. If there are costs involved, please provide a detailed estimate and notify me if the costs exceed \$61. Please justify each deletion separately by reference to specific exemptions of the law and release all reasonably segregable portions of otherwise-exempt material.

I look forward to your response within the act's statutory time limit. Thank you for your consideration of this request.
FEE_AUTHORIZED: Willing to Pay \$61
FEE_WAIVER_REQUESTED: No
EXPEDITED_SERVICE_REQUESTED: No

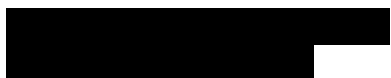


UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 13, 2018

Mr. Justin Glawe
Freelance Journalist



Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-00020-CFOI

Dear Mr. Glawe:

This letter is in response to your request, dated and received in this office on February 13, 2018, for "any and all records of communications, including but not limited to official requests for information, emails, text messages, recordings of phone calls and/or meeting minutes sent by any and all members of the House Judiciary Committee and/or the Senate Judiciary Committee and the Securities and Exchange Commission from January 1, 2018 to present."

The search for responsive records has resulted in the retrieval of 2 pages of records that may be responsive to your request. They are being provided to you with this letter.

If you have any questions, please contact me at luetkenhausj@sec.gov or (202) 551-8352. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Aaron Taylor as a FOIA Public Liaison or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,

A handwritten signature in cursive script that reads "Jason Luetkenhaus".

Jason Luetkenhaus
FOIA Lead Research Specialist

Enclosure

United States Senate

WASHINGTON, DC 20510

ES156272

January 9, 2018

The Honorable Jay Clayton
Chairman
Securities & Exchange Commission
100 F Street, NW
Washington, D.C. 20549

The Honorable Jeff Sessions
Attorney General
Department of Justice
950 Pennsylvania Avenue, NW
Washington, D.C. 20530

Dear Chairman Clayton and Attorney General Sessions:


We write to request that the Securities and Exchange Commission and the Department of Justice investigate the alarming reports that Intel's Chief Executive Officer sold more than \$20 million of his Intel securities on November 29, 2017. While news reports suggest that these securities were sold pursuant to an automatic trading plan, known as a Rule 10b5-1 plan, we are disturbed by additional reports that the instructions for these securities transactions were adopted on October 30, 2017, which is before the public was made aware of serious cybersecurity flaws in Intel's chips but months after Google informed Intel in June of these security vulnerabilities.

These reports are troubling not only because of the risk to nearly all phones and computers, but also because these reports raise concerns of potential insider trading. We request that you conduct a thorough examination of whether any insider trading laws were violated. Furthermore, if you uncover such violations through your examination, we expect you to enforce our laws to the fullest extent possible.

We thank you for your consideration, and we request periodic updates on your progress.

Sincerely,



Jack Reed
U.S. Senator

John Kennedy
U.S. Senator



OFFICE OF
THE CHAIRMAN

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

February 1, 2018


The Honorable John Kennedy
United States Senate
383 Russell Senate Office Building
Washington, DC 20510

Dear Senator Kennedy:

Thank you for your January 9, 2018 letter requesting that we investigate alleged insider trading in shares of Intel Corporation by its Chief Executive Officer prior to the company's disclosure of cybersecurity flaws in its chips. I appreciate your writing to me about this matter. As a matter of policy, the SEC conducts investigations on a confidential basis and generally does not acknowledge the existence or non-existence of any investigation unless or until charges are filed. Accordingly, I cannot comment specifically on the matter raised in your letter. I assure you that the Commission takes seriously allegations of insider trading and other fraudulent conduct and that the Commission's staff will consider carefully the information included in your correspondence in connection with our statutory and regulatory responsibilities.

Thank you again for your letter and for your interest in the work of the Commission. Please do not hesitate to contact me at (202) 551-2100, or have a member of your staff contact Bryan Wood, Director of the Office of Legislative and Intergovernmental Affairs, at (202) 551-2010, if you have any additional concerns or comments.

Sincerely,



Jay Clayton
Chairman