

UNITED STATES DISTRICT COURT
for the
Northern District of Indiana

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

Civil Action No.: 3:15-v-519 JVB MGG

EARL D. MILLER,

Defendant.

**AMENDED
JUDGMENT IN A CIVIL ACTION**

The court has ordered that (*check one*):

Defendant, Earl D. Miller, shall pay disgorgement in the amount of Four Million One Hundred Forty-One Thousand One Hundred Thirty-Three Dollars and Sixty Cents (\$4,141,133.60), prejudgment interest thereon in the amount of Seven Hundred Ninety-Nine Thousand Five Hundred Ninety-Seven Dollars and Twenty Cents (\$799,597.20), and a third-tier civil penalty in the amount of Three Hundred Twenty Thousand Dollars (\$320,000). Defendant, Earl D. Miller, shall satisfy this obligation by paying Five Million Two Hundred Sixty Thousand Seven Hundred Thirty Dollars and Eighty Cents (\$5,260,730.80) to Plaintiff, Securities and Exchange Commission, within 14 days after entry of the Opinion and Order.

plus post-judgment interest at the rate of 1.72 %.

the plaintiff recover nothing, the action is dismissed on the merits, and the defendant _____ recover costs from the plaintiff _____.

Other: Defendant Earl D. Miller is permanently restrained and enjoined from violating, directly or indirectly, 15 U.S.C. § 78j(b), 17 C.F.R. § 240.10b-5, and 15 U.S.C. § 77q(a). Pursuant to Fed. R. Civ. P. 65(d)(2), this paragraph also binds the following who receive actual notice of

the Opinion and Order by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

This action was (*check one*):

tried to a jury with Judge _____ presiding, and the jury has rendered a verdict.

tried by Judge _____ without a jury and the above decision was reached.

decided by Judge Joseph S. Van Bokkelen on a Motion for Permanent Injunction, Monetary Relief, and Entry of Final Judgment Against Defendant Earl Miller.

DATE: September 18, 2019

ROBERT TRGOVICH, CLERK OF COURT

By: s/K. Pflueger
Signature of Clerk or Deputy Clerk