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UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF PENNSYLVANIA

SECURITIES AND EXCHANGE COMMISSION

Plaintiff,

v.

ROBERT TOMMASSELLO and
R.T. & A. FINANCIAL MANAGEMENT,

Defendants,

and

JEAN M. TOMMASSELLO,

Relief Defendant.

CIVIL ACTION NO.
3:CV-98-0322

ORDER OF PERMANENT
INJUNCTION
(RESERVING THE
AMOUNT OF
DISGORGEMENT AND
CIVIL PENALTY)

FEB 2 2001

Plaintiff Securities and Exchange Commission ("Commission"),
having requested this Court to issue this Order of Permanent
Injunction (Reserving the Amount of Disgorgement and Civil
Penalty) ("Order"); and,

It appearing to the Court that defendants Robert Tommassello
("Tommassello") and R.T. & A. Financial Management, Ltd.
(?R.T. & A.), without admitting or denying the allegations in the
Commission's Complaint for Permanent Injunction and Other
Equitable Relief ("Complaint"), except as to jurisdiction, which

they admit, have consented to the entry of this Order; and

It appearing that defendants Tommassello and R.T. & A. have admitted the jurisdiction of this Court over them and over the subject matter of this action, and have acknowledged service of the Summons and Complaint; and

It further appearing that there is no just reason for delay and there is sufficient basis herein for the entry of this Order;

IT IS ORDERED THAT:

I. Defendants Tommassello and R.T. & A., their agents, officers, servants, employees, attorneys, and those persons in active concert or participation with them who receive actual notice of this Order by personal service or otherwise, and each of them, are permanently enjoined from making use of the means and instruments of transportation or communication in interstate commerce, or of the mails, or any means or instrumentality of interstate commerce, or the mails, or any facility of any national securities exchange, in connection with the offer or sale of any security to:

- (a) employ any devices, schemes or artifices to defraud;
- (b) obtain money or property by means of, or make, any untrue statement of a material fact or omit to state a made, in the light of the circumstances under which they were made, not misleading; or
- (c) engage in any act, transaction, practice, or course of

business which operates or would operate as a fraud or
deceit upon any person;

in violation of Section 17(a) of the Securities Act, 15 U.S.C.
77q(a).

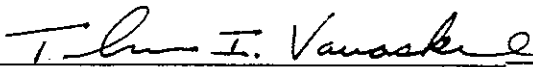
II. Defendants Tommassello & R.T. & A., their agents,
officers, servants, employees, attorneys, and those persons in
active concert or participation with them who receive actual
notice of this Order by personal service or otherwise, and each
of them, are permanently enjoined from, directly or indirectly,
making use of any means or instruments of transportation or
communication in interstate commerce, or any means or
instrumentality of interstate commerce, or the mails, or any
facility of any national securities exchange, in connection with
the purchase or sale of any security, to:

- (a) employ any devices, schemes or artifices to defraud;
- (b) obtain money or property by means of, or make, any
untrue statement of a material fact or omit to state a
material fact necessary in order to make the statements
made, in the light of the circumstances under which
they were made, not misleading; or
- (c) engage in any act, transaction, practice, or course of
business, which operates or would operate as a fraud or
deceit upon any person;

in violation of Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act"), 15 U.S.C. 78j(b), and Rule 10b-5, 17 C.F.R. 240.10b-5 thereunder.

III. This Court shall reserve the issues of the amount of any disgorgement and/or civil penalties to be paid by defendants Tommassello and R.T. & A. and a determination of their financial ability to pay any subsequently ordered disgorgement and/or penalties.

Date: 2/1/21



Hon. Thomas I. Vanaskie
United States District Judge