UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA

CASE NO. 04-80021-CIV-HURLEY/HOPKINS

SECURITIES AND EXCHANGE COMMISSION,)
Plaintiff,)
v.)
SUPERIOR OPPORTUNITIES, INC., J.F. SIMMS & CO., LLC, WILLIAM J. HICKEY, SEAN A. OSBORNE and)))
JON F. SIMMS,)
Defendants.)

FINAL JUDGMENT AS TO DEFENDANTS WILLIAM J. HICKEY AND SEAN A. OSBORNE

THIS CAUSE came before the Court on the Plaintiff Securities and Exchange Commission's Motion to Set Disgorgement and Civil Penalty Amounts as to Defendants Hickey and Osborne ("Motion") [DE 84]. Previously, the Court entered Judgments against Hickey and Osborne, which, among other things, enjoined them from violating certain provisions of the federal securities laws ("Permanent Injunctions"). [DE 58 and 59]. On October 7 and 18, 2010, the Court held an evidentiary hearing regarding the Commission's Motion. After fully considering the record herein, being fully advised in the premises, and based upon the Court's findings of fact and law announced at the October 18, 2010 hearing, the Court enters final judgment as follows:

I. DISGORGEMENT AND CIVIL PENALTY AS TO DEFENDANT WILLIAM HICKEY

IT IS HEREBY ORDERED AND ADJUDGED that Defendant Hickey is liable for disgorgement of \$224,290, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$5,079.62, and a civil

Case 9:04-cv-80021-DTKH Document 116 Entered on FLSD Docket 10/27/2010 Page 2 of 3 penalty in the amount of \$15,000 pursuant to Section 20(d) of the Securities Act of 1933, 15

U.S.C. § 77t(d), and Section 21(d) of the Securities Exchange Act of 1934, 15 U.S.C. §

78u(d)(3), for a total of \$244,369.62. Hickey shall satisfy this obligation by paying \$244,369.62

by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Hickey as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Hickey shall pay post-judgment interest on any delinquent amounts pursuant to 28

USC § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

II. DISGORGEMENT AND CIVIL PENALTY AS TO DEFENDANT SEAN OSBORNE

IT IS HEREBY FURTHER ORDERED AND ADJUDGED that Defendant Osborne is liable for disgorgement of \$373,819, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$8,466.07, and a civil penalty in the amount of \$15,000 pursuant to Section 20(d) of the Securities Act of 1933, 15 U.S.C. § 77t(d), and Section 21(d) of the Securities Exchange Act of 1934, 15 U.S.C. § 78u(d)(3), for a total of \$397,285.07. Osborne shall satisfy this obligation by paying \$397,285.07 by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Osborne as a defendant in this action; setting forth the title

Case 9:04-cv-80021-DTKH Document 116 Entered on FLSD Docket 10/27/2010 Page 3 of 3 and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Osborne shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

III. RETENTION OF JURISDICTION

IT IS FURTHER ORDERED AND ADJUDGED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment and the Permanent Injunctions.

DONE AND ORDERED this day of October, 2010, at West Palm Beach, Florida.

DANIEL T. K. HUPLEY VIOLET COURT JUDGE

Copies to all counsel of record