UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v. : No. 1:21-cv-10203-ADB

:

:

MARK J. AHN,

:

Defendant.

:

[PROPOSED] STIPULATED ORDER ENTERING FINAL JUDGMENT AS TO DEFENDANT MARK J. AHN

Plaintiff, the United States Securities and Exchange Commission ("the Commission") and defendant Mark J. Ahn hereby agree and stipulate as follows:

- 1. On February 5, 2021, the Commission filed a complaint against Ahn alleging violation of Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 [17 C.F.R. § 240.10b-5] thereunder (Dkt. No. 1).
- 2. On April 28, 2021 the Court entered Judgment as to Defendant Mark J. Ahn (the "Judgment") permanently restraining and enjoining him from violating Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and prohibiting him from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act or that is required to file reports pursuant to Section 15(d) of the Exchange Act (Dkt. No. 14).

- 3. Paragraph III of the Judgment provided, "[u]pon motion of the Commission, the Court shall determine whether it is appropriate to order a civil penalt[y] pursuant to Section 21A of the Exchange Act[.]"
 - 4. The Commission is not seeking a civil penalty in this matter.

Now, therefore, the parties respectfully request that the Court enter the proposed order and Final Judgment as set forth below.

Respectfully submitted,

SECURITIES AND EXCHANGE COMMISSION

/s/ Alfred A. Day

Alfred A. Day (BBO# 654436) Martin F. Healey (BBO# 227550) Asita Obeyesekere (DC Bar# 451637) Nita Klunder (BBO# 689304) Securities and Exchange Commission Boston Regional Office 33 Arch Street, 24th Floor Boston, MA 02110 (617) 573-4537

Attorneys for Plaintiff

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/s/ Sandra M. Hanna

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Attorneys for Defendant

Dated: September 7, 2021

[PROPOSED] ORDER ENTERING FINAL JUDGMENT AS TO DEFENDANT MARK J. AHN

Pursuant to the foregoing stipulation of the parties, the Court hereby orders as follows:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Paragraph III of the Judgment (Dkt. No. 14) is stricken.

Π.

IT IS FURTHER ORDERED ADJUDGED AND DECREED that the Judgment, as modified, is the Final Judgment in this matter.

Dated:	9/8/2021	ally 20. A	
		UNITED STATES DISTRICT J	UDCH)