

UNITED STATES DISTRICT COURT

SOUTHERN DISTRICT OF TEXAS
United States District Court
Southern District of Texas

Securities and Exchange Commission,

Plaintiff,

versus

James C. Tao, *et al.*,

Defendants.

§
§
§
§
§
§
§
§
§
§

ENTERED

December 13, 2017

David J. Bradley, Clerk

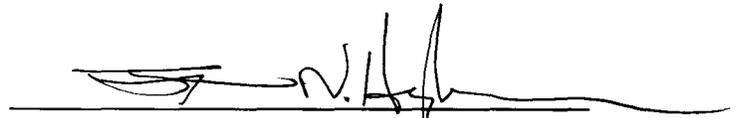
Civil Action H-17-3678

Final Judgment

- I. Securities and Exchange Commission takes from James C. Tao:
 - A. \$155,970.67 on the claim of disgorgement;
 - B. pre-judgment interest amount of \$7,965.65;
 - C. a civil penalty of \$150,000; and
 - D. post-judgment interest on the amount of damages awarded to be compounded annually at the applicable statutory interest rate.

2. The Commission takes \$10,000.00 from Donna Boyd.

Signed on December 13, 2017, at Houston, Texas.



Lynn N. Hughes
United States District Judge