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8 UNITED STATES DISTRICT COURT
9 FOR THE SOUTHERN DISTRICT OF CALIFORNIA

10 SECURITIES AND EXCHANGE COMMISSION,
11 Plaintiff,
12 vs.
13 CHARLES F. PARISI,
14 Defendant.

Case No. 99 CV 2079 B (NLS)
FINAL JUDGMENT OF PERMANENT
INJUNCTION AND OTHER RELIEF

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17 Plaintiff Securities and Exchange Commission
18 ("Commission"), having filed and served upon defendant Charles F.
19 Parisi ("Parisi") a Summons and Complaint in this action; Parisi
20 having admitted service of the Summons and Complaint in this action
21 and the jurisdiction of this Court over him and over the subject
22 matter of this action; having been fully advised and informed of his
23 right to a judicial determination of this action; having waived the
24 entry of findings of fact and conclusions of law as provided by Rule
25 52 of the Federal Rules of Civil Procedure; having consented to the
26 entry of this Final Judgment Of Permanent Injunction And Other
27 Relief ("Final Judgment"), without admitting or denying the
28 allegations in the Complaint except as specifically set forth in the

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1 Consent Of Charles F. Parisi To Entry Of Final Judgment Of Permanent
2 Injunction And Other Relief ("Consent"); and no notice of hearing
3 upon the entry of this Final Judgment being necessary:

4 I.

5 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that defendant
6 Parisi is permanently enjoined from associating with any investment
7 adviser or investment company in violation of the Order Instituting
8 Public Administrative Proceedings Pursuant To Sections 203(e),
9 203(f) And 203(k) Of The Investment Advisers Act Of 1940 And
10 Sections 9(b) And 9(f) Of The Investment Company Act Of 1940, Making
11 Findings And Imposing Remedial Sanctions, issued on July 20, 1992 by
12 the Commission in In the Matter of Specialty Advisors Corp. and
13 Charles F. Parisi, Admin. Proc. File No. 3-7801 ("July 20, 1992
14 Order").

15 II.

16 IT IS FURTHER HEREBY ORDERED, ADJUDGED AND DECREED that, while
17 any Commission order barring Parisi from being associated with any
18 investment adviser is in effect, including but not limited to the
19 Commission's July 20, 1992 Order, defendant Parisi is permanently
20 enjoined from associating with any investment adviser without the
21 consent of the Commission, in violation of Section 203(f) of the
22 Investment Advisers Act [15 U.S.C. § 80b-3(f)].

23 III.

24 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that defendant
25 Parisi, under Section 209(e) of the Advisers Act [15 U.S.C. §
26 80b-9(e)] and 17 C.F.R. § 201.1001, is assessed and shall pay a
27 civil penalty of \$5,500. Parisi shall pay such penalty within
28 thirty days of the entry of this Final Judgment by cashier's check,

1 certified check or postal money order, made payable to the United
2 States Treasury, which cashier's check, certified check or postal
3 money order shall be transmitted to the Comptroller, Securities and
4 Exchange Commission, 450 Fifth Street, N.W., Stop 0-3, Washington,
5 D.C. 20549, under cover of a letter which identifies the defendant,
6 the name and case number of this litigation and the name of the
7 Court. Copies of the cover letter and check shall be simultaneously
8 transmitted to counsel for the Commission at its Los Angeles office.

9 IV.

10 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the provisions
11 of the Consent filed concurrently with this Final Judgment are
12 incorporated herein with the same force and effect as if fully set
13 forth herein, and that Parisi shall comply with his Consent.

14 V.

15 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court
16 shall retain jurisdiction over this action for all purposes,
17 including to implement and enforce the terms of this Final Judgment
18 and other orders and decrees which may be entered herein, and to
19 grant such other relief as this Court may deem necessary and just.

20 * * * * *

21 There being no just reason for delay, the Clerk of the Court is
22 hereby directed, pursuant to Rule 54(b) of the Federal Rules of
23 Civil Procedure, to enter this Final Judgment forthwith.

24
25 DATED: 6-29-00


HONORABLE RUDI M. BREWSTER
UNITED STATES DISTRICT JUDGE

CERTIFICATE OF SERVICE

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2 I, Magnolia M. Marcelo, am the over the age of 18 years, not a
3 party to this action, and am a citizen of the United States. My
4 business address is 5670 Wilshire Boulevard, 11th Floor, Los
5 Angeles, California 90036. On June 19, 2000, at the direction of a
6 member of the bar of this Court, I served the following document
7 entitled **FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF** by
8 causing to be mailed a true and correct copy thereof in a sealed
9 envelope with postage pre-paid, addressed to:

10
11 Michael D. Donahue, Esq.
12 Amy S. Novicoff, Esq.
13 Donahue Mesereau & Leids LLP
14 1900 Avenue of the Stars, Suite 2700
Los Angeles, CA 90067-4508

15 I declare under penalty of perjury that the foregoing is true
16 and correct.

17
18 Dated: June 19, 2000

Magnolia M. Marcelo
Magnolia M. Marcelo

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