

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

CO JMW-4 11:10:53  
*Jacobs*

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE  
COMMISSION,  
  
vs.  
  
ALLIANCE LEASING CORPORATION, et  
al.,  
  
Plaintiff,  
  
Defendant.

CASE NO. 98cv1810J (cga)  
  
FINAL JUDGMENT OF  
PERMANENT INJUNCTION AND  
OTHER RELIEF AGAINST  
SHARON OLIVER

Plaintiff Securities and Exchange Commission ("Commission"), having filed and served upon Defendant Sharon Oliver ("Oliver") a Summons and First Amended Complaint in this action; Oliver having admitted service upon her of the Summons and First Amended Complaint in this action and the jurisdiction of this Court over her; having been fully advised and informed of her right to a judicial determination of this matter; having waived the entry of findings of fact and conclusions of law as provided by Rule 52 of the Federal Rules of Civil Procedure; having consented to the entry of this Final Judgment of Permanent Injunction and Other Relief Against Sharon Oliver ("Final Judgment"), without admitting or denying the allegations in the First

200<sup>-1-</sup>

Entered on 1/5/00

1 Amended Complaint except as specifically set forth in the Consent to Entry of Final  
2 Judgment of Permanent Injunction and Other Relief (“Consent”); no notice of hearing upon  
3 the entry of this Final Judgment being necessary; and this Court being fully advised:

4 **I.**

5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Oliver and her  
6 agents, servants, employees and attorneys, and all persons in active concert or participation  
7 with any of them, who receive actual notice of this Order, by personal service or otherwise,  
8 and each of them, are permanently restrained and enjoined from, directly or indirectly:

- 9 A. making use of any means or instruments of transportation or  
10 communication in interstate commerce or of the mails to sell any  
11 security through the use or medium of any prospectus or otherwise,  
12 unless a registration statement is in effect as to such security;
- 13 B. carrying or causing to be carried any security through the mails or in  
14 interstate commerce, by any means or instruments of transportation,  
15 unless a registration statement is in effect as to such security; and
- 16 C. making use of any means or instruments of transportation or  
17 communication in interstate commerce or of the mails to offer to sell or  
18 offer to buy any security through the use or medium of any prospectus  
19 or otherwise unless a registration statement has been filed as to such  
20 security, or while the registration statement is the subject of a refusal  
21 order or stop order or any public proceeding of examination;

22 in violation of Sections 5(a) and 5(c) of the Securities Act of 1933 (“Securities Act”)  
23 [15 U.S.C. §§ 77e(a) and 77e(c)].

24 **II.**

25 IT IS ORDERED, ADJUDGED, AND DECREED that Oliver and her agents,  
26 servants, employees and attorneys, and all persons in active concert or participation with  
27 any of them, who receive actual notice of this Order, by personal service or otherwise, and  
28 each of them, are permanently restrained and enjoined from, directly or indirectly, in the



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

IV.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, based upon a Statement of Financial Condition sworn to on July 21, 1999 (the "Financial Statement") which Oliver submitted to the Commission representing her financial condition and demonstrating that she is financially unable to pay a civil penalty, the Court is not ordering Oliver to pay a civil penalty. The Court does, however, note the appropriateness of civil penalties in this instance. The determination that Oliver is unable to pay a civil penalty is conditioned on the accuracy and completeness of the Financial Statement submitted to the Commission. If, at any time following the entry of this Final Judgment, the Commission obtains information that, in statements made by Oliver to the Commission, Oliver materially understated her assets or income or materially overstated her liabilities or expenses, or that Oliver willfully omitted assets or income in any amount, the Commission may petition the Court for a hearing to determine whether further sanctions are appropriate in light of any understatement, overstatements, or willful omissions. In connection with such petition, the Commission may request the Court to order Oliver to pay civil penalties in connection with the violations alleged in the First Amended Complaint. In its petition, the Commission also may seek any additional remedies, or request further discovery. Oliver may not by way of defense to that petition, contest the allegations in the First Amended Complaint or assert that no civil penalty is appropriate for the violations alleged in the First Amended Complaint.

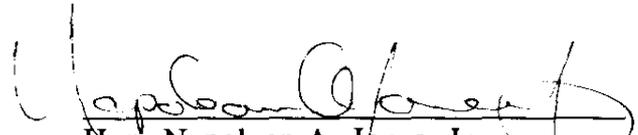
V.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the provisions of the Consent filed concurrently with this Final Judgment are incorporated by this reference and that Oliver shall comply with the terms of the Consent.

VII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court shall retain jurisdiction over this action for all purposes, including to implement and enforce the terms of this Final Judgment and other orders and decrees which may be entered, and to grant such other relief as this Court may deem necessary and just.

DATED January 4, 2000



Hon. Napoleon A. Jones, Jr.  
UNITED STATES DISTRICT JUDGE

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28