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ENTER
JSK

FILED
NOV - 2 1998
CLERK, U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION AT SANTA ANA
DEPUTY

6 Attorneys for Plaintiff
7 Securities and Exchange Commission
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UNITED STATES DISTRICT COURT

FOR THE CENTRAL DISTRICT OF CALIFORNIA

SOUTHERN DIVISION

SECURITIES AND EXCHANGE COMMISSION

Case No.

SACV98-929 AHS (ANx)

Plaintiff,

FINAL JUDGMENT OF PERMANENT
INJUNCTION AND OTHER RELIEF
AGAINST STEVEN A. SAMBRANO

vs.

STEVEN A. SAMBRANO,

Defendant.

NOV - 4 1998

17 Plaintiff Securities and Exchange Commission ("Commission"),
18 having filed and served upon Defendant Steven A. Sambrano
19 ("Sambrano") a Summons and Complaint in this action; Sambrano having
20 admitted service upon him of the Summons and Complaint in this
21 action and the jurisdiction of this Court over him and over the
22 subject matter of this action; having been fully advised and
23 informed of his right to a judicial determination of this matter;
24 having waived the entry of findings of fact and conclusions of law
25 as provided by Rule 52 of the Federal Rules of Civil Procedure;
26 having consented to the entry of this Final Judgment Of Permanent
27 Injunction And Other Relief Against Steven A. Sambrano ("Final
28 Judgment"), without admitting or denying the allegations in the

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CLERK OF DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION
LOS ANGELES
BY: [Signature]

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JUDGMENT

FILED 11/02/98

1 Complaint except as specifically set forth in the Consent Of
2 Steven A. Sambrano To Entry Of Final Judgment Of Permanent
3 Injunction And Other Relief ("Consent"); no notice of hearing upon
4 the entry of this Final Judgment being necessary; and this Court
5 being fully advised:

6 I.

7 IT IS ORDERED, ADJUDGED AND DECREED that Sambrano and his
8 agents, servants, employees and attorneys, and all persons in active
9 concert or participation with any of them, who receive actual notice
10 of this Final Judgment by personal service or otherwise, and each of
11 them, are permanently restrained and enjoined from, directly or
12 indirectly, in connection with the purchase or sale of any security,
13 by the use of any means or instrumentality of interstate commerce,
14 or of the mails, or of any facility of any national securities
15 exchange:

- 16 A. employing any device, scheme, or artifice to defraud;
17 B. making any untrue statement of a material fact or
18 omitting to state a material fact necessary in order to
19 make the statements made, in the light of the
20 circumstances under which they were made, not misleading;
21 or
22 C. engaging in any act, practice, or course of business
23 which operates or would operate as a fraud or deceit upon
24 any person;

25 in violation of Section 10(b) of the Securities Exchange Act of 1934
26 [15 U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R.
27 § 240.10b-5].

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1 II.

2 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Sambrano pay
3 disgorgement in the amount of \$10,625, plus pre-judgment interest
4 calculated pursuant to 28 U.S.C. § 1961 in the amount of \$319.51.
5 Sambrano shall pay, by cashier's check, certified check or postal
6 money order, the \$10,944.51 in disgorgement within sixty days of
7 entry of this Final Judgment. This disgorgement payment shall be
8 made payable to the United States Securities and Exchange
9 Commission, and shall be transmitted to the Comptroller, Securities
10 and Exchange Commission, Operations Center, 6432 General Green Way,
11 Stop 0-3, Alexandria, VA 22312, under cover of a letter that
12 identifies the defendant, the name and case number of this
13 litigation, and the court. A copy of each such cover letter shall
14 be simultaneously transmitted to counsel for the Commission in this
15 action at its Los Angeles Office.

16 III.

17 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Sambrano is
18 assessed, and shall pay to the Commission for delivery to the United
19 States Treasury, civil penalties in the amount of \$10,625 pursuant
20 to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Sambrano
21 shall pay the above penalty within sixty days of entry of this Final
22 Judgment. This payment shall be made by cashier's check, certified
23 check or postal money order, payable to the United States Treasury,
24 and shall be transmitted to the Comptroller, Securities and Exchange
25 Commission, Operations Center, 6432 General Green Way, Stop 0-3,
26 Alexandria, VA 22312, under cover of a letter which identifies the
27 defendant, the name and case number of this litigation and the name
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1 of the Court. A copy of the cover letter shall be simultaneously
2 transmitted to counsel for the Commission at its Los Angeles office.

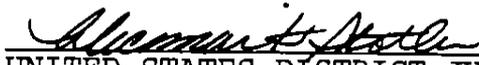
3 IV.

4 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the provisions
5 of the Consent filed concurrently with this Final Judgment are
6 incorporated by this reference, and that Sambrano shall comply with
7 the Consent.

8 V.

9 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court
10 shall retain jurisdiction over this action for all purposes,
11 including to determine the liability of any remaining defendants in
12 this action, to implement and enforce the terms of this Final
13 Judgment and other orders and decrees which may be entered, and to
14 grant such other relief as this Court may deem necessary and just.

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16 DATED: NOV -2 1998

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18 UNITED STATES DISTRICT JUDGE
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