

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA  
Case No. 97-2872-CIV-MORENO

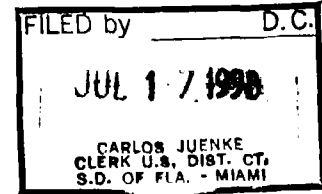
SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

vs.

MAURY H. JOSEPH,

Defendant.



FINAL JUDGMENT AS TO DEFENDANT MAURY H. JOSEPH

THIS CAUSE came before the Court after a jury verdict on July 17, 1998 in favor of Plaintiff S.E.C. Pursuant to Fed. R. Civ. P. 54 and 58, judgment is entered in favor of Plaintiff and against Defendant Maury H. Joseph as follows:

1. Judgment is entered against Defendant Joseph for violation of § 17(a)(1) of the Securities Act of 1933.

2. Judgment is entered against Defendant Joseph for violation of § 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5.


3. Judgment is entered against Defendant Joseph for violation of §§ 17(a)(2) and (3) of the Securities Act, 15 U.S.C. §§ 77q(a)(2) and 77q(a)(3).

4. Judgment is entered against Defendant Joseph for violation of § 13(b)(5) of the Exchange Act and Rules 13B2-1 and 13B2-2.

WHEREFORE a civil penalty is imposed pursuant to § 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and § 21(d)(3) of the

Exchange Act, 15 U.S.C. § 78(d)(3) in the amount of \$ 50,000, in addition to a permanent injunction issued by separate order. All other pending motions not otherwise ruled upon are DENIED as moot.

DONE AND ORDERED in Chambers at Miami, Florida, this 17<sup>th</sup> day of July, 1998.

  
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FEDERICO A. MORENO  
UNITED STATES DISTRICT JUDGE

copies provided:  
Glenn A. Harris, Esq.  
Kenneth Robinson, Esq.  
Maury H. Joseph, pro se