

UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT

SECURITIES AND EXCHANGE COMMISSION,	)	
	)	
Plaintiff,	)	Civil Action No. 3:13-cv-00522-JBA
	)	
v.	)	
	)	
DAVID MILLER,	)	
	)	
Defendant,	)	
	)	

**PLAINTIFF'S NOTICE OF VOLUNTARY DISMISSAL WITH PREJUDICE OF THE  
CLAIM FOR CIVIL PENALTIES AGAINST DEFENDANT DAVID MILLER**

Plaintiff Securities and Exchange Commission hereby files this Notice of Voluntary Dismissal with prejudice as to its claim for civil penalties against Defendant David Miller.

The Court previously entered a permanent injunction against Miller. (ECF Doc. 11). The civil penalty amount, if any, was left to be determined by the Court upon the Commission's motion. The Commission now voluntarily dismisses its civil penalty claim because of, among other things, the resolution of Miller's parallel criminal case, *United States v. David Miller*, No. 3:13-cr-00075 (D. Conn.).

With this voluntary dismissal of the civil penalty claim against Miller and the previously entered permanent injunction remaining in place, all issues related to Miller in this case are now resolved.

Respectfully submitted,

/s/ John B. Hughes

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**U.S. SECURITIES AND EXCHANGE  
COMMISSION**

Dated: January 8, 2014

**CERTIFICATE OF SERVICE**

I hereby certify that on January 8, 2014, a copy of the foregoing Notice of Voluntary Dismissal With Prejudice of the Claim for Civil Penalties Against Defendant David Miller was filed electronically and served by first-class mail or e-mail on anyone unable to accept electronic filing. Notice of this filing will be sent by e-mail to all parties by operation of the court's electronic filing system or by first-class mail or e-mail to anyone unable to accept electronic filing as indicated on the Notice of Electronic Filing. Parties may access this document through the Court's CM/ECF System.

/s/ David H. London

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