## UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

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Plaintiff,

CASE NO. 09-CV-676

STEPHAN VON HASE, CTA WORLDWIDE

SERVICES, SA., ET AL.,

v.

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Defendants.

## <u>ORDER</u>

The Court, having reviewed the Joint Submission of Powers and SEC regarding Scope of Penny Stock Injunction [dkt. no. 535], which was submitted pursuant to the Court's Order [dkt. no. 529] entered on August 13, 2014, and having been otherwise fully advised in the premises,

## IT IS HEREBY ORDERED:

For a period of five (5) years, beginning on the date of entry of this order, Defendant Powers, his agents, servants, employees, and those persons in active concert or participation with him who receive notice of this order, is hereby restrained and prohibited from, directly or indirectly, participating in any offering of penny stock (as that term is defined in Rule 3a51-1 of the Securities Exchange Act of 1934 [17 C.F.R. § 240.3a51-1]); provided, however, that Defendant Powers shall be permitted to give legal advice to his clients in his capacity as a lawyer concerning securities law issues that may entail legal advice about penny stocks. Nothing in this order shall preclude Defendant Powers from preparing or reviewing documents for his legal clients concerning the issuance, purchase or sale of penny stocks, so long as it does not involve offerings pursuant to Regulation S or involve a company whose shares are traded on a national market or on any over-the-counter market.

Dated: September 4, 2014

**ENTERED:** 

UMTED STATES MAGISTRATE JUDGE