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CLERK U.S. DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
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UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

STRATEGIC MANAGEMENT &  
OPPORTUNITY CORPORATION,  
ROBERT J. PRATT, and JEFFERY A.  
BROMMER,

Defendants.

Case No. C 08-197 JLR

~~PROPOSED~~ FINAL JUDGMENT  
ORDERING DISGORGEMENT,  
PREJUDGMENT INTEREST AND  
CIVIL PENALTIES

*JLR*



08-CV-00197-JGM

Proposed Judgment

Securities and Exchange Commission  
5670 Wilshire Blvd., 11<sup>th</sup> Floor  
Los Angeles, CA 90036  
(323) 965-3998

1 Plaintiff Securities and Exchange Commission ("Commission") filed a  
2 Motion for Entry of Final Judgment of Disgorgement, Prejudgment Interest, and  
3 Civil Penalties ("Motion"), which was heard by the Court. The Court hereby  
4 GRANTS the Commission's Motion.

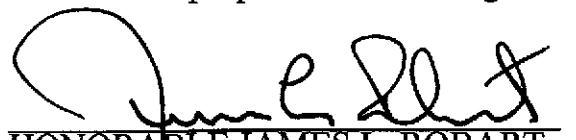
5 I.

6 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant  
7 Robert J. Pratt is liable for disgorgement of \$628,947, representing profits gained  
8 as a result of the conduct alleged in the Complaint, together with prejudgment  
9 interest thereon in the amount of \$112,682.98 and a civil penalty in the amount of  
10 \$628,947 pursuant to Section 20(d) of the Securities Act of 1933, 15 U.S.C. §  
11 77t(d), and Section 21(d)(3) of the Securities Exchange Act of 1934, 15 U.S.C. §  
12 78u(d)(3). Defendant shall satisfy this obligation by paying \$1,370,576.98 within  
13 14 days after entry of this Final Judgment by certified check, bank cashier's check,  
14 or United States postal money order payable to the Securities and Exchange  
15 Commission. The payment shall be delivered or mailed to the Office of Financial  
16 Management, Securities and Exchange Commission, 100 F Street, NE, Stop 6042,  
17 Washington DC 20549, and shall be accompanied by a letter identifying Robert J.  
18 Pratt as a defendant in this action; setting forth the title and civil action number of  
19 this action and the name of this Court; and specifying that payment is made  
20 pursuant to this Final Judgment. Defendant shall pay post-judgment interest on  
21 any delinquent amounts pursuant to 28 U.S.C. § 1961. The Commission shall  
22 remit the funds paid pursuant to this paragraph to the United States Treasury.

23 II.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this  
25 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms  
26 of this Final Judgment.

27 Dated: May 25, 2012

  
HONORABLE JAMES L. ROBERT  
UNITED STATES DISTRICT JUDGE

**PROOF OF SERVICE**

1 I am over the age of 18 years and not a party to this action. My business address is:

2  
3  U.S. SECURITIES AND EXCHANGE COMMISSION, 5670 Wilshire  
Boulevard, 11th Floor, Los Angeles, California 90036-3648

4 Telephone No. (323) 965-3998; Facsimile No. (323) 965-3908.

5 On April 18, 2012, I caused to be served the document entitled **[PROPOSED]**  
6 **FINAL JUDGMENT ORDERING DISGORGEMENT, PREJUDGMENT**  
7 **INTEREST AND CIVIL PENALTIES** on all the parties to this action addressed  
as stated on the attached service list:

8  **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for  
collection and mailing today following ordinary business practices. I am  
9 readily familiar with this agency's practice for collection and processing of  
correspondence for mailing; such correspondence would be deposited with  
10 the U.S. Postal Service on the same day in the ordinary course of business.

11  **PERSONAL DEPOSIT IN MAIL:** By placing in sealed  
envelope(s), which I personally deposited with the U.S. Postal Service.  
12 Each such envelope was deposited with the U.S. Postal Service at Los  
Angeles, California, with first class postage thereon fully prepaid.

13  **EXPRESS U.S. MAIL:** Each such envelope was deposited in a  
14 facility regularly maintained at the U.S. Postal Service for receipt of  
Express Mail at Los Angeles, California, with Express Mail postage  
15 paid.

16  **HAND DELIVERY:** I caused to be hand delivered each such envelope to  
the office of the addressee as stated on the attached service list.

17  **UNITED PARCEL SERVICE:** By placing in sealed envelope(s)  
18 designated by United Parcel Service ("UPS") with delivery fees paid or  
provided for, which I deposited in a facility regularly maintained by UPS or  
19 delivered to a UPS courier, at Los Angeles, California.

20  **ELECTRONIC MAIL:** By transmitting the document by electronic mail  
to the electronic mail address as stated on the attached service list.

21  **E-FILING:** By causing the document to be electronically filed via the  
22 Court's CM/ECF system, which effects electronic service on counsel who  
are registered with the CM/ECF system.

23  **FAX:** By transmitting the document by facsimile transmission. The  
24 transmission was reported as complete and without error.

25 I declare under penalty of perjury that the foregoing is true and correct.

26 Date: April 18, 2012

/s/ Molly M. White  
Molly M. White

1                    **SEC v. STRATEGIC MANAGEMENT CORP., et al.**  
2                    **United States District Court – Western District of Washington**  
3                    **Case No. C 08-197 JLR**

4                    **SERVICE LIST**

5                    Robert J. Pratt  
6                    107 S. 1<sup>st</sup> Street  
7                    Lynden, WA 98264-1530  
8                    ***Defendant Pro Se***

9                    Strategic Management & Opportunity Corporation  
10                    c/o Robert J. Pratt, Registered Agent for Service of Process  
11                    914 Citadel Dr., #B-1  
12                    Everson, WA 98247

13                    ***Strategic Management & Opportunity Corporation***

14                    Martin R. Nathan  
15                    Attorney at Law  
16                    661 Bering Drive, Suite 102  
17                    Houston, TX 7057-2137  
18                    ***Counsel for Defendant Jeffery A. Brommer***