

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

FILED

OCT 31 2002

NANCY MAYER WHITTINGTON, CLERK
U.S. DISTRICT COURT

SECURITIES AND EXCHANGE COMMISSION,
450 Fifth Street, N.W.
Washington, D.C. 20549,

Plaintiff,

v.

Civ.

02 2126

STEPHEN R. BECKER,
2520 Lawnside Road
Timonium, Maryland 21093,

Defendant.

**FINAL JUDGMENT AGAINST
DEFENDANT STEPHEN R. BECKER**

This Court having determined that:

1. Plaintiff SECURITIES AND EXCHANGE COMMISSION ("COMMISSION")
duly commenced this action by filing its COMPLAINT;

2. Defendant STEPHEN R. BECKER ("BECKER") in the attached CONSENT OF
STEPHEN R. BECKER ("CONSENT"), the provisions of which are expressly incorporated herein
by reference, has entered a general appearance; admitted the jurisdiction of this Court over himself
and over the subject matter of this action; waived service of process of a SUMMONS and of the
COMPLAINT of Plaintiff SECURITIES AND EXCHANGE COMMISSION in this action;
waived the filing of an answer and the entry of findings of fact and conclusions of law pursuant to
Rule 52 of the Federal Rules of Civil Procedure; waived any right which he may have to appeal
from this FINAL JUDGMENT AGAINST DEFENDANT STEPHEN R. BECKER ("FINAL

JUDGMENT"); and, without admitting or denying any of the allegations of the COMPLAINT except as to jurisdiction, consented to the entry of this FINAL JUDGMENT; and

3. This Court has jurisdiction over Defendant BECKER and the subject matter of this action:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant BECKER, his agents, servants, employees, attorneys, and those persons in active concert or participation with them who receive actual notice of this FINAL JUDGMENT by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from violating Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], directly or indirectly, by the use of any means or instrumentality of interstate commerce, or of the mails or of any facility of any national securities exchange, by:

- (1) employing any device, scheme or artifice to defraud;
- (2) making any untrue statement of a material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (3) engaging in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person,

in connection with the purchase or sale of any security.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant BECKER, his agents, servants, employees, attorneys, and those persons in active concert or

participation with them who receive actual notice of this FINAL JUDGMENT by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from, violating Exchange Act Rule 13b2-1 [17 C.F.R. Section 240.13b2-1] by, directly or indirectly, falsifying, or causing to be falsified, any book, record, or account described in Section 13(b)(2)(A) of the Exchange Act [15 U.S.C. Section 78m(b)(2)(A)].

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant BECKER, his agents, servants, employees, attorneys, and those persons in active concert or participation with them who receive actual notice of this FINAL JUDGMENT by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from, directly or indirectly, aiding and abetting any violation of Sections 13(a) and 13(b)(2)(A) of the Exchange Act [15 U.S.C. Sections 78m(a) and 78m(b)(2)(A)], and Exchange Act Rules 12b-20, 13a-1, and 13a-13 [17 C.F.R. Sections 240.12b-20, 240.13a-1, and 240.13a-13], by:

- (A) knowingly providing substantial assistance to an issuer of a security, registered pursuant to Section 12 of the Exchange Act [15 U.S.C. Section 78l], in that issuer's filing with the Commission any report required to be filed with the Commission pursuant to Section 13(a) of the Exchange Act [15 U.S.C. Section 78m(a)] and the rules and regulations promulgated thereunder which contains any untrue statement of material fact, which omits to state any material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading, or which omits to disclose any information required to be disclosed;
- (B) knowingly providing substantial assistance to an issuer of a security, registered

pursuant to Section 12 of the Exchange Act [15 U.S.C. Section 78l], or an issuer which is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. Section 78o(d)], in that issuer's failure to make and keep books, records, and accounts which, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of an issuer.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Becker shall pay a civil penalty in the amount of \$20,000 pursuant to Section 21(d)(3) of the Exchange Act [15 U.S.C. Section 78u(d)(3)]. Within sixty days of the entry of this FINAL JUDGMENT, BECKER shall pay \$20,000 to the United States Treasury. Such payment shall be (a) made by United States postal money order, certified check, bank cashier's check, or bank money order; (b) made payable to the Securities and Exchange Commission; (c) hand-delivered or mailed to the Comptroller, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Stop 0-3, Alexandria, VA 22312; and (d) submitted with a cover letter that identifies Stephen R. Becker as a defendant in this action and sets forth the caption and the civil action number of this action and the name of this Court. A copy of the cover letter and money order or check shall also be sent to Thomas C. Newkirk, Associate Director, Division of Enforcement, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0801.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the annexed CONSENT of STEPHEN R. BECKER be, and hereby is, incorporated herein with the same force and effect as if fully set forth herein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this action for all purposes, including the implementation and enforcement of this FINAL JUDGMENT.


UNITED STATES DISTRICT JUDGE

Dated: October 31, 2002