

# **Form CRS – Client Relationship Summary**

## **Atom Investors LP**

### **March 27, 2024**

Atom Investors LP (“Atom” or “we”) is an investment adviser registered with the U.S. Securities and Exchange Commission (“SEC”).

Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS) which also provides educational materials about broker-dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

Atom provides discretionary and non-discretionary advisory services to retail investors and institutions, as well as manages privately offered investment funds. The discretionary investment advisory services we offer to retail clients directly or through financial intermediaries generally involve buying and selling securities for you in accordance with a customized investment strategy selected by you and/or recommended by your financial intermediary. We also offer non-discretionary advisory services to retail clients, either directly or by providing model investment portfolios to your financial intermediary that is responsible for implementing the model and making the ultimate decision regarding the purchase or sale of investments for you. We use proprietary quantitative models and tools to develop investment strategies and model portfolios through a digital platform. With respect to our discretionary advisory services, we provide continuous supervision and management to monitor your assets. When providing model portfolios, we continuously monitor the model portfolios and update them, as necessary. When managing your account on a discretionary basis, we seek to follow any reasonable restrictions that you have requested. For accounts handled on a discretionary basis, we typically have authority to determine which securities, and the amount of securities, to be bought and sold without obtaining client consent to specific transactions. An Index Pro Portfolio requires a minimum initial account size of \$250,000, although we may waive such requirement in our sole discretion. Our Advisory Services program typically requires a minimum of \$10,000,000 of investible assets, although we may waive such requirement in our sole discretion. For additional information about our services, please see Items 4 and 7 of our Form ADV Part 2A, which is posted here: <https://adviserinfo.sec.gov/firm/summary/296819>.

#### ***Conversation Starters.***

*Ask your financial professional:*

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

### **What fees will I pay?**

You will pay Atom a management fee based on the percentage of your assets for which we provide advisory services. We typically assess our management fees quarterly in arrears, based on your account value at the end of the quarter, but clients or their financial intermediaries can negotiate different billing terms. Your management fee rate is based on the investment strategy(ies) that you choose and the amount of assets in your account, and is disclosed in the investment management agreement between you and Atom or your financial intermediary, as applicable. Our non-discretionary advisory services typically incur a flat rate retainer fee. At times, you will incur additional fees and costs imposed by third parties, including, but not limited to, transactional fees, commissions, custodian fees, ticket charges, administrator fees, fund fees, index fees or fees that you pay to a third-party intermediary such as a financial advisor, wrap program sponsor, or broker-dealer.

Our management fees create a conflict of interest. For example, since we charge an asset-based fee, more assets in your account will result in you paying us more in fees. Therefore, we have an incentive to encourage you to increase

the amount of money invested in those accounts. A conflict also arises from our simultaneous management of private investment funds that pay us a performance-based fee. We have an incentive to favor managing private funds that pay a performance-based fee over accounts that do not pay such a fee.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information about the fees we charge, please see Items 5 and 6 of Atom's Form ADV Part 2A, which can be found at <https://adviserinfo.sec.gov/firm/summary/296819>.

#### **Conversation Starters.**

*Ask your financial professional:*

- *Help me understand how these fees and costs may affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

### **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?**

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

We maintain policies and procedures that stress the fiduciary duty owed to you. For additional information, please see Items 8, 11, 12, and 17 of Atom's Form ADV, Part 2A here: <https://adviserinfo.sec.gov/firm/summary/296819>.

#### **Conversation Starters.**

*Ask your financial professional:*

- *How might your conflicts of interest affect me, and how will you address them?*

### **How do your financial professionals make money?**

Atom generally provides a competitive salary plus bonus for employees. At times, a portion of certain individuals' bonuses is based upon the financial performance of the firm in any given year. Because we generate revenue through asset-based management fees, our financial professionals are incentivized to encourage you to increase the amount of assets in an account for which we provide advisory services.

### **Do you or your financial professionals have legal or disciplinary history?**

No. You may visit [Investor.gov/CRS](https://Investor.gov/CRS) for a free and simple research tool to research Atom and our financial professionals.

#### **Conversation Starters.**

*Ask your financial professional:*

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

### **Additional Information**

You can find additional information about Atom's services [and request a copy of the relationship summary] by visiting <https://adviserinfo.sec.gov/firm/summary/296819> or calling us at (737) 808-4595.

#### **Conversation Starters.**

*Ask your financial professional:*

- *Who is my primary contact person: Is he or she a representative of an investment advisor or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*