



# Glen Eagle Advisors, LLC

## Client Relationship Summary - March 2024

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### Relationship Summary for Glen Eagle Advisors, LLC

Glen Eagle Advisors, LLC (“GEA” or the “Firm”) is a registered Investment Advisor with the Securities and Exchange Commission. Investment advisory services and brokerage fees differ. It is important to understand the differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov](http://www.investor.gov) which also provides educational materials about investment advisers, broker-dealers and investing.

### What investment services and advice can you provide me?

We provide investment management services to individuals and institutions that include pension and retirement funds, profit sharing plans, trusts, estates, charitable organizations, corporations, and other business entities. These services include discretionary investment advisory to separately managed accounts. We provide investment advice on an extensive array of investment products and services, including traditional brokerage products, wealth management services and corporate services.

We offer clients a variety of programs with which to establish an investment advisory relationship with the Firm. The Firm offers a legacy wrap fee program but clients that engage our services after 2023 are generally part of the separately managed account business of the firm.

### Wrap Fee Advisory Program

Glen Eagle Advisors’ legacy wrap fee program features asset management services for an asset-based fee. The legacy wrap fee program includes asset management services provided by the client’s adviser, securities transactions costs (ticket charges, transaction service fees), and regular performance reports.

### Non-Wrap Fee Advisory Programs

Our non-wrap fee advisory program provides clients with the investment management services of the investment adviser, for a fee, based on the level of client assets in the managed account(s). Additional fees also include security transactions and performance reporting. This program offers continuous investment monitoring.

### Glen Eagle Advisory Management Program

This program only provides the client with the investment management services of the investment adviser for a fee based on the level of client assets in the managed accounts. This program does not include coverage for security transaction costs, nor will there be a performance report generated. Products are discussed with and agreed upon with clients at the beginning of the relationship. Additionally, a discretionary versus a non-discretion relationship is also up to each client. Please see GEA’s [ADV](#) and/or contact your representative or GEA’s Chief Compliance Officer at 609-631-8231.

<b><i>Questions to ask us to start a conversation<sup>1</sup></i></b>	<ul style="list-style-type: none"><li>• <b><i>Given my financial situation, should I choose an investment advisory service? Why or why not?</i></b></li><li>• <b><i>How will you choose investments to recommend to me?</i></b></li><li>• <b><i>What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?</i></b></li></ul>
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### What Fees Will I Pay?

Client fees will vary by multiple factors including product types, assets under management and/or number of accounts. Pursuant to the investment advisory contract signed by each client, the client pays a monthly or quarterly fee based on the amount of assets to be managed by the adviser as of the opening of business on the first day of each contract quarter. At account inception, fees are billed from the date the account is opened through the end of that calendar quarter in advance. Thereafter, fees are billed in advance for the next calendar quarter based on the value of the assets at the end of the prior



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calendar quarter. For more information on fee structure please see GEA's ADV and/or contact your representative or GEA's Chief Compliance Officer at 609-631-8231.

*You will pay fees and costs whether your account(s) increase or decrease in value. Fees will reduce client account balances as they are deducted. Please make sure you understand what fees and costs you are paying.*

**Questions to ask us to start a conversation**

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

*When we act as your investment adviser, we must act in your best interest and not put our own interests ahead of yours. At the same time, the way we make money can create some conflicts of interest. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you.*

GEA is affiliated with Glen Eagle Wealth, LLC, ("GEW"), a FINRA registered broker-dealer. This affiliation may create conflicts of interest. You should understand and ask us about these conflicts because they can impact the services we provide. Registered personnel of GEA, including senior management, compliance, back-office operations, and brokers, may also engage in the activities on behalf of GEW and such work may be provided at the expense of GEA. In addition, registered personnel of GEA may recommend services offered by GEW which may result in additional fees that could directly or indirectly benefit the recommending registered person. Clients of GEA have the option to become clients of GEW and vice versa. Available investment products and vehicles offered by both firms will be discussed with each client on an individual basis and recommendations will be made strictly with the client's personal and financial goals in mind. For an additional discussion of potential conflicts of interest please see GEA's [ADV](#) and/or contact your representative or GEW's Chief Compliance Officer at 609-631-8231.

**Questions to ask us to start a conversation**

- *How might your conflicts of interest affect me, and how will you address them?*

**How do your financial professionals make money?**

Each of our advisors may receive, a salary and other regular annual compensation, an annual bonus, as determined by senior management or a percentage of fees earned in accounts they service, which could incentivize such advisor to sell as much as possible.

**Questions to ask us to start a conversation**

- *As a financial professional, do you have any disciplinary history and if so, for what type of conduct?*

*You may find additional information about our investment advisory services and request a copy of this relationship summary at [info@gleneagleadv.com](mailto:info@gleneagleadv.com) or by calling 609-631-8231. Call 609-631-8231 to request up-to-date information about Glen Eagle Advisors*

**Do you or your financial professionals have a legal or disciplinary history?**

No. Visit [www.Investor.gov](http://www.Investor.gov) for a free and simple search tool to research us and your registered representative.

**Questions to ask us to start a conversation**

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*

**For any questions related to your accounts, this form or any other questions or concerns, please contact us at 609-631-8231.**



# **alooola, LLC**

## **Client Relationship Summary – December 2023**

### **Relationship Summary for Alooola, LLC**

alooola, LLC is a brand name for the investment advisory services offered through Glen Eagle Advisors LLC, a registered Investment Advisor with the Securities and Exchange Commission. Investment advisory services and brokerage fees differ. It is important to understand the differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov](http://www.investor.gov) which also provides educational materials about investment advisers, broker-dealers, and investing.

### **What investment services and advice can you provide me?**

alooola, LLC focuses on providing financial advice to a diverse and customarily under-served population of young professionals with a long-term time horizon to retirement using a personalized and technologically-driven platform. alooola, LLC seeks to increase the long-term financial stability and wealth of its clients through a process that is supported by an advisory team and a technology platform originated by alooola, LLC. Prospective clients may be attracted to the service for its fee schedule, its reliance on technology, or the remote advisory services offered to support the client.

### **alooola, LLC Advisory Management Program**

This program only provides the client the investment management services of the investment adviser for a fee based on the level of client assets in the managed accounts. This program does not include coverage for all security transaction costs, nor will there be a performance report generated. Such services can be added by our affiliated entities for additional costs. All alooola, LLC accounts are discretionary. Please see alooola's ADV at [www.alooola.com](http://www.alooola.com) and/or contact alooola's Chief Compliance Officer at 484-275-5525.

#### ***Questions to ask us to start a conversation<sup>1</sup>***

- ☐ ***Given my financial situation, should I choose an investment advisory service? Why or why not?***
- ☐ ***How will you choose investments to recommend to me?***
- ☐ ***What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?***

### **What Fees Will I Pay?**

#### **Fee Advisory Programs**

All alooola clients participate in the alooola's tiered fee program as described below: The tiered fee program includes asset management services provided by alooola, and securities transaction costs (ticket charges, transaction service fees) for the trades done under the program.

\*alooola, LLC Fee Program

Tier	AUM	Annual Client Fee
Tier 1	Under \$110,000	\$10 Per Month
Tier 2	Over \$110,000	\$25 Per Month
Tier 3**	N/A	Free for Participant

For more information on fee structure please contact alooola's Chief Compliance Officer at 484-275-5525.

***You will pay a tiered flat fee according to the table above. Fees will reduce client account balances as they are deducted. Please make sure you understand what fees and costs you are paying.***

<i>Questions to ask us to start a conversation</i>	<input type="checkbox"/> <i>Help me understand how these fees and costs might affect my investments. If I give you \$1,000 to invest, how much will go to fees and costs, and how much will be left to invest for me?</i>
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**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

*When alooola, LLC act as your investment adviser*, we must act in your best interest and not put our own interest ahead of yours. However, the way we make money can create some conflicts. You should understand and ask us about conflicts because they can affect the investment advice we provide to you. alooola, LLC is a brand name used by Glen Eagle Advisors LLC (“GEA”). GEA is also affiliated with Glen Eagle Wealth, LLC, (“GEW”), a FINRA registered broker-dealer. Registered personnel of GEA may also engage in the activities on behalf of GEW and alooola. In addition, registered personnel of GEA, LLC may recommend products from alooola/GEW which may result in additional fees that could directly or indirectly benefit the recommending registered person. See form ADV or contact the CCO for more information.

<i>Questions to ask us to start a conversation</i>	<input type="checkbox"/> <i>How might your conflicts of interest affect me, and how will you address them?</i>
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**How do your financial professionals make money?**

Our personnel receive a salary and other regular annual compensation, an annual bonus, as determined by senior management or a percentage of fees earned in accounts they service, which could incentivize such advisor to sell as much as possible.

<i>Questions to ask us to start a conversation</i>	<input type="checkbox"/> <i>As a financial professional, do you have any disciplinary history and if so, for what type of conduct?</i>
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*You may find additional information about our investment advisory services and request a copy of this relationship summary at [info@aloola.com](mailto:info@aloola.com) or by calling 484-275-5525.*

**Do you or your financial professionals have a legal or disciplinary history?**

No. You can get more information on our professionals at [www.Investor.gov](http://www.Investor.gov).

<i>Questions to ask us to start a conversation</i>	<input type="checkbox"/> <i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?</i> <input type="checkbox"/> <i>Who can I talk to if I have concerns about how this person is treating me?</i>
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You can contact alooola’s Chief Compliance Officer at 484-275-5525 for any issues.



# Glen Eagle Wealth, LLC

## Client Relationship Summary – March 2024

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Glen Eagle Wealth, LLC (“GEW” or “we”) is a broker-dealer registered with the U.S. Securities and Exchange Commission (the “SEC”) and a member of the Financial Industry Regulatory Authority (“FINRA”). We are an affiliate of Glen Eagle Advisors (“GEA”), a Registered Investment Adviser. GEW provides services independent of, as well as in connection with GEA’s investment product offerings of investment products, separately managed accounts, and the buying and selling of securities.

Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS) which also provides educational materials about broker-dealers, investment advisers, and investing.

### What investment services and advice can you provide me?

GEW offers brokerage services to a range of both institutional and retail investors. Our offerings to investors are limited to the products mentioned below that can be managed by or independent of GEA. We may discuss the products offered by GEA, and discuss our views and convictions regarding market trends, the investing environment, strategies, and products. We collect information necessary to determine or engage in any determinations regarding, whether an investment in any product is suitable for, or in the best interests of, any particular investor given that investor’s broader investment goals, financial needs, other investments, or other needs. Investors must make the ultimate decision whether to invest in any product that we offer.

Corporate Equity Securities  
Mutual Funds/ETFs  
US Government Securities  
Variable Annuities<sup>1</sup>  
Certificate of Deposits (CDs)<sup>3</sup>

Corporate Debt Securities  
Put and Call Options  
Municipal Securities  
Agency Trading Desk<sup>2</sup>  
Alooola<sup>4</sup>

### What fees will I pay?

Investors will pay commissions on equity securities transactions that are generally based on a cents per share according to the below commission schedule. All other transactions including trades conducted on our Agency Trading Desk are negotiable at or prior to the transaction being executed either verbally or in writing and in all cases will be disclosed in writing on transaction confirmation reports and account statements.

#### **Equity** (*Minimum Commissions \$38*)

Transaction Amount Commission Rate

\$0 - \$5,000	\$	35.00	+ 1.5%	of Principal
\$5,000.01 - \$20,000	\$	60.00	+ 1.0%	of Principal
\$20,000.01 - \$50,000	\$	110.00	+ .75%	of Principal
\$50,000.01 - \$500,000	\$	235.00	+ .50%	of Principal
\$500,000.01 +	\$	1,485.00	+ .25%	of Principal

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<sup>1</sup> Variable annuities are offered on an application and or fully disclosed basis

<sup>2</sup> The Agency Trading Desk is available to Institutions and High Net Worth Individuals.

<sup>3</sup> CDs are offered by GEW’s clearing agent, Pershing LLC.

<sup>4</sup> [www.alooola.com](http://www.alooola.com)



# Glen Eagle Wealth, LLC

## Client Relationship Summary – March 2024

**Minimum Charge:** *\$.12 per share on first 1,000 shares + \$.06 per share thereafter*

**Maximum Charge:** *\$100 for the first 100 shares + \$1.00 per share thereafter or one-half the principal amount (whichever is less).*

**Stocks costing less than \$1:** *The lesser of the commission schedule or 3% of the principal.*

### Fixed Income

1 – 25 Bonds.....\$36 + \$4 per bond

26 + Bonds.....\$36 + \$4 per bond on first 25 bonds, \$3 per bond thereafter,  
or 1% of principal, whichever is less.

Treasury Bills, Notes and Bonds.....\$50 per transaction

Mutual Funds / ETFs.....As per mutual fund prospectus

Options.....As per the Equity Schedule above

### What are your legal obligations to me? How else does your firm make money and what conflicts of interest do you have?

GEW is affiliated with GEA, an SEC registered investment advisor. This affiliation may create conflicts of interest. You should understand and ask us about these conflicts because they can impact the services we provide.

Registered personnel of GEW, including senior management, compliance, back-office operations, and brokers, may also engage in the activities on behalf of GEA, and such work may be provided at the expense of GEW. In addition, registered personnel of GEW may recommend services offered by GEA that directly or indirectly benefit the recommending registered person. Clients of GEW have the option to become clients of GEA and vice versa. Available investment products and vehicles offered by both firms will be discussed with each client on an individual basis and recommendations will be made strictly with the client's personal and financial goals in mind. For an additional discussion of potential conflicts of interest please see GEA's Form ADV Part 2a available on our website [www.gleneagleadv.com](http://www.gleneagleadv.com) and/or contact your representative or GEW's Chief Compliance Officer at 609-631-8231.

### How do your financial

### representatives, (registered brokers) make money?

*How might your conflicts of interest affect me?*

Each of our registered brokers may receive, a salary and other regular annual compensation, an annual bonus, as determined by senior management, or a percentage of commissions earned on transactions in accounts they service which could incentivize such brokers to sell as much as possible.

### Do you or your registered representatives have a legal or disciplinary history?

No. Visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research us and your registered representative.