



## Client Relationship Summary

**March 30, 2024**

Parsonex Advisory Services, Inc. is registered with the Securities and Exchange Commission ("SEC") as a Registered Investment Adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. This Client Relationship Summary provides details about our brokerage services, fees, and other important information.

Free and simple tools are available for investors to research firms and financial professionals at [investor.gov/CRS](https://investor.gov/CRS). Here, you can also find educational materials about broker-dealers, investment advisers, and investing.

### ***What investment services and advice can you provide me?***

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Parsonex Advisory Services, Inc. conducts the following business: investment advisory and planning services.

- Monitoring. The Firm monitors and periodically reviews accounts.
- Investment Authority. The Firm holds full discretionary authority over customer accounts by executing an Advisory Agreement signed by investors.
- Limited Investment Offerings. The Firm does not make available limited offerings or offer recommendations. It does not have proprietary products.
- Account Minimums and Other Requirements. Account size minimums or investment amount limitations may be different for each advisory model.

**Conversation Starters** – Ask your financial professional these questions to learn more:

- **Given my financial situation, should I choose a brokerage service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

**For additional information**, please contact your Investment Adviser Representative or call 303.662.8700, to request information.

### ***What fees will I pay?***

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#### **Conversation Starter –**

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

Fees will range from a flat fee or to a fee that is tied to the account assets under management, usually expressed in dollars.

Brokerage services, which are executed through an unaffiliated broker/dealer, are strictly commission-based with the level of compensation defined by the product provider, not by our firm. Some investments (i.e. mutual funds) carry internal expenses in addition to sales charges while others may incur actual ticket charges with each trade executed through the unaffiliated broker/dealer.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

**For additional information**, please contact your Investment Adviser Representative or call 303.662.8700, to request information.

## ***What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?***

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***When we act as your investment adviser***, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

The firm may receive a portion of the fee paid to a third-party money manager as disclosed in the Form ADV Part II and Solicitors Agreement. This could be considered a conflict of interest as an Investment Advisory Representative may select an advisor that will compensate them. The way we make money may create some conflicts of interest. You should understand and ask us about these conflicts and how they may affect you.

### **Conversation Starter –**

- **How might your conflicts of interest affect me, and how will you address them?**

**For additional information**, please contact your Investment Adviser Representative or call 303.662.8700, to request information.

## ***How do your financial professionals make money?***

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Parsonex Advisory Services, Inc. and its financial professionals may be compensated through fixed salaries or revenue earned by the Firm through flat or tiered fees based on assets under management. Your individual advisor is paid a portion of these fees as her/his compensation and the remainder is compensation to our Firm.

**For additional information**, please contact your Investment Adviser Representative or call 303.662.8700, to request information.

## ***Do you or your financial professionals have legal or disciplinary history?***

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Yes. You can view the firm's and representative's history through FINRA's Broker Check ([brokercheck.finra.org](https://brokercheck.finra.org)).

You can also visit [investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and financial professionals.

### **Conversation Starter –**

- **Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**

### **Conversation Starter –**

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Your registered investment advisor representative of our firm is your primary contact for your investment accounts. You can contact the firm's compliance department via phone or regular mail if you have any concerns with how your representative may be treating you.

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**For additional information** about Parsonex Advisory Services, Inc. or to request a copy of this relationship summary please call 303.662.8700.

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