

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 78993 / September 29, 2016

Admin. Proc. File No. 3-17020

In the Matter of

DIANE D. DALMY, ESQ.

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Diane D. Dalmy, Esq., and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Diane D. Dalmy, Esq.² The order contained in that decision is hereby declared effective. The initial decision ordered that, pursuant to Rule 102(e)(3)(iii) of the Commission's Rules of Practice,³ Diane D. Dalmy, Esq., is permanently disqualified from appearing or practicing before the Commission as an attorney.

For the Commission, by the Office of the Secretary, pursuant to delegated authority.

Brent J. Fields
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Diane D. Dalmy, Esq.*, Initial Decision Release No. 1042 (July 29, 2016), 2016 WL 4088747.

³ 17 C.F.R. § 102(e)(3)(iii).