

SECURITIES AND EXCHANGE COMMISSION

17 CFR Part 242

[Release No. 34-73639A; File No. S7-01-13]

RIN 3235-AL43

Regulation Systems Compliance and Integrity; Correction

AGENCY: Securities and Exchange Commission.

ACTION: Final rule; correction.

SUMMARY: The Securities and Exchange Commission (“Commission”) is making a technical correction to the rules that were published in the Federal Register on December 5, 2014 (79 FR 72251) as part of Regulation Systems Compliance and Integrity (“Regulation SCI”) under the Securities Exchange Act of 1934 (“Exchange Act”) and conforming amendments to Regulation ATS under the Exchange Act.

DATES: Effective [Date of publication in Federal Register].

FOR FURTHER INFORMATION CONTACT: Sara Hawkins, Special Counsel, Office of Market Supervision, at (202) 551-5523 and Alexander Zozos, Attorney-Adviser, Office of Market Supervision, at (202) 551-6932, Division of Trading and Markets, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549-7010.

SUPPLEMENTARY INFORMATION: In Regulation SCI (FR Doc. 2014-27767), published in the Federal Register on December 5, 2014 (79 FR 72251), the following correction is made:

List of Subjects in 17 CFR 242

Brokers; Confidential business information; Reporting and recordkeeping requirements; and Securities.

Accordingly, 17 CFR Part 242 is corrected by making the following correcting amendment:

PART 242—REGULATIONS M, SHO, ATS, AC, NMS AND SCI AND CUSTOMER MARGIN REQUIREMENTS FOR SECURITY FUTURES – [CORRECTED]

1. The authority citation for Part 242 continues to read as follows:

AUTHORITY: 15 U.S.C. 77g, 77q(a), 77s(a), 78b, 78c, 78g(c)(2), 78i(a), 78j, 78k-1(c), 78l, 78m, 78n, 78o(b), 78o(c), 78o(g), 78q(a), 78q(b), 78q(h), 78w(a), 78dd-1, 78mm, 80a-23, 80a-29, and 80a-37.

2. Amend Section 242.1000 by revising paragraph (3) of definition of SCI alternative trading system or SCI ATS, the phrase “until six months after satisfying any of paragraphs (a) or (b) of this section” to read “until six months after satisfying any of paragraphs (1) or (2) of this section”.

December 22, 2015

Brent J. Fields
Secretary