

35. Attach as Exhibit V, a description of any specifications, qualifications, or other criteria that limit, are interpreted to limit, or have the effect of limiting access to or use of any security-based swap data repository or securities information processor services offered or data maintained by the applicant and state the reasons for imposing such specifications, qualifications, or other criteria.

DTCC Data Repository (U.S.) LLC (“DDR”) does not limit access to, or use of, its services or data it maintains beyond what is required to maintain security and data confidentiality in compliance with applicable rules and regulations.

All Market Participants¹ have access to DDR on a fair, open and equal basis. In order to provide such access DDR requires a level of basic operational and technical capability, contractual obligations and OFAC and other global watch lists sanctions screening. The systems and interfaces for reporting, including the uploading via the internet of comma separated value files have been designed to allow all types of market participants to utilize DDR services without significant technology investments and without imposing any significant technology barriers.

With respect to access to or use of data maintained by DDR:

Access to data maintained by DDR is limited by applicable regulations. For example, regulators, other than the regulator to whom the data is being submitted, must comply with data sharing requirements established under the regulations by the regulator to whom the data is being submitted, in this case, the Securities Exchange Commission.

Access by others to non-public swap data maintained by DDR is generally prohibited by regulation. However, access to data related to a particular swap by either counterparty to that swap, or by the entity that submitted the swap, is permissible. In addition, if specifically authorized by the User or counterparty, third party service providers or other parties may be granted limited access to data. Access is obtained through a secure website so the only technology needed is a web browser.

¹ Swap dealers, major swap participants, designated contract markets, derivatives clearing organizations, swap execution facilities, foreign boards of trade, derivatives dealers, local counterparties and recognized or exempt clearing agency under (Canadian Law)