

Proposed deletions are [bracketed]; proposed new language is underscored.

**National Stock Exchange, Inc.**  
**Fee and Rebate Schedule**  
 Updated as of [July 1] September 3, 2013

The following reflects the Schedule of Fees and Rebates (pursuant to Rule 16.1(a) and Rule 16.1(c)) for the National Stock Exchange, Inc. (“NSX”). Order matching computations are made on a monthly basis unless otherwise noted. All references to “per share” mean “per share executed.”

**I. AUTOMATIC EXECUTION (Auto-Ex) MODE<sup>1</sup>**

*No Change.*

**II. ORDER DELIVERY MODE<sup>9</sup>**

*No Change.*

**III. OTHER SERVICES**

**A. Order Routing<sup>11</sup> (All Tapes)**

**Securities \$1 and Above**

Orders routed by the Exchange in accordance with Exchange Rule 11.15(a)(ii) will be charged a fee of \$0.0030 per executed share.

An ETP Holder that enters a Double Play Order shall receive the following fixed rebate for each share directed to and executed on CBSX : (i) \$0.0045 per share in the securities [AMD] AAPL, BAC, [MU] GOOG, NOK and SIRI so long as these securities are priced at \$1 or above, and (ii) \$0.0015 per share in all other securities. These shares will not be included in the ADV calculation under Section I nor are they eligible for additional rebates under Section I of this Schedule. An ETP Holder that enters a Double Play Order will not be charged a routing fee under this Section III for the initial routing to a designated away Trading Center. Any unexecuted portion of a Double Play Order that is returned and executed on the Exchange shall be subject to either Section I of this Schedule, or a fee of \$0.0030 per share under this Section III if the order is subsequently routed to an away Trading Center in accordance with Exchange Rule 11.15(a)(ii)

**Securities Under \$1**

*No Change.*

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**B. Pass Through Charges**

*No Change.*

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**C. Depth of Book Feed**

*No Change.*

**IV. REGULATORY FEE**

*No Change.*

**V. CONNECTIVITY FEE**

*No Change.*

Explanatory endnotes:

(1) – (14) *No Change.*

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