EXHIBIT 5

Additions are underlined;
Deletions are [bracketed].

NYSE Amex Equities Rules

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Rule 5320 – NYSE Amex Equities. Prohibition Against Trading Ahead of Customer Orders

(a) through (b) No change.

• • • *Supplementary Material* : ------------------

.01 No change.

.02 No-Knowledge Exception

(a) With respect to NMS stocks, as defined in Rule 600 of SEC Regulation NMS, if a member organization implements and utilizes an effective system of internal controls, such as appropriate information barriers, that operate to prevent one trading unit from obtaining knowledge of customer orders held by a separate trading unit, those other trading units trading in a proprietary capacity may continue to trade at prices that would satisfy the customer orders held by the separate trading unit. A member organization that structures its order handling practices in NMS stocks to permit its market-making desk to trade at prices that would satisfy customer orders held by a separate trading unit must disclose in writing to its customers, at account opening and annually thereafter, a description of the manner in which customer orders are handled by the member organization and the circumstances under which the member organization may trade proprietarily at its market-making desk at prices that would satisfy the customer order.

(b) If a member organization implements and utilizes appropriate information barriers in reliance on this exception, the member organization must uniquely identify such information barriers as prescribed in FINRA Rule 7440(b)(19), which is incorporated by reference in Rule 7440 – NYSE Amex Equities.

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