

## EXHIBIT 5

Additions are underlined; deleted text is [in brackets]

**RULES OF THE CHICAGO STOCK EXCHANGE, INC.**

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**ARTICLE 20. Operation of the CHX Matching System**

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**Rule 13. Compliance with Regulation NMS Plan to Implement a Tick Size Pilot**

(a) Compliance with Quoting and Trading Restrictions

(1) – (5) Unchanged

(6) Pilot Securities in Test Group Three

(A) Unchanged

(B) Absent any of the exceptions listed in subparagraph (6)(C) below, no CHX Participant [that operates a Trading Center ]may execute orders in any Pilot Security in Test Group Three in price increments other than \$0.05. The \$0.05 trading increment will apply to all trades, including Brokered Cross Trades.

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(b) Compliance with Data Collection Requirements

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••• *Interpretations and Policies:*

**.01 - .07** Unchanged

**.08** A Trading Center shall begin the data collection required pursuant to Appendix B.I.a(1) through B.II.(y) of the Plan and Item I of Appendix C of the Plan on April 4, 2016. The requirement that the Exchange or their DEA provide information to the SEC within 30 days following month end and make certain data publicly available on the Exchange's or DEA's web site pursuant to Appendix B and C of the Plan shall commence at the beginning of the Pilot Period. Notwithstanding the provisions of paragraphs (b)(2) and (b)(3) of this Rule, w[W]ith respect to data for the Pre-Pilot Period

and the Pilot Period, the Exchange shall make the data collected pursuant to paragraph (b)(4)(A) above available to FINRA for aggregation and publication on the FINRA website pursuant to FINRA Rules and the Exchange will publish Appendix B[the] data [collected pursuant to paragraphs (2) and (3) above ]on the Exchange website, which shall commence [at the beginning of the Pilot Period]on April 28, 2017. [Notwithstanding the provisions of paragraphs (b)(2)(A)(v), (b)(2)(B)(ii) and (b)(3)(C) of this Rule, the Exchange shall make data for the Pre-Pilot Period publicly available on the Exchange's website pursuant to Appendix B to the Plan by February 28, 2017.]

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