

EXHIBIT 5

Additions are underlined; deleted text is [in brackets]

RULES OF THE CHICAGO STOCK EXCHANGE, INC.

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ARTICLE 20. Operation of the CHX Matching System

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Rule 13. Compliance with Regulation NMS Plan to Implement a Tick Size Pilot

(a) Unchanged

(b) Compliance with Data Collection Requirements

(1) Unchanged

(2) Trading Centers Data Requirements

(A) Certain CHX Participant Trading Centers

(i) – (iv) Unchanged

(v) The Exchange shall collect and transmit to the SEC the data described in Items I and II of Appendix B of the Plan and collected pursuant to this paragraph (b)(2)(A). The Exchange shall transmit such data to the SEC in a pipe delimited format, on a disaggregated basis by Trading Center, within 30 calendar days following month end. The Exchange shall make such data publicly available on the CHX website [on a monthly basis]within 120 calendar days following month end at no charge and shall not identify the Trading Center that generated the data.

(B) CHX Trading Center

(i) Unchanged

(ii) The Exchange shall make such data publicly available on the Exchange web site [on a monthly basis]within 120 days following month end at no charge and shall not identify the CHX Participant that generated the data.

(3) Daily Market Maker Participation Statistics Requirement

(A) - (B) Unchanged

(C) The Exchange shall transmit the data collected by the DEA or FINRA pursuant to paragraphs (3)(A) and (B) above relating to Market Maker activity on a Trading Center operated by the Exchange to the SEC in a pipe delimited format within 30 calendar days following month end. The Exchange shall also make such data publicly available on the Exchange web site [on a monthly basis]within 120 calendar days following month end at no charge and shall not identify the Trading Center that generated the data.

(4) Market Maker Profitability

(A) – (B) Unchanged

(C) The Exchange, as DEA, shall collect the data required by Item I of Appendix C to the Plan and paragraph (b)(4)(A) above for those CHX Participants that are Market Makers for which the Exchange is the DEA, and on a monthly basis transmit such data, categorized by the Control Group and each Test Group, to the SEC in a pipe delimited format. The Exchange, as DEA, shall also make the data collected pursuant to paragraph (b)(4)(A) available to FINRA for aggregation and publication, categorized by the Control Group and each Test Group, on the FINRA website pursuant to FINRA Rules.

(5) Market Maker Registration Statistics. The Exchange shall collect and transmit to the SEC the data described in Item III of Appendix B of the Plan relating to daily Market Maker registration statistics in a pipe delimited format within 30 calendar days following month end and the Exchange shall make such data publicly available on the CHX website within 120 calendar days following month end at no charge and shall not identify the Trading Center that generated the data[for]:

(A) For transactions in each Pre-Pilot Data Collection Security for the period beginning six months prior to the Pilot Period through the trading day immediately preceding the Pilot Period; and

(B) For transactions in each Pilot Security for the period beginning on the first day of the Pilot Period through six months after the end of the Pilot Period.

• • • *Interpretations and Policies:*

.01 - .07 Unchanged

.08 A Trading Center shall begin the data collection required pursuant to Appendix B.I.a(1) through B.II.(y) of the Plan and Item I of Appendix C of the Plan on April 4, 2016. The requirement that the Exchange or their DEA provide information to the SEC within 30 days following month end and make certain data publicly available on the Exchange's or DEA's web site pursuant to Appendix B and C of the Plan shall commence at the beginning of the Pilot Period. With respect to data for the Pilot Period, the Exchange shall make the data collected pursuant to paragraph (b)(4)(A) above available to FINRA for aggregation and publication on the FINRA website pursuant to

FINRA Rules and the Exchange will publish the data collected pursuant to paragraphs (2) and (3) above on the Exchange website, which shall commence at the beginning of the Pilot Period. Notwithstanding the provisions of paragraphs (b)(2)(A)(v), (b)(2)(B)(ii) and (b)(3)(C) of this Rule, the Exchange shall make data for the Pre-Pilot Period publicly available on the Exchange's website pursuant to Appendix B to the Plan by February 28, 2017.

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